

Reply to the Attention of	Leandra Delgado
Direct Line	416.865.7004
Email Address	Leandra.delgado@mcmillan.ca
Date	April 18, 2018

DELIVERED

Meagan Phillips
Canadian Lawyers Liability Assurance Society
Office of the General Manager
Suite 510, 36 Toronto Street
Toronto, ON M5C 2C5



Dear Josephine:

Re: 2018/2019 CLLAS Renewal Application

Please find attached McMillan's 2018/2019 CLLAS renewal application package for McMillan LLP. We trust we have completed all required information and provided all necessary supporting documentation.

Should you require anything further, please do not hesitate to contact me.

Yours truly,

Leandra Delgado

Attach.



Canadian Lawyers Liability Assurance Society
2018/2019 Renewal Application for
Excess Professional Liability Insurance

This application is made by the undersigned member (the "Firm") of the Canadian Lawyers Liability Assurance Society ("CLLAS") for issuance by CLLAS to the Firm of policies of professional liability insurance.

Note: *The policies applied for are "claims made" policies and only provide coverage for claims first made against the Insured during the policy period.*

Please answer **ALL** questions. Where space to answer is insufficient, attach a separate sheet.

1. Name of Firm (Named Insured): McMillan LLP

2. Address of principal office: Brookfield Place, Suite 4400, Bay Wellington Tower
181 Bay Street, Toronto ON M5J 2T3

Phone: (416) 865-7000 Fax: (416) 865-7048

3. Address, phone and fax numbers of other office(s):
See attached Exhibit 1

4. Management or service companies, date(s) established and services provided:
See attached Exhibit 2

5. Is the Firm a multi-disciplinary partnership ("MDP")? ☐ yes ☒ no

If "yes", provide date MDP was established and name the non-lawyer partners and their respective disciplines.

-
6. Since the most recent CLLAS application, has the name of the Firm been changed, or has any firm merged into the Firm? If so, give full particulars (including the number of lawyers merged into the Firm in each such situation) unless previously provided.

No

7. Attached as Appendix A is a list of the Firm's predecessor firms resulting from mergers since July 1, 1987. Is the list complete?

☒ yes ☐ no

If "no", please provide update.

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

8. Please complete Appendices B and C to provide the following details as of March 1, 2017:
- a) Number of lawyers (including partners, employed lawyers, counsels/of counsels and lawyer consultants).
 - b) Number of patent & trademark agents (who are not lawyers).
 - c) Number of other non-lawyer consultants.
 - d) Number of paralegals.
 - e) Number of other employees.
 - f) If applicable, the number of lawyers who are not partners, employed lawyers, counsels/of counsels or lawyer consultants of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm. Please identify such individuals and professional corporations as requested in Appendix B.

Note: A common professional corporation structure is one where the lawyer remains a partner of the firm but the firm contracts with a professional corporation to provide the services of the partner to the firm via the professional corporation. Those lawyers would be accounted for in a) above. Question f) is intended to address an alternative structure whereby the professional corporation itself is a partner of the firm and it contracts directly or via another professional corporation with a lawyer to provide professional services.

9. Please show the Firm's practice split by indicating the approximate percentage of billings for the following areas of law:

	<u>This Year</u>	<u>Last Year</u>
a) Corporate and Commercial Law	32.06 %	33.67 %
b) Criminal Law	0.0 %	0.0 %
c) Family Law	0.0 %	0.0 %
d) Intellectual Property	0.0 %	0.0 %
e) Labour Law	incl. in litigation %	incl. in litigation %
f) Litigation	20.76 %	21.91 %
g) Real Estate	11.06 %	10.04 %
h) Securities Law	16.90 %	15.40 %
i) Tax Matters	4.80 %	4.81 %
j) Wills, Estates, Trust	0.0 %	0.00 %
k) Other (please specify)	14.42 %	14.17 %
<u>Regulatory, Misc. Students, Administration</u>		

10. Have any of the lawyers or non-lawyer consultants listed in Appendices B and C or former lawyers or former non-lawyer consultants of the Firm been the subject of disciplinary proceedings, suspended or disbarred from practice since the date of the Firm's most recent CLLAS application? ☐ yes ☒ no

If "yes", please provide full details:

11. Attached as Appendix D is a description of the "Associated Firms" and "Umbrella Firms" which are identified in the Associated Firm Endorsement (Endorsement No. 1) of the current CLLAS Primary Policy. Please verify, update where appropriate and advise CLLAS of any anticipated changes.
12. Canadian law society programs may restrict coverage if Professional Services are provided outside of Canada or if the Professional Services relate to non-Canadian law. The CLLAS Primary Policy also excludes coverage for lawyers providing Professional Services from a U.S. office as well as the practice of non-Canadian law. Please provide details of such services in Appendix E.
13. Attached as Appendix F is a schedule of claims and notices which have been given to the applicable law society and CLLAS as of December 31, 2016. Please verify and provide any changes in status. All known claims or notices that are not on the schedule, including those reported to any underlying insurance carrier, should be reported up to the date of this application. **However, updates thus reported are not considered official notice of claim to CLLAS.**

If applicable, CLLAS will also require an update on claims of your predecessor firms which were reported prior to any merger which are paid or currently reserved excess of \$500,000.

Note: Details required on all claims or notices are: name of lawyer, name of claimant, date claim reported, error date, date claim closed (if applicable), a brief description of the claim, including damages sought, amount paid (legal & indemnity) and amount reserved (legal & indemnity).

14. Will the Firm purchase coverage under the CLLAS optional excess layer?

☒ yes ☐ no

If "yes", please indicate preferred limit option:

<input type="checkbox"/> \$10M xs \$160M	<input type="checkbox"/> \$20M xs \$160M
<input type="checkbox"/> \$30M xs \$160M	<input type="checkbox"/> \$40M xs \$160M
<input type="checkbox"/> \$50M xs \$160M	<input checked="" type="checkbox"/> \$60M xs \$160M

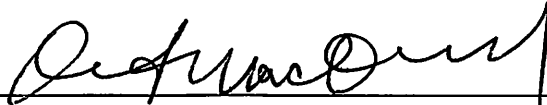
15. Under Appendix G, please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.

16. Please complete Appendix H to provide underwriting information with respect to cyber liability.

17. Please attach as Appendix I copy of the Firm's 2017 Professional Liability Insurance Application and Exemption Form submitted to LawPro.

The undersigned hereby declares that the above statements and particulars, including those set forth in Appendices A through I, are true and that no material facts have been omitted, suppressed or misstated and that this application, which is deemed to include the information from any previous applications completed by the Firm for CLLAS, shall be the basis of each of the insurance contracts with CLLAS.

Signature: _____



(Must be signed by a Partner of the Firm)

Name of Signatory: _____

Daniel V. MacDonald, General Counsel/Chief Risk Officer

(Who shall be the designated contact person between CLLAS & the Firm as respects this insurance.)

Date: _____

17/ April 2018

EXHIBIT " 1"
OFFICES OF McMILLAN LLP

McMillan LLP
2700-1000 Sherbrooke St. W.
Montreal, QC H3A 3G4
Phone: (514) 987-5000
Fax: (514) 987-1213

McMillan LLP
Suite 2000, 45 O'Connor Street
Ottawa, ON K1P 1A4
Phone: (613) 232-7171
Fax: (613) 231-3191

McMillan LLP
Brookfield Place, Suite 4400
Bay Wellington Tower
181 Bay Street
Toronto, ON M5J 2T3
Phone: (416) 865-7000
Fax: (416) 865-7048

McMillan LLP
TD Canada Trust Tower
421 7th Avenue S.W.
Suite 1700
Calgary, ALB T2P 4K9
Phone: (403) 531-4700
Fax: (403) 531-4720

McMillan LLP
1500 Royal Centre
1055 West Georgia St.
Vancouver, BC V6E 4N7
Phone: (604) 689-9111
Fax: (604) 685-7084

McMillan LLP
3502 Tower 2, Lippo Centre
89 Queensway
Hong Kong
Phone: (852) 3101-0213
Fax: (852) 3585-1233

Exhibit "2"
McMillan LLP
Corporate and Service Entities
March 28, 2018

Name of Entity	Date Established	Service Provided
6390064 Canada Inc.	May 10, 2005	General partner of MServices LP
6390676 Canada Inc.	May 11, 2005	General partner of 7686943 Canada LP
MB Holdings Corporation	December 20, 2002	Holds Toronto office lease
MB Services Corporation	February 1, 1991	Former service corporation that holds some old fixed assets
MServices Limited Partnership (formerly MB Services Limited Partnership)	December 10, 1998	Administrative services entity for McMillan LLP
McMillan Alberta Holdings Inc.	March 27, 2009	Holds lease for Calgary office
Menrose Management Services Inc.	February 1, 1987	Holds lease for Montreal office; former administrative services entity for McMillan LLP
Lang Michener	January 14, 2011	Partnership between 7686943 Canada Limited Partnership and 7686960 Canada Inc.
LML&S Services Inc.	June 27, 1990	Acts as B.C. Attorney for Service for extra-provincially registered companies, as well as escrow agent in commercial transactions

Name of Entity	Date Established	Service Provided
Double India Holding Company Limited	April 28, 1993	Holds the Vancouver office lease
1055 Corporate Services Ltd.	March 4, 2004	Acts as Incorporator (and first shareholder) for BC companies when expedited incorporation required
7686943 Canada Inc.	October 27, 2010	Ottawa tenant
7686960 Canada Inc.	October 27, 2010	Holds a nominal interest in Lang Michener on behalf of McMillan LLP
7686943 Canada Limited Partnership	November 1, 2010	Holds substantially all of McMillan's interest in Lang Michener
Lang Michener Management Corp.	February 1, 1994	Former administrative services entity for Lang Michener
McMillan Holdco Inc.	November 3, 2016	General Partner of McMillan Vantage Holdings LP; holds 50% interest in McMillan GR Inc.
McMillan Vantage Holdings LP	November 14, 2016	Holds 50% interest in McMillan Vantage Policy Group LP
McMillan GR Inc.	November 3, 2016	General Partner of McMillan Vantage Policy Group LP
McMillan Vantage Policy Group LP	November 14, 2016	Services include government relations and communications
Prefix Legal LLP	October 1, 2016 (registered October 25, 2016)	Carries on the practice of law separate from McMillan LLP

APPENDIX A

PREDECESSOR FIRMS

Name of Firm: McMillan LLP

	<u>Merger Date</u>
McMillan Binch	
McMillan Binch LLP	
Mendelsohn s.e.n.c.	May 1, 2005
McMillan Binch Mendelsohn LLP	
Thackray Burgess Professional Corporation (TB) *	May 1, 2009
Blanie & Company (predecessor firm of TB)	
Evans Higa Burgess (predecessor firm of TB)	
Lang Michener LLP	January 1, 2011
Lang Michener (changed to LLP in October 2003)	
Lang Michener Lawrence & Shaw (Lang Michener's former name in Toronto, Mississauga and Western Canada)	
Lang Michener Honeywell Wotherspoon (Lang Michener's former name in Ottawa)	
Lang Michener Lash Johnston	
Smith, Shaver	
Honeywell, Wotherspoon (Ottawa)	April 1, 1990
Lawrence & Shaw (Vancouver)	June 7, 1989
Lash, Johnston	1986
Lang Michener Cranston Farquharson & Wright	
Robertson Ward Suderman (Toronto)	May 1, 1990

- * While Thackray Burgess did not formally merged with McMillan LLP, for the purpose of insurance, CLLAS considered this as a merger and recognized Thackray Burgess as a predecessor firm. McMillan offered employment to certain former Thackray Burgess lawyers and certain other lawyers from that firm became partners at McMillan.

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

APPENDIX B
ACTIVE MEMBERS OF THE FIRM AS OF MARCH 1, 2017

Name of Firm: McMillan LLP

	<u>CANADA</u>					<u>OUTSIDE OF CANADA⁵</u>	
	<u>B.C.</u>	<u>Alberta</u>	<u>Ontario</u>	<u>Quebec</u>	<u>Other Provinces (Please specify)</u>	<u>U.S.</u>	<u>Other Locations</u>
a) No. of Lawyers ^{/1}	<u>38</u>	<u>89</u>	<u>117</u>	<u>28</u>	<u>2</u>		<u>Hong Kong</u>
b) No. of Patent & Trademark Agents ^{/2}	<u>0</u>	<u>0</u>	<u>2</u>	<u>0</u>	<u>0</u>		
c) No. of Non-lawyer Consultants ^{/3}	<u>3</u>	<u>0</u>	<u>5</u>	<u>0</u>	<u>1</u>		
d) No. of Paralegals	<u>23</u>	<u>3</u>	<u>31</u>	<u>11</u>	<u>0</u>		
e) No. of Other Employees	<u>67</u>	<u>20</u>	<u>239</u>	<u>38</u>	<u>0</u>		
f) No. of lawyers who are not employees of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm ^{/4}	<u>28</u>	<u>5</u>	<u>33</u>	<u>1</u>	<u>0</u>		

^{/1} Including partners, employed lawyers, counsels/of counsels and lawyer consultants.

^{/2} These are not lawyers.

^{/3} Please complete Appendix C if individuals are reported under this category.

^{/4} Lawyers reported here should not be included under a). (See note at Question 8.f) of the application.)

^{/5} Please complete Question 3 of Appendix E to provide further information on lawyers reported under these columns.

Please attach a list of the lawyers reported under a) above, showing in each case his/her full name, date of call, date joined the Firm and, if applicable, date became partner.

Please attach a list of the names of the individuals reported under f) above, together with the names of the professional corporations to which they provide services.

If underlying insurance is purchased outside any Canadian mandatory law society program for lawyers, please provide full details under Appendix E, Question 4.

If members of the Firm, either alone or with others, engage in the conduct of any profession or business other than the practice of law (e.g. financial management, mortgage brokering or other consulting; underwriting or brokering of securities or investment banking activities; real estate appraisal; actuarial analysis) either directly or indirectly as an agent, employee or partner of any organization, please give full particulars.

Adamson, Callie (Alice) E.	Associate	Advocacy & Employ Associates	2012		Toronto	05/09/2011
Allen, Mervyn D.	Counsel	Commercial Real Es Counsel	1999		Toronto	06/27/2016
Allison, Mitchell	Associate	Commercial Real Es Associates	2014		Calgary	05/07/2012
Ammerman, Darvy	Principal	Financial Services Principal	2011		Ottawa	05/04/2009
Annibale, Jason J.	Equity Partner	Advocacy & Employ Partner	2002	1/1/2016	Toronto	01/05/2009
Armstrong, Tavelech	Associate	Financial Services Associates	2015		Toronto	05/06/2013
Badali, Gerald	Counsel	Financial Services Counsel	1983		Toronto	04/17/2000
Bains, Ravioal S.	Associate	Capital Markets & F Associates	2016		Vancouver	05/05/2014
Banfal, Geza	Counsel	Advocacy & Employ Counsel	1978	2/17/2014	Toronto	02/17/2014
Bates, Christie Jane Clark	Associate	Technology & IP Associates	2017		Toronto	05/04/2015
Bathoate, Benjamin	Equity Partner	Advocacy & Employ Partner	2005	1/1/2015	Toronto	04/09/2007
Beaudin, Patrice	Counsel	Financial Services Counsel	1991	1/1/2014	Montreal	02/01/2005
Beaudrie, Gerald	Equity Partner	Business Law Partner	2006	1/1/2017	Toronto	05/02/2005
Bird, Keith D.	Equity Partner	Regulatory Partner	1999	1/1/2015	Toronto	06/30/1997
Boothoo, Michelle	Associate	Financial Services Associates	2014		Toronto	05/14/2012
Boshyk, Paul	Principal	Advocacy & Employ Principal	2012		Toronto	05/09/2011
Brazil, Laura	Principal	Advocacy & Employ Principal	2011		Toronto	03/03/2014
Bright, Jonathan	Associate	Tax Associates	2014		Toronto	07/10/2017
Brown-Okrulik, Stephen	Associate	Advocacy & Employ Associates	2014		Toronto	05/14/2012
Bruevels, Nicholas	Associate	Capital Markets & F Associates	2017		Toronto	10/02/2017
Burns, Michael A.	Counsel	Capital Markets & F Counsel	1992	3/13/2017	Toronto	01/01/2002
Catimel-Marchand, Emile	Associate	Financial Services Associates	2016		Montreal	01/05/2015
Chad, Joshua	Associate	Regulatory Associates	2013		Toronto	05/09/2011
Chan, Sze Pui Florence	Associate	Regulatory Associates	2016		Toronto	07/04/2016
Chisholm, Adam	Principal	Advocacy & Employ Principal	2009		Toronto	05/07/2007
Collins Hoffman, Pierre-Christien	Associate	Commercial Real Es Associates	2013		Montreal	05/14/2012
Collins, Paul	Equity Partner	Capital Markets & F Partner	1986	1/1/2015	Toronto	07/04/1984
Coelin, Charlotte E.	Counsel	Capital Markets & F Counsel	1997	8/31/2015	Toronto	05/12/2014
Cooper, Rachel	Counsel	Advocacy & Employ Counsel	2005		Toronto	08/05/2014
Coughlin, Sean K.	Associate	Commercial Real Es Associates	2016		Toronto	05/05/2014
Cowan, David	Equity Partner	Capital Markets & F Partner	1980	1/1/2015	Vancouver	02/02/2003
Cullen, Timothy	Associate	Advocacy & Employ Associates	2015		Ottawa	05/06/2013
Cuthill, Natalie	Associate	Advocacy & Employ Associates	2016		Vancouver	05/05/2014
Dakha, Maneesha	Associate	Business Law Associates	2017		Vancouver	05/04/2015
Davis, Paul	Equity Partner	Capital Markets & F Partner	1988	1/1/2016	Toronto	03/08/2010
Dayson, Joanna	Associate	Business Law Associates	2012		Vancouver	06/05/2017
Debenham, David B.	Counsel	Advocacy & Employ Counsel	1988	1/1/2014	Ottawa	11/01/2004
Devan, Raleev	Counsel	Capital Markets & F Counsel	1999	7/11/2016	Toronto	07/11/2016
Dhillon, Dharamoreet	Associate	Commercial Real Es Associates	2017		Vancouver	05/04/2015
Di Francesco, Stefanie	Associate	Advocacy & Employ Associates	2014		Toronto	05/14/2012
Dubé, Georges	Counsel	Capital Markets & F Counsel	1994	6/6/2016	Toronto	06/06/2016
Dudkiewicz, David	Associate	Commercial Real Es Associates	2011		Toronto	11/21/2016
Dufort, Teresa M.	Equity Partner	Advocacy & Employ Partner	1984	1/1/2015	Toronto	12/02/1985
Duhra, Navnit	Associate	Financial Services Associates	2010		Vancouver	09/08/2009
Dykstra, Lindsay E.	Associate	Business Law Associates	2016		Vancouver	05/05/2014
Edmondstone, Daniel G.	Counsel	Regulatory Counsel	1991	1/1/2014	Toronto	07/04/1989
Elbaz, Sidney	Equity Partner	Advocacy & Employ Partner	2006	1/1/2016	Montreal	06/15/2005
Farahani, Arman G.	Principal	Capital Markets & F Principal	2010		Vancouver	09/08/2009
Forlione, Pasquale	Equity Partner	Financial Services Partner	1997	1/1/2015	Toronto	12/29/1997
Forristal, Annik	Principal	Commercial Real Es Principal	2012		Toronto	05/09/2011
Fraser, Laura	Associate	Capital Markets & F Associates	2015		Toronto	11/14/2016
Freedman, Joshua	Associate	Capital Markets & F Associates	2017		Toronto	05/04/2015
Gallagher, Ryan C.	Principal	Regulatory Principal	2007		Vancouver	12/02/2009
Gebert, Jeffrey	Associate	Capital Markets & F Associates	2011		Toronto	08/21/2017
Genereux, Marc	Counsel	Commercial Real Es Counsel	1982	3/7/2016	Montreal	03/07/2016
Germain, Michelle	Associate	Advocacy & Employ Associates	2017		Montreal	05/04/2016
Giddens, Peter D.	Equity Partner	Regulatory Partner	1999	1/1/2015	Toronto	02/01/1999
Glass, Robert E.	Counsel	Business Law Counsel	1980	1/1/2014	Toronto	04/01/1980
Goldstein, Yoine	Counsel	Financial Services Counsel	1961		Montreal	08/01/2006
Gordon, Samantha	Associate	Advocacy & Employ Associates	2015		Toronto	05/06/2013
Halev, Jason M.	Associate	Financial Services Associates	2016		Calgary	06/15/2015
Halladay, Casey W.	Equity Partner	Regulatory Partner	2002	1/1/2015	Toronto	05/03/1999
Hamelin, Stephanie	Principal	Commercial Real Es Principal	2007		Montreal	05/29/2006
Han, Julie	Principal	Financial Services Principal	2010		Toronto	05/05/2008
Hanert, Calreen	Counsel	Advocacy & Employ Counsel	2001	3/3/2014	Calgary	03/03/2014
Hanlon, Michael J.	Counsel	Financial Services Counsel	1994	8/17/2015	Montreal	08/17/2015
Hanna, W. Bradley	Equity Partner	Advocacy & Employ Partner	1994	1/1/2015	Toronto	05/06/1991
Harmer, Melanie J.	Principal	Advocacy & Employ Principal	2009		Vancouver	05/01/2006
Harrison, Brett	Equity Partner	Advocacy & Employ Partner	2001	1/1/2015	Toronto	05/03/1999
Henlev, Hilary	Associate	Advocacy & Employ Associates	2013		Vancouver	09/05/2017
Henry, Elisa	Principal	Regulatory Principal	2011		Montreal	05/09/2011
Houshidi, Anita	Associate	Commercial Real Es Associates	2008		Toronto	01/04/2016
Hurst, Roland	Associate	Capital Markets & F Associates	2011		Vancouver	10/10/2017
Ivanovic, Gordana	Associate	Advocacy & Employ Associates	2006		Calgary	02/13/2017
Jalette, Catherine	Associate	Advocacy & Employ Associates	2010		Montreal	03/21/2016
Jaryle, Max A.	Associate	Financial Services Associates	2015		Montreal	05/12/2014
Jarvis (Pudari), Sasa	Associate	Capital Markets & F Associates	2015		Vancouver	05/06/2013
Jones, Charles	Counsel	Advocacy & Employ Counsel	1992	1/1/2014	Calgary	10/01/2012
Kaddis, Mirna	Associate	Advocacy & Employ Associates	2015		Montreal	05/12/2014
Khalili, Shahram	Associate	Commercial Real Es Associates	2017		Toronto	05/04/2015
Kilpatrick, Sarah	Associate	Regulatory Associates	2009		Ottawa	05/07/2007
Kline, Adam	Equity Partner	Capital Markets & F Partner	2005	1/1/2018	Toronto	09/08/2014
Knight, Anthony	Counsel	Commercial Real Es Counsel	1977	1/1/2017	Vancouver	05/09/1976
Koch, Alexandre	Associate	Business Law Associates	2012		Montreal	04/08/2016
Koczerinski, Mitchell	Associate	Advocacy & Employ Associates	2015		Toronto	05/06/2013
Kostic, Kosta	Equity Partner	Capital Markets & F Partner	2002	1/1/2016	Montreal	02/19/2014
Kubrick, Geoffrey C.	Equity Partner	Regulatory Partner	1988	1/1/2015	Ottawa	09/01/2005
Lambert, Kyle M.	Associate	Advocacy & Employ Associates	2013		Ottawa	09/23/2013
Le, Yanyen	Counsel	Capital Markets & F Counsel	1994		Montreal	06/28/2013
Lee, Wonseok William	Associate	Financial Services Associates	2017		Toronto	05/04/2015
Lemieux, Maxime	Counsel	Capital Markets & F Counsel	2006		Montreal	12/07/2015
Levine, Jeffrey	Equity Partner	Advocacy & Employ Partner	2008	1/1/2018	Toronto	05/08/2006
Loney, Julia C.	Principal	Business Law Principal	2011		Calgary	05/11/2009

Lorimer, H. Lindsay	Equity Partner	Advocacy & Employ	Partner	2000	1/1/2017	Toronto	07/25/2007
Lvons, Carol V.	Counsel	Financial Services	Counsel	1989	1/1/2017	Toronto	07/02/1987
Ma, Jessica	Associate	Financial Services	Associates	2014		Vancouver	03/01/2018
MacDonald, Daniel V.	General Counsel/CR	Risk Management		1983		Toronto	09/16/1985
MacNeil, Janine M.	Counsel	Regulatory	Counsel	2002	1/1/2014	Toronto	05/01/2000
Mahoney, Richard	Counsel	Regulatory	Counsel	1987	9/13/2016	Ottawa	09/13/2016
Maidment, J. Scott	Equity Partner	Advocacy & Employ	Partner	1989	1/1/2015	Toronto	07/28/1992
Mainland, Don	Lawyer	Regulatory	Counsel	1979		Vancouver	06/12/1989
Mandel, Jennifer	Counsel	Commercial Real Es	Counsel	2006		Toronto	05/30/2016
Marks, Allison	Associate	Capital Markets & F	Associates	2016		Toronto	05/05/2014
Martin, Kathy A.	Equity Partner	Financial Services	Partner	2002	1/1/2015	Toronto	05/01/2000
Martyn, Scott	Counsel	Commercial Real Es	Counsel	2011	2/1/2017	Toronto	02/01/2017
Masson, Auguste	Counsel	Business Law	Counsel	1984	1/4/2016	Montreal	01/04/2016
McDonald, Morgan	Associate	Business Law	Associates	2013		Vancouver	05/09/2012
McKechnie, Dave J.	Equity Partner	Advocacy & Employ	Partner	2004	1/1/2015	Toronto	05/06/2002
McNee, Margaret C.	Equity Partner	Capital Markets & F	Partner	1985	1/1/2015	Toronto	06/27/1983
McWilliam, Bruce N.	Counsel	Business Law	Counsel	1985	1/1/2014	Toronto	09/01/1984
Mendelsohn, Max	Counsel	Financial Services	Counsel	1966	1/1/2014	Montreal	07/02/1965
Méthé, Béatrice	Associate	Business Law	Associates	2015		Montreal	09/09/2016
Michoulas, Asterios	Equity Partner	Commercial Real Es	Partner	2003	2/14/2018	Vancouver	02/14/2018
Mirakian, Shahan A.	Counsel	Financial Services	Counsel	2002		Toronto	06/05/2000
Mirza, Ahsan	Principal	Financial Services	Principal	2011		Toronto	05/04/2009
Munk-Manel, Shari	Principal	Advocacy & Employ	Principal	2006		Montreal	06/30/2015
Munro, James R.	Equity Partner	Capital Markets & F	Partner	2005	1/1/2016	Vancouver	05/17/2004
Murray, Patrick W.	Counsel	Commercial Real Es	Counsel	1995	1/1/2016	Ottawa	12/01/2006
Musorove, James B.	Equity Partner	Regulatory	Partner	1986	1/1/2015	Toronto	02/03/1984
Nagashima, Jeffrev	Associate	Business Law	Associates	2015		Toronto	05/06/2013
Nieuwenhuis, Ruth Janelle	Associate	Advocacy & Employ	Associates	2017		Vancouver	05/04/2015
Niski, Mikolaj	Associate	Business Law	Associates	2017		Toronto	05/04/2015
O'Hara, Jonathan P.	Principal	Regulatory	Principal	2012		Ottawa	06/27/2011
Ono, Herbert	Counsel	Capital Markets & F	Counsel	1990	1/1/2016	Vancouver	08/08/2005
Opashinov, Mark	Equity Partner	Regulatory	Partner	1998	1/1/2015	Toronto	05/06/1996
Padineau-Wolff, Sophie	Associate	Business Law	Associates	2016		Montreal	05/02/2016
Parkin, Jennifer	Counsel	Capital Markets & F	Counsel	1984		Toronto	09/17/1997
Parliament, Lisa D.	Equity Partner	Advocacy & Employ	Partner	2004	1/1/2015	Toronto	05/06/2002
Pascu, Andrei	Principal	Advocacy & Employ	Principal	2010		Montreal	08/20/2009
Petel, Yonatan	Equity Partner	Financial Services	Partner	2009	1/1/2018	Montreal	05/12/2008
Petersen, Ronald S.	Counsel	Advocacy & Employ	Counsel	1983	1/1/2018	Ottawa	01/15/1995
Phelan, Patrick J.	Counsel	Business Law	Counsel	1983	1/1/2014	Toronto	06/15/1981
Rafi, Leila	Counsel	Capital Markets & F	Counsel	2006		Toronto	11/21/2016
Rando, Michel M.	Equity Partner	Tax	Partner	1997	1/1/2018	Montreal	09/16/2013
Rankin, Jeremy	Associate	Advocacy & Employ	Associates	2016		Toronto	05/05/2014
Rankin, Michael S.	Equity Partner	Regulatory	Partner	1987	1/1/2015	Ottawa	05/01/1990
Rav, Lauren	Associate	Advocacy & Employ	Associates	2016		Toronto	05/05/2014
Reardon, Peter	Counsel	Financial Services	Counsel	1978	1/1/2018	Vancouver	01/01/1987
Reid, Michael E.	Principal	Business Law	Principal	2011		Vancouver	05/04/2009
Reilly, Katherine A.	Principal	Advocacy & Employ	Principal	2007		Vancouver	09/05/2006
Ricchetti, Alexander	Associate	Financial Services	Associates	2017		Toronto	05/04/2015
Richmond, Michael J.	Equity Partner	Business Law	Partner	2000	1/1/2015	Toronto	05/13/2004
Rock, Rebecca M.	Associate	Business Law	Associates	2015		Vancouver	05/06/2013
Rosentzweig, David L.	Counsel	Business Law	Counsel	1978	1/1/2014	Montreal	06/15/1976
Rostom, Wael	Equity Partner	Financial Services	Partner	2000	1/1/2015	Toronto	05/05/1997
Rozario, Nicole	Associate	Advocacy & Employ	Associates	2017		Toronto	05/04/2015
Rudensky, Adriana	Associate	Business Law	Associates	2014		Toronto	05/14/2012
Rvlands, Kourtnev	Associate	Business Law	Associates	2015		Calgary	05/06/2013
Sabet (Yukobrat), Andriela	Associate	Capital Markets & F	Associates	2013		Vancouver	05/09/2011
Saga, Candy	Counsel	Financial Services	Counsel	1986	5/1/2014	Vancouver	05/01/2014
Sagan, Maria	Principal	Financial Services	Principal	2011		Toronto	05/04/2009
Saini, Lovepreet	Associate	Advocacy & Employ	Associates	2013		Calgary	05/04/2015
Samara, Caroline	Associate	Business Law	Associates	2013		Toronto	05/09/2011
Sbrocchi, Sandra	Counsel	Business Law	Counsel	2005		Ottawa	05/05/2003
Scavone, Robert M.	Counsel	Financial Services	Counsel	1987	1/1/2014	Toronto	05/28/1984
Shannon, Michael	Principal	Capital Markets & F	Principal	1998		Vancouver	09/12/2011
Shore, Robert J.	Principal	Commercial Real Es	Principal	2015		Toronto	07/06/2015
Simson, Jeffrev B.	Counsel	Advocacy & Employ	Counsel	1980	1/1/2014	Toronto	07/04/1978
Stewart, C. Brett	Equity Partner	Business Law	Partner	2005	1/1/2015	Toronto	05/05/2003
Stirling, Andrew J.	Principal	Tax	Principal	2008		Toronto	05/08/2006
Sutcliffe, James	Equity Partner	Financial Services	Partner	1993	9/11/2017	Vancouver	09/11/2017
Sutton, Kailev	Associate	Commercial Real Es	Associates	2017		Toronto	05/04/2015
Suvarinathan, Valenteena	Associate	Capital Markets & F	Associates	2017		Toronto	05/04/2015
Thomson, Martin J.	Equity Partner	Advocacy & Employ	Partner	2003	1/1/2015	Ottawa	05/06/2002
Thomson, Patrick	Principal	Advocacy & Employ	Principal	2005		Ottawa	05/03/2004
Thirina, David E.	Equity Partner	Financial Services	Partner	1981	1/1/2015	Toronto	06/01/1983
Tickle, Vicki	Counsel	Financial Services	Counsel	2008	7/4/2017	Vancouver	07/04/2017
Tombs, Anna	Associate	Advocacy & Employ	Associates	2014		Toronto	05/14/2012
Tran, Marina	Associate	Capital Markets & F	Associates	2015		Vancouver	05/06/2013
Tremblay, Janie	Counsel	Financial Services	Counsel	1997		Calgary	07/12/2016
Tsena, Pablo Jorge Z.Y.	Associate	Regulatory	Associates	2013		Vancouver	07/31/2017
Valdivieso, Maria	Associate	Capital Markets & F	Associates	2016		Toronto	10/24/2016
Vallières, Eric	Equity Partner	Advocacy & Employ	Partner	1992	1/1/2015	Montreal	04/22/2002
Vineberg, Phillo De Benedictis	Associate	Business Law	Associates	2017		Toronto	05/04/2015
Vitain, Jamieson D.	Principal	Advocacy & Employ	Principal	2011		Vancouver	05/04/2009
Wahidie, Ehsanullah	Associate	Tax	Associates	2016		Toronto	05/05/2014
Wasser, Lyndsay	Equity Partner	Advocacy & Employ	Partner	2004	1/1/2018	Toronto	12/04/2006
Weerasooriya, Tushara N.	Counsel	Financial Services	Counsel	2005		Toronto	05/05/2003
Wells, Peter E.	Counsel	Regulatory	Counsel	1978	1/1/2014	Toronto	09/07/1976
Wilks, Jamie M.	Counsel	Tax	Counsel	1989		Toronto	03/26/2001
Willis, Peter A.	Counsel	Financial Services	Counsel	1965	1/1/2017	Toronto	08/14/1989
Wisner, Robert	Equity Partner	Advocacy & Employ	Partner	1996	1/1/2015	Toronto	05/10/1993
Wong, Enda	Principal	Business Law	Principal	2009		Montreal	05/12/2008
Wong, Grant	Counsel	Capital Markets & F	Counsel	1997	1/1/2016	Vancouver	05/01/1995
Wortley, Stephen	Equity Partner	Capital Markets & F	Partner	1985	1/1/2015	Vancouver	05/07/1984
Wust, Jeffrev D.	Principal	Capital Markets & F	Principal	2004		Vancouver	09/18/2006
Wyovch, Jonathan	Associate	Advocacy & Employ	Associates	2017		Toronto	05/04/2015
Yousoff, Angela	Associate	Capital Markets & F	Associates	2017		Vancouver	09/14/2017
Zacks, Cara	Associate	Advocacy & Employ	Associates	2013		Toronto	05/09/2011
Zhao, Sandra	Principal	Capital Markets & F	Principal	2011		Toronto	05/04/2009
Zhou, David	Associate	Business Law	Associates	2016		Toronto	05/05/2014

Patent and Trade Mark Agents as of April 2018

Employee Name (Last Suffix, First MI)	Job	NOG	Work Location	Original Hire
Abdel-Kader, Sherif A.	Patent/Technical Spclst	Regulatory	Toronto	5/16/2016
Terrefe, Tilaye	Patent/Technical Spclst	Technology & IP	Toronto	1/23/2017

Paralegals and Law Clerks as at April 2018

Atkinson, Susan	Corp. Compliance Clerk	Business Law	Vancouver	08/15/2016
Balis, Mary	Law Clerk	Commercial Real Estate	Toronto	11/13/2017
Bertrand, Edith	Translator	Translation Services	Montreal	10/13/2015
Bokser, Paula	Law Clerk	Financial Services	Toronto	10/07/1987
Burch, Nicole	Law Clerk	Business Law	Toronto	03/12/2018
Cairns, Sandra	Corp. Compliance Clerk	Business Law	Toronto	09/05/2017
Chan, Venice	Paralegal	Capital Markets & M&A	Vancouver	03/17/1997
Chang, Alice C.	Paralegal	Financial Services	Vancouver	07/04/2006
Chatziadamos, Mary	Paralegal	Business Law	Montreal	08/06/2007
Chaves, Nancy	Law Clerk	Advocacy & Employment	Toronto	03/08/1999
Cheng, Karen	Paralegal	Capital Markets & M&A	Vancouver	05/23/2017
Chmurzewski, Magdalena	Law Clerk	Business Law	Toronto	06/26/2017
Clemens, Julie M.	Paralegal	Capital Markets & M&A	Vancouver	05/03/2006
Corneau, Doris	Law Clerk	Business Law	Ottawa	09/01/1977
Dapito, Evelyn	Law Clerk	Regulatory	Toronto	10/06/2008
Dhillon, Susan	Supervisor, Corp Services	Business Law	Vancouver	04/01/1999
Dilag, Regina V.	Junior Paralegal	Business Law	Vancouver	07/16/2012
Doyle, Melanie	Law Clerk	Capital Markets & M&A	Toronto	01/29/2018
Dyck, Rebecca	Law Clerk	Business Law	Toronto	06/26/2017
Freeman, Jennifer (Juleanna) E.	Paralegal	Capital Markets & M&A	Vancouver	01/30/2006
Gauthier, Monique	Paralegal	Commercial Real Estate	Montreal	05/24/2016
Gay, Frédérique	Paralegal	Financial Services	Montreal	12/15/2008
Genovezos, Panagiota (Penny)	Paralegal	Business Law	Montreal	03/19/2007
Gerrard, Lisa K.	Paralegal	Financial Services	Calgary	11/15/2016
Goldman, Carolyn	Supervisor, Corp Services	Business Law	Toronto	09/12/2016
Gould, Eleanor	Law Clerk	Commercial Real Estate	Toronto	02/21/2017
Hills, Fiona	Law Clerk	Business Law	Toronto	06/05/2017
King, Judith	Law Clerk	Business Law	Toronto	06/24/2013
Laniel, Alice F.	Paralegal	Capital Markets & M&A	Vancouver	05/01/2000
Liang, Connie	Jr. Corp. Compliance Clerk	Business Law	Vancouver	11/10/2014
Liew, Joni S.	Paralegal	Capital Markets & M&A	Vancouver	09/03/2004
Lim, Krystal	Paralegal	Financial Services	Vancouver	02/19/2014
Liu, Hao Ran	Paralegal	Financial Services	Montreal	04/25/2016
Longo, Marianna	Law Clerk	Business Law	Toronto	12/01/1997
Ly, Anna	Law Clerk	Financial Services	Toronto	06/04/2007
McDonald, Eunice	Paralegal	Commercial Real Estate	Vancouver	09/18/2017
McDonald, Kimberlee	Paralegal	Business Law	Vancouver	05/23/2017
McIntyre, Barry W.	Title Searcher/Conveyance	Commercial Real Estate	Toronto	01/04/1990
McNamara, Sharon	Paralegal	Capital Markets & M&A	Vancouver	02/18/2002
Miller, Karen	Paralegal	Financial Services	Vancouver	01/11/1984
Moore, Maria	Law Clerk	Commercial Real Estate	Toronto	02/23/1987
Mujagic, Sieglinde (Sigi)	Paralegal	Commercial Real Estate	Vancouver	07/02/1975
Murphy, Jane	Law Clerk	Advocacy & Employment	Ottawa	05/16/2016
Pelchat, Martine	Supervisor, Corp Services	Business Law	Montreal	08/18/2014
Petrenko, Diana	Supervisor, Corp Services	Business Law	Calgary	03/04/2013
Pouliot, Deborah	Law Clerk	Advocacy & Employment	Toronto	10/26/1986
Rocca, Linda A.	Paralegal	Capital Markets & M&A	Vancouver	10/01/1996
Seto, Sareena	Paralegal	Financial Services	Calgary	02/29/2016
Singleton, Megan	Law Clerk	Financial Services	Toronto	03/24/2008
Spina, Linda	Law Clerk	Business Law	Toronto	06/26/2017
Stasiuk, Amanda	Law Clerk	Commercial Real Estate	Toronto	04/05/2016
Surendran, Dashmini	Law Clerk	Commercial Real Estate	Toronto	01/30/2017

Paralegals and Law Clerks as at April 2018

Taylor, Susan Y.	Paralegal	Advocacy & Employment	Vancouver	06/29/2009
Testa, Raffaella	Paralegal	Business Law	Montreal	05/16/2016
Therrien, Carmen	Translator/Coordinator	Translation Services	Montreal	07/31/2017
Thuilleaux, Sabine	Mgr, Linguistic Services	Translation Services	Montreal	06/25/2014
Trajkovski, Tina	Law Clerk	Business Law	Toronto	09/12/2016
Tremblay, Glen	Paralegal	Financial Services	Vancouver	11/20/2000
Tsang, Cecilia W.	Paralegal	Business Law	Vancouver	10/27/2008
Turcotte, Sindy	Paralegal	Business Law	Montreal	06/13/2011
Tuschak, Karen	Dir, Law Clerk/Paralegals	Professional Growth & Mgm	Toronto	07/18/2016
Tyrrell, Susan J.	Law Clerk	Financial Services	Toronto	02/20/2006
Villegas (Ng), May	Law Clerk	Commercial Real Estate	Toronto	01/09/2012
Wang, Qi	Law Clerk	Commercial Real Estate	Toronto	01/14/2013
Whittington, Leigh	Law Clerk	Commercial Real Estate	Ottawa	06/28/2013
Wong, Cecilia S.	Paralegal	Capital Markets & M&A	Vancouver	03/21/2005
Zee, Barbara P.	Law Clerk	Capital Markets & M&A	Toronto	05/12/1992
Zorrilla, Douglas	Paralegal	Commercial Real Estate	Vancouver	08/22/2016

Other Employees as at April 2018

Abdullah, Karim F.	Business Centre Assistant	Business Centre	Toronto	02/01/1982
Aben (McDonald), Crystal	Legal Administrative Assi	Legal Support Services	Calgary	10/15/2013
Abramovitz, Jessica	Legal Administrative Assi	Legal Support Services	Toronto	06/26/2017
Ahmed, Omar	Team Lead, Office & Facil	Business Centre	Ottawa	08/22/2016
Aiello, Mirella	Legal Administrative Assi	Legal Support Services	Toronto	06/04/1984
Alfiero, Nunziatina	Hospitality Coordinator	Hospitality Concierge Ser	Montreal	06/26/2017
Ali, Zaid	Solutions Architect	Information Technology	Toronto	01/30/2017
Alty, Blayne	Supvr, Hospitality & Conc	Hospitality Concierge Ser	Vancouver	05/16/2013
Amrit, Mona	Legal Administrative Assi	Legal Support Services	Toronto	06/14/2011
Angeles, Maria	Supervisor, Office Servic	Business Centre	Vancouver	03/03/2014
Anghel, Radu-Mihail	Senior Economist	Administration	Ottawa	10/24/2017
Anghelescu, Iuliana Daniela	Office Services Assistant	Business Centre	Vancouver	02/15/2016
Ansell, David	Doc Spec/Learning Develop	Document Specialist Centr	Toronto	11/29/2010
Anthony, Katherine	Coord, Hosp & Conc Serv	Hospitality Concierge Ser	Toronto	03/13/2017
Antonelli, Robin	Learning&Performance Spec	Learning & Development	Toronto	05/03/2004
Antunes, Denis	Account Manager	Marketing & Bus. Developm	Toronto	01/15/2018
Arseneau, Robert	Coordinator, Firm Events	Hospitality Concierge Ser	Toronto	08/29/2011
Arseneau-Lacoursiere, Veronique	File Management Specialis	Legal Support Services	Montreal	01/09/2012
Asmerom, Josef	Facilities Assistant	Facilities	Toronto	02/06/2004
Asrula, Rebecca	Legal Administrative Assi	Legal Support Services	Toronto	07/04/2017
Atwood, Judith	Dir, Professional Resourc	Professional Growth & Mgm	Toronto	07/14/2008
Aubin, Lyne	Legal Administrative Assi	Legal Support Services	Montreal	01/04/2016
Ayesu-Attah, Esinam	Office Services Assistant	Business Centre	Vancouver	05/29/2017
Babos, Robert	Graphic Designer	Marketing & Bus. Developm	Toronto	01/16/2017
Backus, Gavyn	Articling/Student at Law	Students- Articling	Vancouver	05/02/2016
Baddeley, Jennifer J.	Sr. Dir, Strat Init & Pro	Administration	Vancouver	09/15/2010
Badiali, Ida	Supvr Payroll & Benefits	Human Resources	Toronto	07/18/2016
Baker, Allison	LAA/Paralegal	Legal Support Services	Vancouver	07/24/2007
Baltazar, Sylvie	Legal Administrative Assi	Legal Support Services	Montreal	02/28/2000
Bara, Erika	Legal Administrative Assi	Legal Support Services	Montreal	02/13/2012
Barrientos Martinez, Juan Carlos	Billing Coordinator	Finance/Accounting	Toronto	07/22/2011
Bastaldo, Jhanez	Accounts Payable Admin	Finance/Accounting	Toronto	07/04/2016
Baudhuin, Ophelie	Administrative Student	Info Security & Governanc	Montreal	11/02/2017
Beaudoin, Danielle	Library Technician	Research and Library Serv	Montreal	12/11/2006
Bell, J. Robert	Articling/Student at Law	Students- Articling	Ottawa	05/02/2016
Belluz, Ben G.	Digital Marketing Special	Marketing & Bus. Developm	Toronto	03/12/2018
Berberian, Georges	Bus.Dev.Mgr - Pitches&Pro	Marketing & Bus. Developm	Montreal	08/22/2016
Bhinder, Guneev	Articling/Student at Law	Students- Articling	Toronto	05/02/2016
Blissoon, Radica R.	Coordinator, Prof. Grwth/	Professional Growth & Mgm	Toronto	05/16/2017
Boeyen-Landriault, Kathy	Legal Administrative Assi	Legal Support Services	Ottawa	02/01/1993
Bokser, Samantha	Assistant, Mktg&Bus.Dev.	Marketing & Bus. Developm	Toronto	05/16/2016
Bone, Andrew F.	Billing Coordinator	Finance/Accounting	Calgary	06/15/2015
Bonk, Michelle A.	Legal Administrative Assi	Legal Support Services	Toronto	05/08/1995
Borojeni, Alma	Articling/Student at Law	Students- Articling	Toronto	05/02/2016
Briltz, Nenetie (Trinitas) T.	Legal Administrative Assi	Legal Support Services	Toronto	05/28/1990
Brown, Perlita	Trust Accounting Admin	Finance/Accounting	Vancouver	06/10/2014
Buchanan, Bibi	Legal Administrative Assi	Legal Support Services	Toronto	11/26/2012
Buder, Laura	Office Administrator/LAA	Legal Support Services	Ottawa	10/07/2010
Butler, Daniel	Facilities Assistant	Facilities	Toronto	06/04/2012
Byers, Nicole	File Management Specialis	Legal Support Services	Calgary	10/16/2017
Calderon, Sharon	Legal Administrative Assi	Legal Support Services	Toronto	05/12/1986
Callaghan, Jennifer	File Management Specialis	Legal Support Services	Toronto	06/13/2011
Callon, Linda	Legal Administrative Assi	Legal Support Services	Calgary	05/08/2017
Camina, Cesar	Business Centre Assistant	Business Centre	Toronto	02/01/2016
Cao, Da Feng	IT Solutions Specialist	Information Technology	Toronto	03/06/2017
Care, Alissa	Document Specialist	Document Specialist Centr	Toronto	03/14/2016
Carlos, Henedine May S.	Billing Coordinator	Finance/Accounting	Toronto	10/01/1985

Other Employees as at April 2018

Carter, Natasha	Hospitality Services Asst	Hospitality Concierge Ser	Vancouver	07/05/2017
Castonguay, Victoria B.	Lead Asst, Hosp & Conc Se	Hospitality Concierge Ser	Toronto	04/17/2000
Celebre, Jessica	Library Clerk	Research and Library Serv	Toronto	02/12/2018
Cenne, Ann	Application Support Spec	Information Technology	Ottawa	09/22/1986
Chaput, Marthe	Legal Administrative Assi	Legal Support Services	Ottawa	12/03/2012
Charitonov, Elizabeth	Co-op Student	Document Specialist Centr	Toronto	04/24/2017
Chaudhary, Namrata	Business Systems Analyst	EPMO	Toronto	04/24/2017
Chen, Bozena M.	Legal Administrative Assi	Legal Support Services	Toronto	02/17/2003
Chen, Sherry	Document Specialist/Train	Document Specialist Centr	Ottawa	05/16/2012
Chernawski, Amanda	Research Librarian	Research and Library Serv	Toronto	08/28/2017
Cheung, Joanna S.	Legal Administrative Assi	Legal Support Services	Toronto	11/19/2001
Chien, Annie	Legal Administrative Assi	Legal Support Services	Toronto	11/14/1988
Chieng, Tong	Solutions Architect	Information Technology	Toronto	04/30/2012
Childerhose, Christopher M.	System Engineer	Information Technology	Toronto	04/08/2013
Chilelli, Amanda	Legal Administrative Assi	Legal Support Services	Montreal	08/27/2012
Chitsabesan, Lakshman	AV Technician	Facilities	Toronto	11/27/2017
Chiu, Teresa Wai Ling	CRM Assistant	Marketing & Bus. Developm	Toronto	02/23/2018
Cho, Mathew	Project Manager, EPMO	EPMO	Toronto	08/09/2017
Chun, Adrian	Enterprise Solutions Arch	Information Technology	Toronto	06/08/2015
Cierna, Katarina	Human Resources Coordinat	Human Resources	Ottawa	02/27/2012
Clarke, Samuel	Mgr,Tax,Treas.& Ptnr Cap.	Finance/Accounting	Toronto	09/23/1991
Coates, Carolyn	Document Specialist	Document Specialist Centr	Vancouver	04/22/2013
Cofell-Peña, Ré	DSC/Legal Support Ast	Document Specialist Centr	Toronto	06/05/2017
Colceriu, Ludmila	Account Manager	Marketing & Bus. Developm	Toronto	04/10/2017
Cole (Smith), Nadine	Supervisor, Billing	Finance/Accounting	Toronto	08/06/2013
Conn, Robert W.	Team Lead, Office & Facil	Business Centre	Calgary	09/17/2014
Coolahan, Karen M.	Legal Administrative Assi	Legal Support Services	Toronto	06/26/1989
Correa, Luisa	Legal Administrative Assi	Legal Support Services	Vancouver	03/16/2015
Costa, John	Facilities Manager	Facilities	Toronto	12/06/2016
Crilly, Luke	Trust Accounting Admin	Finance/Accounting	Toronto	08/28/2017
Csiszar, Emily A.	Articling/Student at Law	Students- Articling	Vancouver	05/02/2016
Cucca, Caterina	Billing Coordinator	Finance/Accounting	Montreal	02/27/2012
Currell, Susanne	Legal Administrative Assi	Legal Support Services	Toronto	01/22/2007
Da Ponte, M. Fatima	Legal Administrative Assi	Legal Support Services	Toronto	10/04/1993
DaSilva, Angela	Admin Coord, Hosp & Concl	Hospitality Concierge Ser	Toronto	09/28/1987
David, Jennifer L.	Legal Administrative Assi	Legal Support Services	Toronto	06/15/2015
Deans, Brandon T.	Articling/Student at Law	Students- Articling	Vancouver	05/02/2016
Delgado, Leandra	Mgr,Conflicts & Risk Man.	Risk Management	Toronto	01/21/2013
Deol, Kirandeep Kaur	Legal Administrative Assi	Legal Support Services	Vancouver	03/05/2018
Derbyshire, Janet	Executive Assistant	Human Resources	Toronto	10/30/1989
Désilets, Lucie	Manager, Marketing & Bus	Marketing & Bus. Developm	Montreal	01/15/2018
Desrosiers, Johanne	Executive Receptionist	Hospitality Concierge Ser	Montreal	09/17/1999
Dhaene, Andreas S.	Bar School	Students- Articling	Montreal	05/08/2017
Dhillon, Kiran	Legal Administrative Assi	Legal Support Services	Toronto	03/02/2015
DiFebo, Amanda	Legal Administrative Assi	Legal Support Services	Toronto	03/28/2016
Dimitriou, Melis	Conflict & Risk Manag Ast	Risk Management	Toronto	02/16/2016
Dimitrova, Milena	Legal Administrative Assi	Legal Support Services	Toronto	11/17/2008
Dobashi, Keiko	File Management Specialis	Legal Support Services	Toronto	10/23/2017
Donaher, Stephanie J.	Nat.Dir,Prof.Growth/Mgmt	Professional Growth & Mgm	Toronto	05/04/1998
D'Silva, Janis	Trade Mark Admin Clerk	Administration	Toronto	09/04/2007
D'Souza, Sydel	Legal Administrative Assi	Legal Support Services	Vancouver	10/06/2016
Duckworth, Claire F.	National Director, Financ	Finance/Accounting	Toronto	08/26/2013
Durand, Debbie	File Management Specialis	Legal Support Services	Vancouver	08/14/2017
Eckerman, Tracy	Document Specialist	Document Specialist Centr	Toronto	01/12/2016
Elvey, Rasha	Evening Team Leader, DSC	Document Specialist Centr	Toronto	11/03/2008
Eno, Laura	Library Technician	Research and Library Serv	Vancouver	03/15/1993
Evans, Jessica	Document Specialist	Document Specialist Centr	Toronto	12/18/2017

Other Employees as at April 2018

Ewing, Chandra	Articling/Student at Law	Students- Articling	Toronto	05/02/2016
Farinas, Iris	Executive Receptionist	Hospitality Concierge Ser	Calgary	05/23/2017
Farndon, Sandra E.	Legal Administrative Assi	Legal Support Services	Toronto	09/17/1990
Fernandez, Mark R.	Program Manager	EPMO	Toronto	06/24/2013
Fike, Jacqueline L.	DSC/Legal Support Ast	Document Specialist Centr	Vancouver	07/04/2017
Filippelli, Sofia S.	Legal Administrative Assi	Legal Support Services	Toronto	03/28/2011
Fiorella, Tina	Project Manager, EPMO	EPMO	Toronto	04/09/2012
Flynn, Nicole M.	Legal Administrative Assi	Legal Support Services	Toronto	01/24/2000
Fonseca, Helena	Legal Administrative Assi	Legal Support Services	Toronto	09/14/2015
Francini, Lisa	Controller, Price & Rptg	Finance/Accounting	Toronto	06/16/2014
Frechette, Shanna	Document Specialist/Train	Document Specialist Centr	Montreal	10/13/2015
Friars, Farzana	Pursuit Advisor	Marketing & Bus. Developm	Toronto	08/08/2017
Gameau-Ross, Louise	Nat.Coordinator, PG&M	Professional Growth & Mgm	Toronto	09/17/2007
Gedge, Jonathan	Info Governance Analyst	Info Security & Governanc	Toronto	09/25/2017
Gent, Michel	Financial Systems Analyst	Finance/Accounting	Toronto	09/15/2014
Giannini, Franca	Office Manager	Administration	Montreal	05/25/1991
Gibbar, Patricia	Legal Administrative Assi	Legal Support Services	Calgary	03/19/2018
Glass, Lori	eDiscovery Support Analys	E-Discovery Support	Toronto	11/21/2016
Glavin, Beth	Legal Administrative Assi	Legal Support Services	Ottawa	11/04/1985
Goldfarb, Brenda	Collections Clerk	Finance/Accounting	Toronto	08/09/2016
Goncalves, Cindy L.	Accounts Payable Admin	Finance/Accounting	Toronto	03/20/1996
Goncalves, Lidia M.	Supervisor, Business Cent	Business Centre	Toronto	10/01/1990
Gonnet, Stephanie	Pursuit Advisor	Marketing & Bus. Developm	Toronto	04/03/2017
Gordeyev, Iliia	Tech Support Analyst II	Information Technology	Toronto	09/24/2012
Gordon, Freda	DSC/Legal Support Ast	Document Specialist Centr	Toronto	12/19/2011
Gouveia, Susan D.	Legal Administrative Assi	Legal Support Services	Toronto	11/25/1991
Graden, Ashleigh	Research Librarian	Research and Library Serv	Toronto	04/11/2016
Gray, Colette M.	Legal Administrative Assi	Legal Support Services	Toronto	04/01/1997
Greene, Loraine M.	Legal Admin Asst- Floater	Legal Support Services	Vancouver	01/02/2008
Greer, Courtney	Office Services Assistant	Business Centre	Ottawa	08/14/2017
Gregory, Dawn (Ingrid)	Exec Rec, Hosp & Concl	Hospitality Concierge Ser	Toronto	01/03/1977
Guglietti, Patrick V.	Team Lead, Trust & Treasu	Finance/Accounting	Toronto	05/14/2007
Haji, Pyarali A.	Facilities Assistant	Facilities	Toronto	10/29/1980
Harries, Ronald M.	Tech Support Analyst	Information Technology	Vancouver	12/03/2012
Healey, Larry	Director, 3E & EPMO	Finance/Accounting	Toronto	04/07/2014
Helal, Bushra	NOG Account Manager	Marketing & Bus. Developm	Toronto	12/15/2016
Hénault, Isabelle	Office Services Assistant	Business Centre	Montreal	12/17/2008
Hernandez, Lilliana	Legal Administrative Assi	Legal Support Services	Montreal	11/06/2017
Hévin, Candice	Bar School	Students- Summer Law	Montreal	01/11/2018
Hewgill, Wendy D.	Legal Administrative Assi	Legal Support Services	Toronto	09/04/1984
Homem, Cristina	Legal Administrative Assi	Legal Support Services	Toronto	06/26/2000
Hope, Dionne	Legal Administrative Assi	Legal Support Services	Toronto	04/23/2012
Hussain, Pamela	Asst, Hosp & Conc Service	Hospitality Concierge Ser	Toronto	08/22/2011
Igbinigie, Nneka	Tech Support Analyst	Information Technology	Calgary	11/04/2013
Indyk, Priscil	Legal Administrative Assi	Legal Support Services	Vancouver	01/02/2007
Inglese, Amanda	GlobalAct Administrator	Legal Support Services	Toronto	09/11/2017
Jardim Costa, Nancy M.	Nat.Coordinator, PG&M	Professional Growth & Mgm	Toronto	01/05/1998
Jay, Carolyn	Mgr,HRIS,Payroll&Benefits	Human Resources	Toronto	07/25/2016
Jennings, Martha	Library Technician	Research and Library Serv	Toronto	09/23/2013
Jinah, Alnoor M.	AV Technician	Facilities	Toronto	03/13/1995
Jolliffe, Prairie	Legal Administrative Assi	Legal Support Services	Vancouver	06/27/2011
Kalicharan, Rose M.	Business Centre Coordinat	Business Centre	Toronto	10/05/2015
Kalmokoff, Kathryn Michele	Document Specialist	Document Specialist Centr	Vancouver	05/03/2016
Kan, Kelly	Articling/Student at Law	Students- Articling	Toronto	05/02/2016
Kane, Marlene J.	Dir. of Profess.Dev.,Nat.	Professional Growth & Mgm	Toronto	03/17/1986
Kastner, Brenda	Document Specialist	Document Specialist Centr	Toronto	05/09/2016
Khan, Amer	Dir, Info Security & Gov	Info Security & Governanc	Toronto	07/04/2016

Other Employees as at April 2018

Khan, Suraya	Legal Administrative Assi	Legal Support Services	Vancouver	06/14/2004
Kibjis, Dena A.	Legal Administrative Assi	Legal Support Services	Toronto	11/15/1995
Kim, Da Hee	Staff Accountant	Finance/Accounting	Toronto	11/14/2016
Kmiec, Kinga	Legal Administrative Assi	Legal Support Services	Toronto	05/21/2002
Koalicki, Monica	Billing Coordinator	Finance/Accounting	Toronto	07/31/2017
Kokkinakos, Patricia	Legal Administrative Assi	Legal Support Services	Toronto	05/31/1999
Koszler, Bianka	Office Services Assistant	Business Centre	Calgary	10/17/2016
Kwong, Ada	Legal Administrative Assi	Legal Support Services	Toronto	10/15/1990
Lacroix, Leona	Trust & Billing Administr	Finance/Accounting	Ottawa	02/17/2015
Lai, Jessica	Legal Administrative Assi	Legal Support Services	Vancouver	05/28/2012
Laking, Alan	Articling/Student at Law	Students- Articling	Toronto	05/02/2016
Lam, Karen	Legal Administrative Assi	Legal Support Services	Vancouver	04/25/2016
Lameiro, Wendy	Legal Administrative Assi	Legal Support Services	Toronto	04/03/1989
Lapierre, Andrea	Legal Administrative Assi	Legal Support Services	Vancouver	04/30/2012
Lavoie, Geneviève	Articling/Student at Law	Students- Articling	Montreal	09/08/2016
Law, Amanda	Human Resources Specialis	Human Resources	Toronto	06/19/2017
Le, Thi Thu Huyen	Manager, Human Resources	Human Resources	Vancouver	05/16/2017
Leach, Nina E.	Ntl Mgr, Hosp & Con Serv.	Hospitality Concierge Ser	Toronto	11/13/2000
Leduc, Valérie-Eve	Legal Administrative Assi	Legal Support Services	Montreal	08/14/2017
Lee, Jocelyn	Legal Administrative Assi	Legal Support Services	Toronto	02/17/2015
Lee, Monica	Executive Assistant	Administration	Vancouver	05/23/2017
Lemajic, Alexis	Articling/Student at Law	Students- Articling	Toronto	05/02/2016
Leo, Wilma	Legal Administrative Assi	Legal Support Services	Toronto	03/14/1988
Leslie, Daisy A.	Legal Administrative Assi	Legal Support Services	Toronto	02/20/2006
Leung, Yvonne	Nat.Mgr Resear & Lib Serv	Research and Library Serv	Toronto	01/09/2012
Lian, May Cui Wei	Legal Administrative Assi	Legal Support Services	Calgary	12/29/2014
Liang, Amy	Evening Document Speciali	Document Specialist Centr	Toronto	10/10/2017
Ligere, Marcia	Billing Coordinator	Finance/Accounting	Toronto	07/14/2014
Lin, Min	Accounts Payable Admin	Finance/Accounting	Toronto	03/25/2013
Liu, Jia	Mgr, Fin Planning & Analy	Finance/Accounting	Toronto	09/24/2012
Lok, Bryan	Office Services Assistant	Business Centre	Vancouver	01/16/2017
LoMonaco, Joanne	Legal Administrative Assi	Legal Support Services	Toronto	02/28/2005
Louie, Susan	Legal Administrative Assi	Legal Support Services	Toronto	02/03/1994
Luketic, Kimberly A.	National Manager, DSC	Document Specialist Centr	Toronto	11/27/1989
Lussier, France A.	DSC/Legal Support Ast	Document Specialist Centr	Montreal	08/29/2011
Ma, Lingli	DSC/LSA	Document Specialist Centr	Vancouver	10/31/2016
Macfarlane, Judith A.	Dir, Professional Resourc	Professional Growth & Mgm	Vancouver	12/05/2011
MacRae, Deborah	Legal Administrative Assi	Legal Support Services	Calgary	12/03/2014
Maharaj, Judy	Supervisor, Collections	Finance/Accounting	Toronto	12/07/2015
Majiedt, Nadine	Legal Administrative Assi	Legal Support Services	Calgary	05/01/2017
Malette, Andrea	Human Resources Generalis	Human Resources	Toronto	10/20/2014
Manalo, Eunica	Office Services Assistant	Business Centre	Vancouver	10/02/2017
Marks, Lynn	Admin. Assistant	Vantage	Ottawa	12/01/2016
Martella, Adriana	Legal Administrative Assi	Legal Support Services	Montreal	01/03/1983
Martinez Mora, Samuel	Solutions Architect	Information Technology	Toronto	04/29/2013
Mavani, Habib	Articling/Student at Law	Students- Articling	Toronto	05/02/2016
McDonnell, Niamh	Legal Administrative Assi	Legal Support Services	Vancouver	03/06/2017
McGinn, Michael	Learning & Dev Specialist	Learning & Development	Vancouver	08/15/2016
McGreer, Claudette	Legal Administrative Assi	Legal Support Services	Toronto	03/24/2014
McLaughlin, Graham	Vantage Associate	Vantage	Ottawa	06/01/2017
Medeiros, Lucy	Legal Administrative Assi	Legal Support Services	Toronto	03/22/1999
Medeiros, Sheila M.	Legal Administrative Assi	Legal Support Services	Vancouver	02/21/2000
Menzies, Melissa	Corporate Services Assist	Legal Support Services	Toronto	11/06/2017
Messenger, Crystal M.	Legal Administrative Assi	Legal Support Services	Vancouver	03/25/2008
Metcalfe, Benjamin J.	Document Specialist/Train	Document Specialist Centr	Calgary	08/11/2014
Middleton, Melanie	DSC/Legal Support Ast	Document Specialist Centr	Vancouver	07/10/2017
Miroshnichenko, Natalia	Manager, Human Resources	Human Resources	Vancouver	08/02/2016

Other Employees as at April 2018

Moloney, Brittany	Human Resources Coordinat	Human Resources	Toronto	12/07/2015
Moore, Gundy	Legal Administrative Assi	Legal Support Services	Vancouver	05/13/2013
Mottley, Lance	Mgr, Enterprise Architect	Information Technology	Toronto	10/28/2013
Muir, Linda	Executive Receptionist	Hospitality Concierge Ser	Vancouver	02/07/1985
Mundy, Julie A.	Project Manager, EPMO	EPMO	Toronto	11/17/2003
Nacalaban, Nino Alan A.	Billing Coordinator	Finance/Accounting	Vancouver	07/31/2017
Napolitano, Margherita	Legal Administrative Assi	Legal Support Services	Toronto	01/06/1986
Nasr, Maria	Articling/Student at Law	Students- Articling	Ottawa	05/02/2016
Nesovic, Emily	Coord,Online Content & Co	Innovation & Information	Toronto	05/13/2013
Nguyen, Loan	Billing Coordinator	Finance/Accounting	Toronto	03/13/2017
Nicholson, Janette	Learning Technologist	Learning & Development	Toronto	06/20/1994
Notarangelo, Daniel	IT Solutions Specialist	Information Technology	Montreal	11/28/2011
Offeneo Drechsler, Gemmalene V.	Document Specialist	Document Specialist Centr	Toronto	07/07/2003
Okroj, Diana B.	Legal Administrative Assi	Legal Support Services	Toronto	05/04/2015
Oldenburg, Kelly R.	Mkt & Bus Devel Specialis	Marketing & Bus. Developm	Calgary	08/11/2014
Ottaviano, Mary (Mariella)	Legal Administrative Assi	Legal Support Services	Toronto	05/08/1995
Ouellet, Guillaume	Team Lead, Office & Facil	Business Centre	Montreal	02/19/1996
Pai, Judy	Controller, ExpOps&Report	Finance/Accounting	Toronto	02/11/2013
Paiger, Allison	Ntl Mgr, Fac & Office Ser	Facilities	Vancouver	07/16/2012
Pallotta, Anthony	Articling/Student at Law	Students- Articling	Toronto	05/02/2016
Palmas, Pedro	Director, Technical Servi	Information Technology	Toronto	10/28/2010
Papillon, Karine	Coord Trade Mark/LAA	Legal Support Services	Montreal	05/04/2015
Paransky, Simon	Bar School	Students- Articling	Montreal	05/08/2017
Parisani, Jillian	Legal Administrative Assi	Legal Support Services	Toronto	04/18/2017
Park, Mee Kyung	DSC/Legal Support Ast	Document Specialist Centr	Toronto	02/18/2014
Partito, Andrea	Process Improv & Incid Mg	Information Technology	Toronto	04/03/2017
Pelletier, May S.	Legal Administrative Assi	Legal Support Services	Vancouver	04/04/2005
Péloquin, Catherine	Dir, Professional Resourc	Professional Growth & Mgm	Montreal	05/27/2010
Pertschi, Sigrid	Supervisor,Legal Sup Serv	Legal Support Services	Vancouver	04/10/2013
Peters, Alison	Ntl Recruitment Specialis	Human Resources	Ottawa	05/27/2013
Phanor, Wanda	Legal Administrative Assi	Legal Support Services	Ottawa	11/05/1990
Pinto, Rita	Legal Administrative Assi	Legal Support Services	Toronto	04/04/1983
Polese, Colleen	Records Clerk	Info Security & Governanc	Toronto	05/08/2017
Portogallo, Loretta	Legal Administrative Assi	Legal Support Services	Vancouver	05/01/2017
Potter, Joanne E.	Legal Administrative Assi	Legal Support Services	Toronto	09/12/1983
Pouliot, Madelaine	Client life Cycle Coordin	Finance/Accounting	Toronto	03/14/2011
Powell, Ashley C.	DSC/Legal Support Ast	Document Specialist Centr	Toronto	10/26/2015
Prashar, Rajat	National Director, IT	Information Technology	Toronto	09/12/2011
Pratt, Karen	Legal Administrative Assi	Legal Support Services	Toronto	06/05/2017
Prefontaine, Connie	Document Spec/Conf Asst	Document Specialist Centr	Calgary	05/01/2009
Provencher, Jessica	Document Specialist	Document Specialist Centr	Ottawa	01/17/2011
Provost, Brittany	Executive Receptionist	Hospitality Concierge Ser	Ottawa	08/14/2017
Pugh, April	Legal Administrative Assi	Legal Support Services	Toronto	03/06/2006
Purdon, Christopher D.	Ntl Mgr, E-Discovery Supp	E-Discovery Support	Toronto	06/03/2013
Ragheb, Suzan S.	Collections Clerk	Finance/Accounting	Toronto	10/07/2015
Railkar, Pranita	E-billing Coordinator	Finance/Accounting	Toronto	11/11/2013
Ramlall, Sabrina	Manager, Human Resources	Human Resources	Toronto	04/21/2008
Ramos, Sylvia	Asst, Hosp & Conc Service	Hospitality Concierge Ser	Toronto	04/04/2001
Rampergass, Fareeda	Admin. Assistant	Marketing & Bus. Developm	Toronto	11/26/2012
Rana, Shiaheen M.	DSC/Legal Support Ast	Document Specialist Centr	Toronto	05/20/2003
Reachill, Amy	Document Specialist	Document Specialist Centr	Toronto	06/21/2004
Richards, Trish	Legal Administrative Assi	Legal Support Services	Toronto	05/20/2003
Rider, Nisha	National Director, HR	Human Resources	Toronto	06/01/1994
Roberts, Karen	Billing Coordinator	Finance/Accounting	Vancouver	06/23/2014
Roberts, Louis	Tech Support Analyst	Information Technology	Montreal	12/19/2016
Robertson, Justin	Mktg & Comm. Specialist	Marketing & Bus. Developm	Toronto	02/13/2017
Robillard, Kate E.	Articling/Student at Law	Students- Articling	Calgary	05/02/2016

Other Employees as at April 2018

Rodas Alvarenga, Ricardo	Office Services Assistant	Business Centre	Ottawa	02/12/2018
Rogers, Sondra	File Mngt Spec/Admin Asst	Legal Support Services	Ottawa	01/02/2018
Romero, Tara	DSC/Legal Support Ast	Document Specialist Centr	Toronto	01/30/2006
Roudenskaia, Marina	Billing Coordinator	Finance/Accounting	Vancouver	11/25/2013
Salama, Sherif	Tech Support Analyst	Information Technology	Toronto	10/23/2017
Samuel, Roxanne	Billing Coordinator	Finance/Accounting	Toronto	09/02/2014
Schrager, Ilana C.	Associate Dir, Prof Resco	Professional Growth & Mgm	Vancouver	09/09/2015
Sebastian, Denise	Legal Administrative Assi	Legal Support Services	Toronto	10/09/2012
Shageer, Nardiya	File Management Specialis	Legal Support Services	Toronto	04/23/2012
Shahabun, Rehan	Document Specialist	Document Specialist Centr	Toronto	01/03/2017
Shaw, Grace E.	Articling/Student at Law	Students- Articling	Vancouver	05/02/2016
Shaw, Ruth	Legal Administrative Assi	Legal Support Services	Toronto	06/11/2007
Shen, Kuo	Financial Analyst	Finance/Accounting	Toronto	01/04/2016
Shering, Rebecca	Legal Administrative Assi	Legal Support Services	Toronto	03/15/2010
Sherlock, Holly	Articling/Student at Law	Students- Articling	Vancouver	05/02/2016
Shetty, Rose M.	Document Specialist	Document Specialist Centr	Toronto	06/05/1989
Shunthirasingham, Nundini	Enterprise App Developer	Information Technology	Toronto	11/14/2016
Shustova, Oksana	Legal Administrative Assi	Legal Support Services	Montreal	05/10/2010
Singh DeSouza, Shalini	Legal Administrative Assi	Legal Support Services	Toronto	08/23/1999
Singh, Kamini M.	Team Leader, A/P	Finance/Accounting	Toronto	07/19/1993
Smith, Jane G.	Mkt & Bus Devel Specialis	Marketing & Bus. Developm	Vancouver	05/16/2017
Smith, Kelly	Trust Accounting Admin	Finance/Accounting	Montreal	12/11/1992
Somers, Jeremy	Office Services Assistant	Business Centre	Montreal	03/19/2018
Sorgini, Annalisa	Manager, LSS	Legal Support Services	Toronto	07/10/2017
Spanjers, Emily	Research Librarian	Research and Library Serv	Vancouver	10/07/2013
Spano-Greco, Catena	Legal Administrative Assi	Legal Support Services	Toronto	09/19/1988
Spoltore, Marlene	Records Mgmt Assistant	Info Security & Governanc	Toronto	10/27/1971
Ste. Marie, Shannon	Articling/Student at Law	Students- Articling	Toronto	05/02/2016
Steffensen, Donna	Legal Administrative Assi	Legal Support Services	Ottawa	11/01/2004
Steinberg, Amelia	Info Governance Assistant	Info Security & Governanc	Vancouver	01/02/2018
Sudeikis, Elaine	Cash Receipts Admin	Finance/Accounting	Toronto	03/13/2017
Szilagyi, Balazz	Team Leader, Audio Visual	Facilities	Toronto	06/20/2011
Tadayyon, Hadi	Data Scientist	Innovation & Information	Toronto	07/04/2016
Taylor, Brent A.	Ntl Mgr, Learning & Devel	Learning & Development	Toronto	09/21/2015
Taylor, Susan E.	DSC/Legal Support Ast	Document Specialist Centr	Toronto	10/09/2012
Thambialah, Thavaseelan	Enterprise App Developer	Information Technology	Toronto	12/12/2011
Thayne, Carolyn	Legal Administrative Assi	Legal Support Services	Calgary	02/13/2017
Theoret, France	National Payroll Administ	Human Resources	Montreal	10/02/1989
Thomas, Arlette	Document Specialist	Document Specialist Centr	Montreal	01/23/2017
Thomas, Brent	Articling/Student at Law	Students- Articling	Toronto	05/02/2016
Tierman, Dana L.	Billing Coordinator	Finance/Accounting	Toronto	04/13/2015
Toman, Maryanne A.	Document Specialist	Document Specialist Centr	Toronto	09/07/1976
Tomovic, Milena	Coordinator	Professional Growth & Mgm	Montreal	01/23/2013
Tracy, Tanya	Legal Administrative Assi	Legal Support Services	Vancouver	09/11/1995
Truong-Ta, Kelly (San Ngoc)	Hospitality Services Asst	Hospitality Conierge Ser	Toronto	08/28/2017
Tsang, Euphemia L.	Legal Administrative Assi	Legal Support Services	Toronto	06/07/1993
Tsin, Susanna S.	Exec/Legal Admin Assistan	Legal Support Services	Vancouver	09/17/2001
Tsumagari, David	Legal Administrative Assi	Legal Support Services	Calgary	07/02/2013
Turson, Valérie	Legal Administrative Assi	Legal Support Services	Montreal	06/26/2017
Valani, Gulamali K.	Business Centre Assistant	Business Centre	Toronto	11/11/1985
Valencia, Juan	Tech Support Analyst	Information Technology	Toronto	09/19/2016
Vallières, Mathilde	Administrative Student	Info Security & Governanc	Montreal	05/24/2016
van den Hoogen, Thomas	Bar School	Students- Articling	Montreal	05/08/2017
Van Rooyen, Patricia	Document Specialist	Document Specialist Centr	Toronto	02/16/2004
Veldman, Amanda L.	Executive Receptionist	Hospitality Conierge Ser	Vancouver	02/06/2017
Verity, Alison	Office Manager	Administration	Calgary	08/08/2016
Viani, Judy	Legal Administrative Assi	Legal Support Services	Vancouver	10/07/1991

Other Employees as at April 2018

Villar, Ricardo	Business Centre Assistant	Business Centre	Toronto	03/16/2015
Viner, Lori	Legal Administrative Assi	Legal Support Services	Vancouver	05/09/2016
Vukorep, Darlene	Legal Administrative Assi	Legal Support Services	Vancouver	11/04/1985
Wagg, Michelle M.	Team Lead, Doc Spec/LSS	Document Specialist Centr	Toronto	05/06/1998
Walker, James	Ntl Program Manager, EPMO	EPMO	Toronto	03/25/2013
Walls, Tracy	Legal Administrative Assi	Legal Support Services	Toronto	08/30/1999
Wang, Sunny Yi-Jung	Human Resources Coordinat	Human Resources	Vancouver	07/31/2017
Watson, Stacie L.	Legal Administrative Assi	Legal Support Services	Vancouver	03/21/2011
Webb, Candace E.	Trust Accounting Admin	Finance/Accounting	Calgary	10/14/2014
Weber, Stephanie	Business Centre Assistant	Business Centre	Toronto	01/01/2011
Wei, Min	General Accountant	Finance/Accounting	Vancouver	11/10/2014
Wilhelmina, April	National Records Manager	Info Security & Governanc	Vancouver	01/14/2013
Williams, Suzie	Director, Business Develo	Marketing & Bus. Developm	Toronto	05/29/2017
Willscroft, Melissa	Research Librarian	Research and Library Serv	Toronto	10/10/2017
Wong, Sally	Articling/Student at Law	Students- Articling	Vancouver	05/02/2016
Woo, Francisco	Articling/Student at Law	Students- Articling	Toronto	05/02/2016
Yankowski, Frances	Billing Coordinator	Finance/Accounting	Montreal	09/11/1989
Yee, Melinda	Legal Administrative Assi	Legal Support Services	Vancouver	11/07/2005
Yukawa, Mari L.	Legal Administrative Assi	Legal Support Services	Vancouver	04/25/2000
Yung, Grace	Legal Administrative Assi	Legal Support Services	Toronto	08/22/1988
Yung, Michelle	Legal Administrative Assi	Legal Support Services	Toronto	02/24/1997
Zheng, Kang	Info Security Analyst	Info Security & Governanc	Toronto	12/12/2016

Professional Corporations as of April 2018

Avis, Paul	Equity Partner	Financial Services	Toronto	Avis Prof Corp
Balakrishnan, Desmond	Equity Partner	Capital Markets & M&A	Vancouver	DMB Law Corporation
Black, Ryan J.	Equity Partner	Business Law	Vancouver	Ryan Black Law Corp.
Botz, Peter	Equity Partner	Tax	Vancouver	Botz Law Corporation
Campbell, Neil	Equity Partner	Regulatory	Toronto	A Neil Campbell Prof Corp
Chapple, Bruce A.	Equity Partner	Business Law	Toronto	B. Chapple Prof Corp
Chertin, Jason Alexander	Equity Partner	Capital Markets & M&A	Toronto	JASON A. CHERTIN PROFESSIONAL CORPORATION
Chevrette, Charles	Equity Partner	Business Law	Montreal	9275-2203 QUEBEC INC.
Chisholm, Damon J.	Equity Partner	Commercial Real Estate	Vancouver	Damon J Chisholm Law Corp
Clifford, John F.	Equity Partner	Business Law	Toronto	John F Clifford Prof Corp
Collins, Barbara J.	Counsel	Capital Markets & M&A	Vancouver	Barbara J. Collins
Derbawka, Janet L.	Counsel	Commercial Real Estate	Vancouver	Janet L. Derbawka LawCorp
Deutsch, Thomas	Equity Partner	Capital Markets & M&A	Vancouver	Thomas J Deutsch Law Corp
DeVuono, Carl A.	Equity Partner	Business Law	Toronto	C. De Vuono Prof Corp
Drabinsky, Howard M.	Equity Partner	Business Law	Toronto	Howard Drabinsky Prof Cor
Duncan, Dwight	Senior Strategic Advisor	Marketing & Bus. Developm	Toronto	1890825 Ontario Inc
Dunlop, David	Equity Partner	Business Law	Toronto	David Dunlop Prof Corp
Floyd, Gary	Equity Partner	Capital Markets & M&A	Vancouver	Altus Equity Law Corp
Flynn-Guglietti, Mary L.	Equity Partner	Commercial Real Estate	Toronto	Mary L. Flynn-Guglietti P
Friedman, Eric B.	Equity Partner	Financial Services	Toronto	Eric B. Friedman Prof Cor
Friedman, Michael F.	Equity Partner	Tax	Toronto	Michael F. Friedman Prof C
Garrah, Christopher J.	Equity Partner	Business Law	Toronto	C.J. Garrah Prof. Corp.
Grenier, Glenn	Equity Partner	Advocacy & Employment	Toronto	Glenn Grenier Prof. Corp.
Groom, Sharon	Equity Partner	Regulatory	Toronto	S.E. Groom Prop Corp
Gustafson, Karl	Equity Partner	Regulatory	Vancouver	K.E.G. Law Corporation
Harkness, Craig	Equity Partner	Commercial Real Estate	Calgary	Craig S. Harkness PC
Hee, Ernie	Counsel	Commercial Real Estate	Vancouver	EAH Law Corporation
Junger, Robin	Equity Partner	Regulatory	Vancouver	RMJ Law Corp
Kennedy, Michael P.	Senior Counsel	Capital Markets & M&A	Vancouver	M. Kennedy Law Corp.
Kent, Andrew J.	Equity Partner	Financial Services	Toronto	Andrew J F Kent Prof Corp
Kent, Cory	Equity Partner	Capital Markets & M&A	Vancouver	C.H. Kent Law Corporation
Kent, David W.	Equity Partner	Advocacy & Employment	Toronto	D. W. Kent Prof Corp
Knowler, Sandra	Equity Partner	Business Law	Vancouver	Sandra Knowler Law Corp
Krupa, Henry	Counsel	Regulatory	Toronto	Henry Krupa Law Prof Corp
Maerov, Adam	Equity Partner	Financial Services	Calgary	Adam C. Maerov Prof. Corp
McIlwain, Greg	Equity Partner	Business Law	Calgary	Gregory J. McIlwain
McInnes, David	Counsel	Advocacy & Employment	Vancouver	N. David McInnes Law Corp
Miller, Todd	Equity Partner	Tax	Toronto	T.A. Miller Prof Corp
Morrison, John	Counsel	Financial Services	Vancouver	John D. Morrison Law Corp
Murphy, Timothy J.	Equity Partner	Financial Services	Toronto	Timothy J. Murphy PC
Neighbor, Mark C.	Equity Partner	Capital Markets & M&A	Vancouver	Mark Neighbor Law Corpora
Onn, Andrea L.	Equity Partner	Commercial Real Estate	Toronto	Andrea Onn Prof Corp.
Pedlow, Douglas	Counsel	Business Law	Vancouver	Douglas H Pedlow Law Corp
Pereira, Jill R.	Equity Partner	Financial Services	Vancouver	Jill R. Pereira Law Corp
Pinsonnault, Guy	Counsel	Regulatory	Ottawa	Guy Pinsonnault Avocat In
Raffin, Leo	Equity Partner	Capital Markets & M&A	Vancouver	Leo Raffin Law Corp
Resnick, Mark	Counsel	General Legal and Adminis	Ottawa	Parallax Public Affairs I
Rigby, Stephen C.	Equity Partner	Business Law	Toronto	Stephen C.E. Rigby PC
Rogers, R.D. Jeffrey	Equity Partner	Financial Services	Toronto	R.D. Jeffrey Rogers PC
Ross, David N.	Equity Partner	Commercial Real Estate	Toronto	David N. Ross Prof Corp
Rowlands, William A.	Equity Partner	Commercial Real Estate	Toronto	W.Rowlands Prof Corp.
Scott, Thomas	Equity Partner	Business Law	Toronto	T.E. Scott Prof Corp
Skwarok, Mark	Counsel	Advocacy & Employment	Vancouver	Mark Skwarok Law Corp
Slan, David	Equity Partner	Commercial Real Estate	Toronto	David Slan Prof Corp
Stead, Andrew E.	Equity Partner	Advocacy & Employment	Calgary	Andrew E. Stead Prof Corp
Stuhldreier, Lucia	Counsel	Regulatory	Vancouver	L M Stuhldreier Law Corpo
Taylor, Michael	Equity Partner	Capital Markets & M&A	Vancouver	MHT Law Corporation
Templeton, Michael D.	Equity Partner	Tax	Toronto	Michael Templeton Prof Co
Thackray, Michael A.	Counsel	Business Law	Calgary	Michael A. Thackray PC

Professional Corporations as of April 2018

Theodorakis, Tom	Equity Partner	Business Law	Vancouver	Tom Theodorakis Law Corp
Tougas, Francois	Equity Partner	Regulatory	Vancouver	FT Law Corporation
Waters, Donald	Equity Partner	Financial Services	Toronto	D. M. Waters Prof Corp
Whitcombe, Michael P.	Equity Partner	Business Law	Toronto	M. P. Whitcombe Prof Corp
Yaksich, Mickey	Counsel	Tax	Toronto	Mickey Yaksich Prof Corp
Young, Joan M.	Equity Partner	Advocacy & Employment	Vancouver	Joan M. Young Law Corp
Zinkhofer, Bernhard J.	Equity Partner	Capital Markets & M&A	Vancouver	Bernard Zinkhofer LC
Zivot, Louis	Equity Partner	Advocacy & Employment	Vancouver	Louis J. Zivot Law Corp

APPENDIX C
ACTIVE NON-LAWYER COUNSULTANTS OF THE FIRM AS OF MARCH 1, 2017
(Excluding Patent & Trademark Agents)

Name of Firm: McMillan LLP

SECTION A

Professional Service Provided or Type of Profession	# Person in Same Profession	Location (Province)	Client Contact	Advise Clients	Supervised by Lawyers	Underlying Insurance ^{/1}	% of Time Docketed ^{/2}
Strategic Advisors	3	VAN	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	0.00
Strategic Advisors	1	TOR	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	0.00
Strategic Advisor	1	HK	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	0.00
Strategic Advisors	4	OTT	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	0.00
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	

SECTION B

Please provide the following details on the underlying insurances purchased and attach a copy of the policies:

Type of Exposure: Misc. Professional Liability
Insurance Carrier: Chubb Insurance Company of Canada
Policy Number: 8207-4107
Period of Insurance: October 31, 2017 - October 31, 2018
Retroactive Date: _____
Limits: \$ 2,000,000 per claim, \$ 2,000,000 aggregate

Type of Exposure: _____
Insurance Carrier: _____
Policy Number: _____
Period of Insurance: _____
Retroactive Date: _____
Limits: \$ _____ per claim, \$ _____ aggregate

^{/1} If underlying insurance is purchased, please complete Section B.

^{/2} PLEASE COMPLETE THIS COLUMN ONLY FOR INDIVIDUALS WHO ARE NOT ACTING UNDER THE SUPERVISION OF A LAWYER AND FOR THAT PORTION OF TIME THE INDIVIDUAL IS NOT ACTING UNDER THE SUPERVISION OF A LAWYER.

Day, Stockwell	Senior Strategic Advisor	Marketing & Bus. Developm	Vancouver	7/1/2011
Duncan, Dwight	Senior Strategic Advisor	Marketing & Bus. Developm	Toronto	2/2/2013
Reynolds, John	Senior Strategic Advisor	Marketing & Bus. Developm	Vancouver	2/16/2006
Dai, Hongyan	Senior Strategic Advisor	Marketing & Bus. Developm	Vancouver	03/12/2012
Shao, Patty	Strategic Advisor	Marketing & Bus. Developm	Hong Kong	
Resnick, Mark	Managing Director	McMillan Vantage	Ottawa	
Green, Veronica	Consultant Strategic Communications	McMillan Vantage	Ottawa	
McLaughlin, Graeme	Principal	McMillan Vantage	Ottawa	
Osgoode, Robyn	Principal, Communications	McMillan Vantage	Ottawa	

*

Mahoney, Richard
Murphey, Tim
Chevrette, Charles
Cullen, Timothy
O'Hara, Jonathan
Kubrick, Geoffrey
Flynn-Guglietti, Mary
Forristal, Annik
Jones, Richard
Junger, Robin
Richmond, Mike
Willis, Peter

* The individuals highlighted above are practising lawyers who also engage in providing advisory services and are listed (or will be listed) in our Strategic Advisors Professional Liability Policy. They have been included in the lawyer headcount and not in the advisors headcount since they primarily are engaged in the practice of law.

CHUBB INSURANCE COMPANY OF CANADA

DECLARATIONS

RENEWAL OF: 8207-4107
(October 31, 2016 to October 31, 2017)

POLICY NUMBER: 8207-4107

THIS IS A CLAIMS MADE POLICY WHICH APPLIES ONLY TO ANY CLAIM FIRST MADE AGAINST THE INSURED AND REPORTED IN WRITING TO THE COMPANY DURING THE POLICY PERIOD OR, IF PURCHASED, THE EXTENDED REPORTING PERIOD. THE LIMITS OF LIABILITY AVAILABLE TO PAY DAMAGES SHALL BE REDUCED BY DEFENCE EXPENSES, AND DEFENCE EXPENSES WILL BE APPLIED AGAINST THE DEDUCTIBLE.

Item 1. **INSURED - ADDRESS:**

LML & S Limited Partnership., McMillan LLP, McMillan Vantage Policy Group LP
1500 - 1055 West Georgia Street
VANCOUVER, BC V6E4N7

Item 2. **POLICY PERIOD:**

- (a) Inception Date: October 31, 2017
 - (b) Expiration Date: October 31, 2018
- at 12:01 a.m. both dates at the Address in ITEM 1.

Item 3. **LIMITS OF LIABILITY (inclusive of DEFENCE Expenses):**

- (a) \$2,000,000.00 maximum limit of liability each **Claim or Related Claims**, but not to exceed
- (b) \$2,000,000.00 maximum aggregate limit of liability under the Policy for all **Claims**.

Item 4. **DEDUCTIBLE:** \$10,000.00 each **Claim or Related Claims**.

Item 5. **PREMIUM:** \$12,968.00

Item 6. **PROFESSIONAL SERVICES:**

Business and Market Research, Public Sector Consulting but excluding Legal Services

Item 7. **RETROACTIVE DATE:** Not Applicable, at 12:01 a.m. at the Address in ITEM 1.

CHUBB

Miscellaneous Professional Liability

IN WITNESS WHEREOF, CHUBB INSURANCE COMPANY OF CANADA has caused this policy to be signed by its President.

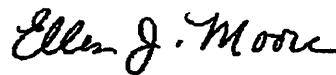
CHUBB INSURANCE COMPANY OF CANADA



Authorized Representative

11/22/2017

Date



President

THIS IS A CLAIMS MADE POLICY WITH DEFENCE EXPENSES INCLUDED IN THE LIMITS OF LIABILITY. PLEASE READ THE ENTIRE POLICY CAREFULLY.

Subject to the applicable limits of liability and all of the terms and conditions of this Policy, Chubb Insurance Company of Canada (the Company) and the Insured agree as follows:

I. INSURING AGREEMENT

The Company will pay on behalf of the Insured Damages which the Insured shall become legally obligated to pay and DEFENCE Expenses as a result of any Claim first made against the Insured during the Policy Period and reported in writing to the Company during the Policy Period for a Wrongful Act first committed on or after the Retroactive Date stated in ITEM 7 of the Declarations. As part of and subject to the applicable limits of liability, the Company shall have the right and duty to defend any such Claim, even if the Claim is groundless.

II. DEFINITIONS

- (A) "Claim" means any civil action, suit, proceeding or demand by any person or entity seeking to hold the Insured responsible for monetary damages as a result of a Wrongful Act actually or allegedly committed by the Insured or by any other person for whose Wrongful Acts the Insured is legally responsible.
- (B) "Damages" means judgments or settlements negotiated with the approval of the Company; however, Damages shall not include:
- (1) fines, taxes, or penalties;
 - (2) fees or other charges of the Insured; or
 - (3) punitive or exemplary damages or the multiplied portion of any multiplied damage award, except where permitted by law Damages shall include, an amount not exceeding the sum of \$25,000, the multiplied portion of a multiplied damages award or an award of punitive or exemplary damages, which sum shall be part of and not in addition to the applicable limits of liability.
- (C) "DEFENCE Expenses" means reasonable expenses and legal fees incurred with the approval of the Company in the investigation, adjustment, DEFENCE or appeal of a Claim against the Insured; however, DEFENCE Expenses shall not include salaries, overhead or benefit expenses or other fees and charges of the Insured.
- (D) "Insured" means the person or entity stated in ITEM 1 of the Declarations, and any natural person who was, is or shall become a director, officer, employee or partner thereof, but only while such person was, is or shall be acting within the scope of his or her duties as such.
- (E) "Policy Period" means the period from the inception date to the expiration date stated in ITEM 2 of the Declarations, or to any earlier cancellation date.
- (F) "Professional Services" means only services performed for others for a fee and which are listed in ITEM 6 of the Declarations.
- (G) "Related Claims" means collectively all Claims involving the same Wrongful Act or Wrongful Acts which are logically or causally connected by reason of any common fact, circumstance, situation, transaction, event or decision.
- (H) "Wrongful Act" means any actual or alleged act, error or omission committed solely in the performance of, or failure to perform Professional Services.
-

III. EXCLUSIONS

This Policy shall not apply to any **Claim**:

- (A) based on or directly or indirectly arising out of or resulting from any criminal, fraudulent, dishonest or discriminatory act or omission or any act, error or omission committed with knowledge of its wrongful nature or with intent to cause damage, or the gaining by the **Insured** of any personal profit, gain or advantage to which the **Insured** was not legally entitled; however, the Company shall reimburse the **Insured** for any **DEFENCE Expenses** that would have been payable under this Policy if, upon final disposition of such **Claim**, such allegations have not been proven;
 - (B) for non-pecuniary relief;
 - (C) based on or directly or indirectly arising out of or resulting from any actual or alleged bodily injury to or sickness, disease or death of any person, or damage to or destruction of any tangible property, including the loss of use thereof;
 - (D) based on or directly or indirectly arising out of or resulting from:
 - (1) any actual, alleged or threatened exposure to, or generation, storage, transportation, discharge, emission, release, dispersal, escape, treatment, removal or disposal of any smoke, vapors, soot, fumes, acids, alkalis, toxic chemicals, liquids or gases, waste materials (including materials which are intended to be or have been recycled, reconditioned or reclaimed) or other irritants, pollutants or contaminants, or
 - (2) any regulation, order, direction or request to test for, monitor, clean up, remove, contain, treat, detoxify or neutralize any of the foregoing, or any action taken in contemplation or anticipation of any such regulation, order, direction or request;
 - (E) by or on behalf of any person or entity included within the definition of **Insured** against any other person or entity included within the definition of **Insured**;
 - (F) based on or directly or indirectly arising out of or resulting from the performance of or failure to perform **Professional Services** for:
 - (1) the **Insured**, or
 - (2) any entity owned or controlled by any person or entity included within the definition of **Insured**, or
 - (3) any person or entity which owns or controls any entity included within the definition of **Insured**, or
 - (4) any entity which is under common ownership or control with any entity included within the definition of **Insured**, or
 - (5) any entity of which any person included within the definition of **Insured** is a director, officer, partner or principal shareholder;
 - (G) based on or directly or indirectly arising out of or resulting from any act, error, omission, fact, circumstance, situation, transaction, event or decision which is the subject of any notice or claim under any prior policy; or any other act, error, or omission, whenever occurring, which is logically or causally connected by reason of any common fact, circumstance, situation, transaction, event or decision, with any act, error or omission which is the subject of such notice or such claim.
-

IV. CONDITIONS**(A) Territory:**

This Policy applies only to **Wrongful Acts** committed, and to **Claims** made against the **Insured**, in the United States of America, its territories or possessions, or Canada.

(B) Notice:

- (1) As a condition precedent to any right to coverage afforded by this Policy, the **Insured** must give written notice to the Company of any **Claim** as soon as practicable after such **Claim** is first made and, subject to Section IV (C) below, during the **Policy Period** or **Extended Reporting Period**, if applicable.
- (2) If the **Insured** becomes aware of any circumstances which may subsequently give rise to a **Claim** against the **Insured** and, during the **Policy Period** or, if applicable,

The **Extended Reporting Period**, gives the Company written notice of

- (1) the nature and date of the specific **Wrongful Act**, and
- (2) the names of potential claimants, and
- (3) the injury or consequences which have or might result therefrom, and
- (4) the manner in which the **Insured** first became aware of the potential for a **Claim** therefrom, then any **Claim** subsequently made against the **Insured** arising out of such **Wrongful Act** shall be deemed to have been made during the **Policy Period** or, if applicable, the **Extended Reporting Period**.

(C) Additional Time to Report Certain Claims:

If a **Claim** is first made against the **Insured** during the last fourteen (14) calendar days of the **Policy Period**, such **Claim** will be deemed to have been reported to the Company during the **Policy Period** if:

- (1) the **Insured** makes reasonable efforts to report such **Claim** immediately, and
- (2) the **Insured** gives the Company written notice of such **Claim** no later than fourteen (14) calendar days after the **Policy Period**.

(D) Date of Related Claims:

Related Claims shall be deemed to have been first made at the earlier of the following times:

- (1) at the time the earliest of the **Related Claims** was first made, or
- (2) at the earliest time at which notice was given under any policy of insurance of any act, error, omission, fact, circumstance, situation, transaction, event or decision underlying any of the **Related Claims**.

(E) DEFENCE and Settlement of Claims:

The **Insured** shall not admit any liability for or settle any **Claim** or incur any costs, charges or expenses without the written consent of the Company. The Company shall have the right to make investigations and conduct negotiations and, with the written consent of the **Insured**, enter into such settlement of any **Claim** that the Company deems appropriate. If the **Insured** shall refuse to consent to a settlement acceptable to the claimant in accordance with the Company's recommendation, the **Insured** shall thereafter negotiate or defend such **Claim** at the **Insured's** own expense independently of the Company, and, subject to the applicable limits of liability of this Policy, the Company's liability for such **Claim** shall not exceed the amount for which such **Claim** could have been settled plus **DEFENCE Expenses** incurred with the Company's consent up to the date the **Insured** refused to settle such **Claim**.

(F) **Limits of Liability and Deductibles:**

- (1) Regardless of the number of **Claims**, the number of persons or entities included with the definition of **Insured**, or the number of claimants who make **Claim** against the **Insured**:
 - (a) the amount stated in ITEM 3(a) of the Declarations shall be the maximum limit of liability of the Company for all **Damages** and **DEFENCE Expenses** resulting from each **Claim** or **Related Claims** made against the **Insured**, which amount shall be part of and not in addition to the amount stated in ITEM 3(b) of the Declarations, and
 - (b) the amount stated in ITEM 3(b) of the Declarations shall be the maximum aggregate limit of liability of the Company for all **Damages** and **DEFENCE Expenses** resulting from all **Claims** under this Policy, and
 - (c) the deductible stated in ITEM 4 of the Declarations shall apply separately to each **Claim** or **Related Claims** and shall also apply to either **Damages** or **DEFENCE Expenses** or both.
- (2) **DEFENCE Expenses** shall be part of and not in addition to the applicable limits of liability, and payment of **DEFENCE Expenses** by the Company shall reduce, and may exhaust, the applicable limits of liability.
- (3) The obligations of the Company to pay **Damages** and to defend any **Claim** seeking **Damages** from the **Insured** or pay **DEFENCE Expenses** shall only be in excess of the deductible, which amount shall be borne by the **Insured** at the **Insured's** own expense. The Company shall have no obligation whatsoever, either to the **Insured** or to any other person or entity, to pay all or any portion of the deductible amount on behalf of the **Insured**. The Company shall, however, at its sole discretion, have the right and option to do so, in which event the **Insured** will repay the Company any amounts so paid.
- (4) The Company shall not be obligated to pay any **Damages** or to defend or continue to defend any **Claim** after the applicable limit of liability has been exhausted by the payment of **Damages** or **DEFENCE Expenses** or both.

(G) **Extended Reporting Period:**

- (1) If this Policy is not renewed for any reason or is canceled for any reason other than for non-payment of premium, the **Insured** shall have the right to purchase an extension of the coverage granted by this Policy for a period of one (1) year after the effective date of such cancellation or non-renewal (an Extended Reporting Period), but only with respect to any **Wrongful Act** committed before the effective date of such cancellation or non-renewal and otherwise covered by this Policy. The premium for this Extended Reporting Period shall be seventy-five percent (75%) of the premium set forth in ITEM 5 of the Declarations, as the same may be amended from time to time, and must be paid within thirty (30) days after the effective date of cancellation or non-renewal. Such additional premium shall be deemed fully earned immediately upon the inception of the Extended Reporting Period.
- (2) The Company's limits of liability during the Extended Reporting Period shall be part of, and not in addition to, the Company's limits of liability stated in ITEM 3 of the Declarations.

(H) **Other Insurance:**

This policy shall be specifically excess over, and shall not contribute with, any other valid and collectible insurance, whether such other insurance is stated to be primary, contributing, excess (except insurance specifically in excess of this Policy), contingent or otherwise.

(I) **Cooperation and Subrogation:**

- (1) In the event of a **Claim**, or after giving the Company notice of circumstances which may subsequently give rise to a **Claim**, the **Insured** will provide the Company with all information, assistance and cooperation as the Company may reasonably request. The **Insured** shall, upon the Company's request, assist in making settlements and the conduct of actions, suits or proceedings. The **Insured** shall attend hearings, trials and depositions and shall assist in securing and giving evidence and obtaining the attendance of witnesses.
- (2) The **Insured** will do nothing that may prejudice the Company's position or the Company's potential or actual rights of recovery in the event of a **Claim**.
- (3) In the event of payment under this policy, the Company shall be subrogated to, and entitled to an assignment of all of the rights of recovery therefor of the **Insured**. The **Insured** shall execute all papers and shall do everything that may be necessary to secure such rights, including the execution of such documents as may be necessary to enable the Company effectively to pursue and enforce such rights and to bring suit in the name of the **Insured**.

(J) **Cancellation:**

- (1) The Company may cancel this Policy by delivering or mailing to the **Insured** by registered, certified or other first class mail written notice stating when, not less than thirty (30) days thereafter, such cancellation shall be effective.
- (2) The **Insured** may cancel this Policy by surrendering this Policy or by mailing or delivering to the Company notice stating when thereafter such cancellation shall be effective.
- (3) The mailing of notice as aforesaid shall be sufficient proof of notice. If this Policy is canceled by the Company, the earned premium shall be computed pro rata. If this Policy is canceled by the **Insured**, the earned premium shall be computed in accordance with the customary short rate table and procedure. Premium adjustment may be made either at the time cancellation is effective or as soon as practicable after cancellation becomes effective, but payment or tender of unearned premium is not a condition of cancellation.

(K) **Entire Agreement:**

The **Insured** agrees that this Policy, including any endorsements and the application attached to and forming part of this Policy, and including any materials submitted in connection with such application, which are on file with the Company and are a part of this Policy, as if physically attached, constitutes the entire agreement existing between the **Insured** and the Company or any of its agents relating to this insurance.

(L) **Representations:**

The **Insured** represents that the particulars and statements contained in the application and all materials submitted in connection therewith are true, accurate and complete, and agrees that this Policy is issued in reliance on the truth of that representation, and that such particulars and statements, which are incorporated into and constitute a part of this Policy, are the basis of this Policy and are material to the Company's acceptance of this risk.

(M) **No Action Against Company; Bankruptcy of Insured:**

- (1) No action shall be taken against the Company unless, as conditions precedent thereto, there shall have been full compliance with all of the terms of this Policy and the amount of the obligation of the **Insured** to pay shall have been finally determined either by judgment against the **Insured** after adjudicatory proceedings, or by written agreement of the **Insured**, the claimant and the Company.

-
- (2) No person or entity shall have any right under this Policy to join the Company as a party to any **Claim** against the **Insured** to determine the liability of the **Insured**, nor shall the Company be impleaded by the **Insured** or the **Insured's** legal representative in any such **Claim**.
- (3) Bankruptcy or insolvency of the **Insured** or of the **Insured's** estate shall not relieve the Company of any of its obligations hereunder.

(N) **Authorization and Notices:**

The person or entity stated in ITEM 1 of the Declarations shall be the sole agent, and shall act on behalf of all **Insureds** with respect to all matters under this Policy, including but not limited to giving and receiving notices and other communications, effecting or accepting any endorsements to or cancelation of this Policy, the payment of premium and the receipt of any return premiums, and the purchase of any Extended Reporting Period.

(O) **Changes:**

Notice to any agent or knowledge possessed by any agent or other person acting on behalf of the Company shall not effect a waiver or change in any part of this Policy or estop the Company from asserting any right under its terms, conditions and limitations; nor shall the terms, conditions and limitations of this Policy be waived or changed except by written endorsement issued to form a part of this Policy.

(P) **No Transfer or Assignment of Insured's Interest:**

No transfer or assignment of interest under this Policy or any cause of action against the Company arising out of its performance of, or alleged failure to perform in accordance with the terms and conditions of this Policy shall be effective without the Company's written consent; however, in the event of the death, incapacity or bankruptcy of any person or entity within the definition of **Insured**, a **Claim** against the estate, heirs, legal representatives or assigns or the **Insured** for a **Wrongful Act** by such **Insured** shall be considered a **Claim** against the **Insured**.

(Q) **Headings:**

The descriptions in the headings and sub-headings of this Policy are solely for convenience, and form no part of the terms and conditions of the Policy.

Miscellaneous Professional Liability Chubb

Schedule of Forms

To be attached to and form part of
Policy No. 8207-4107

Company: Chubb Insurance Company of Canada

Issued to: LML & S Limited Partnership., McMillan LLP, McMillan Vantage Policy Group LP

CE 14-02-16105 (4/10 ed.)

CE 14-02-16110 (9/14 ed.)

CE 14-02-16123 (12/10 ed.)

CE 14-02-5551 (2/02 ed.)

CE 14-02-5615 (5/11 ed.)

CE 14-02-5623 (10/12 ed.)

CE 17-02-9182 (7/16 ed.)

CE 17-02-9198 (1/14 ed.)

ENDORSEMENT

Effective date of
this endorsement: October 31, 2017

Company: Chubb Insurance Company of Canada

Endorsement No. 1

To be attached to and
form a part of Policy No. 8207-4107

Issued to: LML & S Limited Partnership., McMillan LLP, McMillan Vantage Policy Group LP

It is agreed that in the event of a **Claim** where an **Insured** incurs both **DEFENCE Expenses** and/or **Damages** that are covered by this Policy and also **DEFENCE Expenses** and/or **Damages** that are not covered by this Policy, either because such **Claim** includes both covered and uncovered matters or because such **Claim** is made against both covered and uncovered parties, then coverage shall apply as follows:

- (A) **DEFENCE Expenses:** one hundred percent (100%) of reasonable and necessary **DEFENCE Expenses** incurred by the **Insureds** from such **Claim** will be considered covered; and
- (B) **Damages:** all remaining loss incurred by an **Insured** from such **Claim** will be allocated between covered loss and uncovered loss based upon the relative legal exposures of the parties to such matters.

Regardless of any allocation in accordance with either paragraph (A) or (B) above, the Deductible shall be the amount stated in Item 4 of the Declarations.

ALL OTHER TERMS AND CONDITIONS REMAINED UNCHANGED.



Authorized Representative

ENDORSEMENT

Effective date of
this endorsement: October 31, 2017

Company: Chubb Insurance Company of Canada

Endorsement No. 2

To be attached to and
form a part of Policy No. 8207-4107

Issued to: LML & S Limited Partnership., McMillan LLP, McMillan Vantage Policy Group LP

It is agreed that:

1. AMENDED SECTION II DEFINITIONS

(i) The following Definitions are deleted in their entirety and replaced by the following:

(A) "Claim" means

(1) any of the following:

- (a) a written demand for monetary damages or non-monetary relief;
- (b) a civil proceeding commenced by the service of a complaint or a similar pleading; or
- (c) an arbitration proceeding;

including any appeal therefrom that seeks to hold the **Insured** responsible for a **Wrongful Act**, actually or allegedly committed by the **Insured** or by any other person for whose **Wrongful Acts** the **Insured** is legally responsible; or

(2) a written request to toll or waive a statute of limitations relating to a potential **Claim** described in paragraph (1) above.

Except as may be provided in Section IV Condition (B) Notice, a **Claim** will be deemed to have been first made when such **Claim** is commenced as set forth in this definition (or, in the case of a written demand or request, including but not limited to a demand for arbitration, when such demand or request is first received by an **Insured**).

(D) "Insured" means:

(1) the person or entity stated in ITEM 1 of the Declarations, any **Subsidiary** of such entity; and any natural person who was, is or shall become a director, officer, employee or partner thereof, but only while such person was, is or shall be acting within the scope of his or her duties as such; and

(2) any independent contractor of the **Insured**, but only with respect to **Claims** arising out of **Professional Services** done for or at the direction of the **Insured**, and only if and to the extent that the **Insured**, after evaluating the merits of the **Claim**, has agreed in writing to include such independent contractor as an **Insured** under this Policy.

- (H) **"Wrongful Act"** means
- (1) any actual or alleged act, error or omission committed solely in the performance of, or failure to perform **Professional Services**; and/or
 - (2) any actual or alleged libel, slander or other form of defamation, or invasion or infringement of the right of privacy, committed solely in the performance of, or failure to perform **Professional Services**; and/or
 - (3) any actual or alleged breach of duty, committed solely in the performance of, or failure to perform, **Professional Services**.
- (ii) The following Definitions are added to this Policy:
- (I) **"Application"** means all signed applications, including attachments and other materials submitted therewith or incorporated therein, submitted by the **Insureds** to the Company for this Policy or for any policy of which this Policy is a direct or indirect renewal or replacement. **Application** shall also include all documents provided by the **Insureds** to the Company in connection with the underwriting or issuance of this Policy, including quarterly and annual reports, financial statements, proxy statements and other notices to shareholders, whether provided to the Company directly or indirectly through the use of public databases or similar sources.
 - (J) **"Common Law Partner"** means any natural person qualifying as a common law partner under the provisions of any applicable federal, provincial, territorial, state, or local law or under the provisions of any formal program established by the **Insured**.
 - (K) **"Subsidiary"** means any entity created or acquired on or before the Inception Date in Item 2(a) of the Declarations, during any time in which the **Insured** owns, directly or through one or more **Subsidiaries**, more than 50% of the outstanding securities representing the right to vote for the election of such entity's directors.

2. AMENDED SECTION III EXCLUSIONS

- (i) The following Exclusions are deleted in their entirety and replaced by the following:
- (A) based upon, arising from, or in consequence of:
 - (1) any fraudulent act or omission or any wilful violation of any statute or regulation by such **Insured**, if a final and non-appealable judgment or adjudication adverse to such **Insured** establishes such a fraudulent act or omission or wilful violation; or
 - (2) an **Insured** having gained in fact any profit, remuneration or advantage to which such **Insured** was not legally entitled.

However, in respect of this Exclusion (A), no fact pertaining to or knowledge possessed by any **Insured** who is a natural person shall be imputed to any other **Insured** who is a natural person to determine if coverage is available. Furthermore, only facts pertaining to and knowledge possessed by any past, present or future managing partner, chief financial officer, in-house general counsel, risk manager, president, chief executive officer or chairperson of an **Insured** entity shall be imputed to such **Insured** entity to determine if coverage is available.

- (ii) The following Exclusions are added to this Policy:
- (H) based on, arising from, or in consequence of any actual or alleged **Wrongful Act** first committed before October 2, 2006 if, on or before October 2, 2006, any person or entity included within the definition of **Insured** knew or could have reasonably foreseen that such **Wrongful Act** did or could result in a **Claim** against any **Insured**.
 - (I) based on, arising from, or in consequence of any fact, circumstance, situation, transaction, event or **Wrongful Act** underlying or alleged in any prior and/or pending litigation or administrative or regulatory proceeding as of October 2, 2006.
 - (J) based on, arising from, or in consequence of any electronic dissemination of faxes, e-mail or other similar communication of any kind whatsoever, regardless of the nature or form of such communication or the medium by which such communication is communicated, to multiple actual or prospective customers or clients of any **Insured** or to any third party, in contravention of any law, including any statute, regulation or order.
 - (K) based upon, arising from, or in consequence of any actual or alleged guarantee, promise or warranty, either express or implied, or verbal or written, relating to:
 - (1) costs or cost savings; and/or
 - (2) future value, return on investment, purchase, lease, assign or sale of any real or personal property; and/or
 - (3) the performance of any investment adviser, manager or custodian.

3. AMENDED SECTION IV CONDITIONS

- (i) The following Conditions are deleted in their entirety and replaced by the following:
- (A) **Territory:**
Coverage shall extend anywhere in the world.
 - (E) **DEFENCE and Settlement of Claims**
The **Insured** shall not admit any liability for or settle any **Claim** or incur any costs, charges or expenses without the written consent of the Company. The Company shall have the right to make investigations and conduct negotiations and, with the written consent of the **Insured**, make any settlement of any **Claim** it deems appropriate.
 - (L) **Representations:**
In issuing this Policy the Company has relied upon the statements, representations and information in the **Application**. All of the **Insureds** acknowledge and agree that all such statements, representations and information (i) are true and accurate, (ii) were made or provided in order to induce the Company to issue this Policy, and (iii) are material to the Company's acceptance of the risk to which this Policy applies.

In the event that any of the statements, representations or information in the **Application** are not true and accurate, this Policy shall be void with respect to any **Insured** who knew as of the effective date of the **Application** the facts that were not truthfully and accurately disclosed (whether or not the **Insured** knew of such untruthful disclosure in the **Application**) or to whom knowledge of such facts is imputed. For purposes of the preceding sentence:

-
- (1) the knowledge of any **Insured** who is a natural person and a past, present or future managing partner, chief financial officer, in-house general counsel, risk manager, president, chief executive officer or chairperson of an **Insured** entity shall be imputed to such **Insured** entity and its **Subsidiaries**;
 - (2) the knowledge of the natural person(s) who signed the **Application** for this Policy shall be imputed to all of the **Insureds**; and
 - (3) except as provided in (1) above, the knowledge of a natural person who is an **Insured** who did not sign the **Application** shall not be imputed to any other **Insured**.
- (ii) The following Conditions are added to this Policy
- (R) **Changes in Exposure**
- (1) If any **Insured** which is an entity:
 - (a) acquires securities or voting rights in another organization or creates another organization, which as a result of such acquisition or creation becomes a **Subsidiary**, or
 - (b) acquires any organization by merger into or consolidation with an **Insured** which is an entity,

such organization and its duly elected directors, duly elected or appointed officers and employees shall be **Insureds** under this Policy but only with respect to **Wrongful Acts** committed, attempted, or allegedly committed or attempted, after such acquisition or creation unless the Company agrees, after presentation of a complete application and all appropriate information, along with payment of any additional premium required by the Company, to provide coverage by endorsement for **Wrongful Acts** committed, attempted, or allegedly committed or attempted, by such **Insured** prior to such acquisition or creation.

If the fair value of all cash, securities, assumed indebtedness and other consideration paid by the **Insured** which is an entity for any such acquisition or creation exceeds 10% of the total assets of that **Insured** as reflected in that **Insured's** most recent audited consolidated financial statements, that **Insured** shall give written notice of such acquisition or creation to the Company as soon as practicable together with such information as the Company may require and shall pay any reasonable additional premium required by the Company.
 - (2) If:
 - (a) any **Insured** which is an entity merges into or consolidates with another organization, or
 - (b) another organization or person or group of organizations and/or persons in concert acquire securities or voting rights which result in ownership or voting control by the other organization(s) or person(s) of more than 50% of the outstanding securities representing the present right to vote for election of directors of that **Insured**, coverage under this Policy shall continue until termination of this Policy, but only with respect to **Claims** for **Wrongful Acts** committed, attempted, or allegedly committed or attempted by the **Insureds** prior to such merger, consolidation or acquisition. The **Insured** shall give written notice of such merger, consolidation or acquisition as soon as practicable, together with such information as the Company may require.

-
- (3) In the event an organization ceases to be a **Subsidiary** before or after the inception date of this Policy, coverage with respect to such **Subsidiary** and its **Insureds** who are natural persons shall continue until termination of this Policy but only with respect to **Claims** for **Wrongful Acts** committed, attempted, or allegedly committed or attempted, prior to the date such organization ceased to be a **Subsidiary**.

(S) **Spouses, Estates and Legal Representatives**

Coverage shall extend to **Claims** arising from the **Professional Services** of an **Insured** who is a natural person made against:

- (1) the lawful spouse or **Common Law Partner** of such **Insured**, if named as a co-defendant with such **Insured** solely by reason of such person's status as a spouse or **Common Law Partner**, or such spouse or **Common Law Partner's** ownership interest in property that is sought by a claimant as recovery for an alleged act, error or omission of such **Insured**; and
- (2) the estate, heirs, legal representatives or assigns of such **Insured** if such **Insured** is deceased or the legal representatives or assigns of such **Insured** if such **Insured** is incompetent, insolvent or bankrupt.

All terms and conditions of this Policy including, without limitation, the Deductible applicable to **Damages** or **DEFENCE Expenses** incurred by the **Insured**, shall also apply to **Damages** or **DEFENCE Expenses** incurred by the **Insured's** spouse, **Common Law Partner**, estate, heirs, legal representatives or assigns. The coverage provided by this extension shall not apply with respect to any loss arising from an act or omission by an **Insured's** estate, heirs, legal representatives, assigns, spouse or **Common Law Partner**.

ALL OTHER TERMS AND CONDITIONS REMAINED UNCHANGED.



Authorized Representative

ENDORSEMENT

Effective date of
this endorsement: October 31, 2017

Company: Chubb Insurance Company of Canada
Endorsement No. 3
To be attached to and
form a part of Policy No. 8207-4107

Issued to: LML & S Limited Partnership., McMillan LLP, McMillan Vantage Policy Group LP

It is agreed that Section IV CONDITIONS (E) **DEFENCE and Settlement of Claims** of the Policy is deleted in its entirety and replaced by the following:

(E) DEFENCE and Settlement of Claims

The **Insured** shall not admit any liability for or settle any **Claim** or incur any costs, charges or expenses without the written consent of the Company. The Company shall have the right to make investigations and conduct negotiations and, with the written consent of the **Insured**, make any settlement of any **Claim** it deems appropriate. If an **Insured** withholds consent to any settlement acceptable to the claimant in accordance with the Company's recommendation (a "Proposed Settlement"), the Company's liability for all **Loss**, including **DEFENCE Expenses**, from such **Claim** shall not exceed:

1. the amount of the Proposed Settlement plus **DEFENCE Expenses** incurred up to the date of the **Insured's** refusal to consent to the Proposed Settlement of such **Claim**; plus
2. fifty percent (50%) of any **Damages** and **DEFENCE Expenses**, in excess of the amount referenced in 1. above, incurred in connection with such **Claim** subject to the Deductible and the available Limits of Liability for such **Claim**. The remaining fifty percent (50%) of any **Damages** and **DEFENCE Expenses**, in excess of the amount referenced in 1. above shall be borne by the **Insured** uninsured and at their own risk.

ALL OTHER TERMS AND CONDITIONS REMAINED UNCHANGED.



Authorized Representative

ENDORSEMENT

Effective date of
this endorsement: October 31, 2017

Company: Chubb Insurance Company of Canada

Endorsement No. 4

To be attached to and
form a part of Policy No. 8207-4107

Issued to: LML & S Limited Partnership., McMillan LLP, McMillan Vantage Policy Group LP

It is agreed that:

- (1) No coverage will be available under this Policy for **Claims** based on or directly or indirectly arising out of or resulting from:
 - (a) any actual or alleged act, error or omission, misstatement, misleading statement, or breach of duty in connection with the rendering of, or actual or alleged failure to render, any **Legal Services**, as defined in Paragraph (2) below; or
 - (b) the commingling of, or inability or failure to pay, collect or safeguard, any money or funds.
- (2) The term "**Legal Services**" means:
 - (a) services performed for others which by law can only be performed by licensed attorneys; regardless of whether any such services were performed by a licensed attorney; and/or
 - (b) services performed for others by a licensed attorney as an administrator, conservator, executor, trustee, guardian or committee or in any similar fiduciary capacity incidental to the practice of law; and/or
 - (c) services performed for others by a licensed attorney as a notary public.

CHUBB'

ALL OTHER TERMS AND CONDITIONS REMAINED UNCHANGED.

A handwritten signature in black ink, appearing to be 'L. Smith', written over a horizontal line.

Authorized Representative

ENDORSEMENT

Effective date of
this endorsement: October 31, 2017

Company: Chubb Insurance Company of Canada

Endorsement No. 5

To be attached to and
form a part of Policy No. 8207-4107

Issued to: LML & S Limited Partnership., McMillan LLP, McMillan Vantage Policy Group LP

It is agreed that:

(1) The following are added to Section II DEFINITIONS of this Policy:

"Accounting Services" means financial accounting work, tax opinions, auditing, assurance, attestation or certification of financial statements that is performed either by an **Insured** while acting in their capacity as a public accountant or a public accountant sub-contracted by the **Insured** to provide such accounting services for or on behalf of others.

"Architectural or Engineering Services" means services performed by either an **Insured** while acting in their capacity as a consulting architect or engineer or a consulting architect or engineer sub-contracted by the **Insured** to provide any such architectural or engineering services for or on behalf of others.

(2) The following are added to Section III EXCLUSIONS of this Policy:

- (1) based on or directly or indirectly arising out of or resulting from any **Wrongful Act** where an **Insured** has intentionally exceeded or acted beyond their contractual or discretionary authority to make and/or implement decisions;
- (2) based on or directly or indirectly arising out of or resulting from any **Accounting Services** or **Architectural or Engineering Services**; or
- (3) based on or directly or indirectly arising out of or resulting from any solicitation, sourcing, structuring, due diligence, valuations or negotiating the purchase or sale of assets on behalf of third parties.

ALL OTHER TERMS AND CONDITIONS REMAINED UNCHANGED.



Authorized Representative

ENDORSEMENT

Effective date of
this endorsement: October 31, 2017

Company: Chubb Insurance Company of Canada

Endorsement No. 6

To be attached to and
form a part of Policy No. 8207-4107

Issued to: LML & S Limited Partnership., McMillan LLP, McMillan Vantage Policy Group LP

It is agreed that this Policy shall not apply to any **Claim** based on or directly or indirectly arising out of or resulting from any actual or alleged:

- (A) infringement of, or contribution to, or inducement of the infringement of any: copyright, patent, trademark, service mark, service name;
- (B) plagiarism or misappropriation of property rights, information, ideas or trade secrets; or
- (C) intentional false advertising or unfair trade practices with respect to the advertising or sales of the **Insured's** own products, publications or services.

ALL OTHER TERMS AND CONDITIONS REMAINED UNCHANGED.



Authorized Representative

ENDORSEMENT

Effective date of
this endorsement: October 31, 2017

Company: Chubb Insurance Company of Canada
Endorsement No. 7

To be attached to and
form a part of Policy No. 8207-4107

Issued to: LML & S Limited Partnership., McMillan LLP, McMillan Vantage Policy Group LP

STATUTORY CONDITIONS AMENDATORY ENDORSEMENT

For Contracts of Insurance made in
Alberta, British Columbia, and Manitoba

This policy has been issued with this Statutory Conditions Endorsement that amends sections of this policy. These Statutory Conditions apply where an insured under this policy of insurance is domiciled or where any insured property in issue is located in Alberta, British Columbia, or Manitoba.

In consideration of the premium charged and notwithstanding anything to the contrary in this policy, it is agreed that in the event of any conflict between the terms and conditions of this Endorsement (in part or in its entirety) and the terms and conditions of the other policy terms and conditions or endorsements (in part or in their entirety), the provisions that are more favourable to the insured shall govern. Nothing in this Endorsement is intended nor shall be construed to violate or vary from the requirements of the Insurance Acts of Alberta, British Columbia, or Manitoba. Statutory Condition 1 and Statutory Conditions 6 to 13 apply only to contracts that include insurance against loss or damage to property, Statutory Conditions 2 to 5 and Statutory Condition 14 apply only to contracts that include liability insurance.

Every action or proceeding against an insurer for the recovery of insurance money payable under the contract is absolutely barred unless commenced within the time set out in the Insurance Act.

Any provision in this Policy that specifically excludes an actual or alleged criminal or intentional act or omission of the insured shall only exclude coverage otherwise provided to the natural person insured who actually or allegedly committed the aforementioned conduct. All other insureds seeking coverage must fully cooperate with the insurer investigating the loss, including submission to an examination under oath if the insurer so requests, and production of documents requested by the insurer which relate to the loss.

STATUTORY CONDITIONS**MISREPRESENTATION**

- 1 If a person applying for insurance falsely describes the property to the prejudice of the insurer, or misrepresents or fraudulently omits to communicate any circumstance that is material to be made known to the insurer in order to enable it to judge the risk to be undertaken, the contract is void as to any property in relation to which the misrepresentation or omission is material.

PROPERTY OF OTHERS

- 2 The insurer is not liable for loss or damage to property owned by a person other than the insured unless
 - (a) otherwise specifically stated in the contract, or
 - (b) the interest of the insured in that property is stated in the contract.

CHANGE OF INTEREST

- 3 The insurer is liable for loss or damage occurring after an authorized assignment under the Bankruptcy and Insolvency Act (Canada) or a change of title by succession, by operation of law or by death.

MATERIAL CHANGE IN RISK

- 4 (1) The insured must promptly give notice in writing to the insurer or its agent of a change that is
- (a) material to the risk, and
 - (b) within the control and knowledge of the insured.
- (2) If an insurer or its agent is not promptly notified of a change under subparagraph (1) of this condition, the contract is void as to the part affected by the change.
- (3) If an insurer or its agent is notified of a change under subparagraph (1) of this condition, the insurer may
- (a) terminate the contract in accordance with Statutory Condition 5, or
 - (b) notify the insured in writing that, if the insured desires the contract to continue in force, the insured must, within 15 days after receipt of the notice, pay to the insurer an additional premium specified in the notice.
- (4) If the insured fails to pay an additional premium when required to do so under subparagraph (3)(b) of this condition, the contract is terminated at that time and Statutory Condition 5(2)(a) applies in respect of the unearned portion of the premium.

TERMINATION OF INSURANCE

- 5 (1) The contract may be terminated
- (a) by the insurer giving to the insured 15 days' notice of termination by registered mail or 5 days' written notice of termination personally delivered, or
 - (b) by the insured at any time on request.
- (2) If the contract is terminated by the insurer,
- (a) the insurer must refund the excess of premium actually paid by the insured over the prorated premium for the expired time, but in no event may the prorated premium for the expired time be less than any minimum retained premium specified in the contract, and
 - (b) the refund must accompany the notice unless the premium is subject to adjustment or determination as to amount, in which case the refund must be made as soon as practicable.
- (3) If the contract is terminated by the insured, the insurer must refund as soon as practicable the excess of premium actually paid by the insured over the short rate premium for the expired time specified in the contract, but in no event may the short rate premium for the expired time be less than any minimum retained premium specified in the contract.
- (4) The 15-day period referred to in subparagraph (1)(a) of this condition starts to run on the day the registered letter or notification of it is delivered to the insured's postal address.

REQUIREMENTS AFTER LOSS

- 6 (1) On the happening of any loss or damage to insured property, the insured must, if the loss or damage is covered by the contract, in addition to observing the requirements of Statutory Condition 9,
- (a) immediately give notice in writing to the insurer,

-
- (b) deliver as soon as practicable to the insurer a proof of loss in respect of the loss or damage to the insured property verified by statutory declaration
 - (i) giving a complete inventory of that property and showing in detail quantities and costs of that property and particulars of the amount of loss claimed,
 - (ii) stating when and how the loss occurred, and if caused by fire or explosion due to ignition, how the fire or explosion originated, so far as the insured knows or believes,
 - (iii) stating that the loss did not occur through any wilful act or neglect or the procurement, means or connivance of the insured,
 - (iv) stating the amount of other insurances and the names of other insurers,
 - (v) stating the interest of the insured and of all others in that property with particulars of all liens, encumbrances and other charges on that property,
 - (vi) stating any changes in title, use, occupation, location, possession or exposure of the property since the contract was issued, and
 - (vii) stating the place where the insured property was at the time of loss,
 - (c) if required by the insurer, give a complete inventory of undamaged property showing in detail quantities and cost of that property, and
 - (d) if required by the insurer and if practicable,
 - (i) produce books of account and inventory lists,
 - (ii) furnish invoices and other vouchers verified by statutory declaration, and
 - (iii) furnish a copy of the written portion of any other relevant contract.
- (2) The evidence given, produced or furnished under subparagraph (1)(c) and (d) of this condition must not be considered proofs of loss within the meaning of Statutory Conditions 12 and 13.

FRAUD

- 7 Any fraud or wilfully false statement in a statutory declaration in relation to the particulars required under Statutory Condition 6 invalidates the claim of the person who made the declaration.

WHO MAY GIVE NOTICE AND PROOF

- 8 Notice of loss under Statutory Condition 6(1)(a) may be given and the proof of loss under Statutory Condition 6(1)(b) may be made
- (a) by the agent of the insured if
 - (i) the insured is absent or unable to give the notice or make the proof, and
 - (ii) the absence or inability is satisfactorily accounted for, or
 - (b) by a person to whom any part of the insurance money is payable, if the insured refuses to do so, or in the circumstances described in clause (a) of this condition.

SALVAGE

- 9 (1) In the event of loss or damage to insured property, the insured must take all reasonable steps to prevent further loss or damage to that property and to prevent loss or damage to other property insured under the contract, including, if necessary, removing the property to prevent loss or damage or further loss or damage to the property.
- (2) The insurer must contribute on a prorated basis towards any reasonable and proper expenses in connection with steps taken by the insured under subparagraph (1) of this condition.

ENTRY, CONTROL, ABANDONMENT

- 10 After loss or damage to insured property, the insurer has
- (a) an immediate right of access and entry by accredited representatives sufficient to enable them to survey and examine the property, and to make an estimate of the loss or damage, and
 - (b) after the insured has secured the property, a further right of access and entry by accredited representatives sufficient to enable them to appraise or estimate the loss or damage, but
 - (i) without the insured's consent, the insurer is not entitled to the control or possession of the insured property, and
 - (ii) without the insurer's consent, there can be no abandonment to it of the insured property.

IN CASE OF DISAGREEMENT

- 11 (1) In the event of disagreement as to the value of the insured property, the value of the property saved, the nature and extent of the repairs or replacements required or, if made, their adequacy, or the amount of the loss or damage, those questions must be determined using the applicable dispute resolution process set out in the Insurance Act whether or not the insured's right to recover under the contract is disputed, and independently of all other questions.
- (2) There is no right to a dispute resolution process under this condition until
- (a) a specific demand is made for it in writing, and
 - (b) the proof of loss has been delivered to the insurer.

WHEN LOSS PAYABLE

- 12 Unless the contract provides for a shorter period, the loss is payable within 60 days after the proof of loss is completed in accordance with Statutory Condition 6 and delivered to the insurer.

REPAIR OR REPLACEMENT

- 13 (1) Unless a dispute resolution process has been initiated, the insurer, instead of making payment, may repair, rebuild or replace the insured property lost or damaged, on giving written notice of its intention to do so within 30 days after receiving the proof of loss.
- (2) If the insurer gives notice under subparagraph (1) of this condition, the insurer must begin to repair, rebuild or replace the property within 45 days after receiving the proof of loss and must proceed with all due diligence to complete the work within a reasonable time.

NOTICE

- 14 (1) Written notice to the insurer may be delivered at, or sent by registered mail to, the chief agency or head office of the insurer in the province.
- (2) Written notice to the insured may be personally delivered at, or sent by registered mail addressed to, the insured's last known address as provided to the insurer by the insured.

CHUBB

The title and any headings in this endorsement are solely for convenience and form no part of the terms and conditions of coverage.

ALL OTHER TERMS AND CONDITIONS REMAINED UNCHANGED.



Authorized Representative

ENDORSEMENT

Effective date of
this endorsement: October 31, 2017

Company: Chubb Insurance Company of Canada

Endorsement No. 8

To be attached to and
form a part of Policy No. 8207-4107

Issued to: LML & S Limited Partnership., McMillan LLP, McMillan Vantage Policy Group LP

COMPLIANCE WITH APPLICABLE SANCTION LAWS ENDORSEMENT

In consideration of the premium charged it is agreed that this insurance does not apply to the extent that trade or economic sanctions or other similar laws or regulations prohibit the coverage provided by this insurance.

The title and any headings in this endorsement/rider are solely for convenience and form no part of the terms and conditions of coverage, or the interpretation thereof.

ALL OTHER TERMS AND CONDITIONS REMAINED UNCHANGED.



Authorized Representative

CHUBB®

ENDORSEMENT

Effective date of
this endorsement: October 26, 2017

Company: Chubb Insurance Company of Canada

Endorsement No. 9

To be attached to and
form a part of Policy No. 8207-4107

Issued to: LML & S Limited Partnership., McMillan LLP, McMillan Vantage Policy Group LP

It is agreed that Item 1 of the Declarations, Insured – Address, is deleted in its entirety and replaced with the following:

ITEM 1. Insured: LML & S Limited Partnership., McMillan LLP, McMillan Vantage Policy
Group LP
Address: 1500 - 1055 West Georgia Street
Vancouver, BC V6E4N7

ALL OTHER TERMS AND CONDITIONS REMAINED UNCHANGED.



Authorized Representative

**CUSTOMER NOTICE REGARDING HIGH POLITICAL RISK JURISDICTIONS AND ECONOMIC
SANCTIONS LAWS**

This notice is intended to inform you of: the difficulties we may have in servicing claims in jurisdictions with high political risk or instability; and, the consequences of our obligations to comply with Canadian and international economic sanctions laws. Though your coverage may apply on a world-wide basis, claims arising from events in certain jurisdictions may be very difficult to service. Such difficulties could include our inability to: retain appropriate third parties to assist with the adjustment of the claim; investigate or confirm the circumstances of the claim; and, process transactions with respect to claim investigation and payment. Furthermore, many countries are subject to Canadian, United States and international economic sanctions laws. Economic sanctions laws are legal measures imposed by countries to promote their foreign policy by prohibiting or restricting trade, travel or economic activity with particular countries or targeted entities or individuals. Insurance policies and transactions are often the subject of economic sanctions. Whether economic sanctions laws apply to any particular policy or claim may depend on several factors including where the policy was issued, the nationality, place of residence or place of incorporation of you, your parent company, your subsidiaries, other or additional insureds or the insurer as well as the location where events leading to a claim occur. We will strictly comply with Canadian and applicable international economic sanctions laws. Particularly with respect to international economic sanctions laws, as a wholly owned subsidiary of a United States based corporation, we may be subject to United States economic sanctions laws. Furthermore, services provided to us by our United States based parent which are critical to our ability to conduct business may not be available to us if United States economic sanctions laws apply. It is important that our customers are aware that the servicing difficulties and economic sanctions laws described above may have serious consequences on their coverage. Such consequences could include:

- delays and difficulties in the processing and payment of claims;
- delays and difficulties in responding to underwriting requests;
- the "freezing" of policies, resulting in the inability to process any transactions, including claims payments;
- the denial of claims; or,
- the voiding or cancellation of policies (with or without refunded premium).

APPENDIX D

"ASSOCIATED FIRMS" AND "UMBRELLA FIRMS"

Name of Firm: McMillan LLP

- The Named Insureds under the CLLAS policies are McMillan LLP; McMillan S.E.N.C.R.L., s.r.l.; McMillan Binch Mendelsohn LLP; McMillan Binch LLP; Mendelsohn s.e.n.c.
- McMillan Vantage Policy Group LP and Prefix Legal LLP are added as Additional Insureds.
- The Firm has offices in Toronto, Ottawa, Vancouver, Calgary, Montreal and Hong Kong.
- On May 1, 2005, McMillan Binch LLP merged with Mendelsohn s.e.n.c. to form McMillan Binch Mendelsohn LLP.
- On May 28, 2008, McMillan Binch Mendelsohn LLP changed its registered business name to McMillan LLP and McMillan S.E.N.C.R.L., s.r.l. in Quebec.
- On May 1, 2009, McMillan LLP completed a transaction with Thackray Burgess Professional Corporation of Calgary where the Firm employed certain former Thackray Burgess lawyers and certain other lawyers from that firm became partners at the Firm. While the two firms were not formally merging, for the purpose of insurance, CLLAS considers this as a merger and recognizes Thackray Burgess as a predecessor firm.
- On January 1, 2011, McMillan LLP and Lang Michener LLP merged and operates under the name McMillan LLP.
- McMillan Binch LLP had formed a national association with two other law firms, Bull, Housser & Tupper of Vancouver and Surrey and Byers Casgrain of Montreal. The national association brought the three firms together for the purpose of providing enhanced levels of service to clients in Canada.
- McMillan Binch LLP also formed an international partnership with the same two law firms in 1990. This international partnership was known as McMillan Bull Casgrain and was formed for the purpose of practising Canadian law outside Canada.
- In 1993, McMillan Bull Casgrain entered into an association with the Hong Kong firm of Robert W.H. Wang & Co. The association was later terminated.
- The McMillan Bull Casgrain association ended on January 31, 2000.
- There is an endorsement on the policy with respect to McMillan Bull Casgrain to cover possible claims relating to the period during which the partnership was in operation.
- Coverage is provided to Thackray Burgess Professional Corporation and its predecessor firms, namely Blanie & Company and Evans Higa Burgess.
- Coverage is also provided to Lang Michener LLP and its predecessor firms, namely Lang Michener; Lang Michener Lawrence & Shaw; Lang Michener Honeywell Wotherspoon; Lang Michener Lash Johnston; Smith, Shaver; Honeywell, Wotherspoon; Lawrence & Shaw; Lash, Johnston; Lang Michener Cranston Farquharson & Wright and Robertson Ward Suderman.

APPENDIX E

PROFESSIONAL SERVICES PROVIDED RELATING TO NON-CANADIAN LAW & PROFESSIONAL SERVICES PROVIDED IN THE U.S. & OUTSIDE OF CANADA

Name of Firm: McMillan LLP

1. Professional Services Provided by Canadian Lawyers Relating to Non-Canadian Law

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services relating to non-Canadian law (not including those which are incidental to the practice of Canadian law). Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time Relating to Non-Canadian Law
Michael Taylor	Vancouver	40%
Herbert Ono	Vancouver	90%
Michael Shannon	Vancouver	55%

2. Professional Services Provided by Canadian Lawyers from a U.S. Office

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services part time in an office or branch of the Firm located in the United States. Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time in the U.S. Office

3. Professional Services Provided by Offices Outside of Canada

Please provide the following information on all lawyers reported in Appendix B under the "Outside of Canada" column.

Location of Office	# of Lawyers Practise 100% Canadian Law	# of Lawyers Practise 100% Non-Canadian Law	# of Lawyers Practise both Canadian & Non-Canadian Law
Hong Kong	2		

4. Other Insurance

For the exposures identified in Questions 1, 2 and 3 above, please provide details of specific insurance protection (e.g. coverage provided for a non-Canadian office or by a non-Canadian law society) as well as a copy of the policies.

Type of Exposure: #1 Professional Liability
Insurance Carrier: Lloyds of London (through Cooper Gay)
Policy Number: B1353DR1601113000
Period of Insurance: July 1, 2017 - July 1, 2018
Retroactive Date:
Limits: \$ 450,000 per claim, \$ 450,000 annual aggregate

Type of Exposure: #3 Professional Liability
Insurance Carrier: Lloyds though Beazley Limited
Policy Number: W0550516DNPS
Period of Insurance: February 19, 2018 - February 18, 2019
Retroactive Date:
Limits: \$ 20,000,000 HKD per claim, \$ 20,000,000 HKD annual aggregate

Type of Exposure:
Insurance Carrier:
Policy Number:
Period of Insurance:
Retroactive Date:
Limits: \$ per claim, \$ annual aggregate

Type of Exposure:
Insurance Carrier:
Policy Number:
Period of Insurance:
Retroactive Date:
Limits: \$ per claim, \$ annual aggregate

Policy No B1353DR1701795000	1353 LNP
<h2>Market Reform Contract</h2>	
Insured/Reinsured McMillan LLP	
Period 01 July 2017 to 01 July 2018	
For LPSO use	
For IUA use	
For LIRMA use	

GR9/22 June 2017/DR1701795LUL



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RISK DETAILS**Unique Market
Reference:**

B1353DR1701795000

Type:

Professional Liability Insurance

Insured:

McMillan LLP U.S. Securities Law and International Law Practice Group

Insured's Address:

1500 Royal Centre – 1055 West Georgia Street
P.O. Box 11117
Vancouver
British Columbia V6E 4N7
Canada

Period:

From: 01 July 2017
To: 01 July 2018
both days at 00.01 a.m. Local Standard Time at the mailing address of
the Insured

Interest:Professional Liability Insurance, as more fully described in the policy
wording attached**Sum Insured:**CAD450,000 each and every claim and in the aggregate, including
costs, charges and expenses**Retention:**CAD 50,000 each and every claim, including costs, charges and
expenses**Conditions:**As per policy wording and endorsements attached, including but not
limited to:

- (1) Predecessors in Business: All predecessor firms
- (2) Excluding claims made by associated or subsidiary companies
unless emanating from an independent third party, as attached
- (3) NMA 2852 – Contracts (Rights of Third Parties) Act 1999, as
attached
- (4) LMA 5028 – Service of Suit Clause (Canada), as attached
- (5) LMA 3100 (amended for use in Canada) – Sanction Limitation
and Exclusion Clause, as attached
- (6) Foreign Law Practices Endorsement, as attached
- (7) Additional Insured Endorsement (Reema Kapoor, J.D./MBA), as
attached

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It is hereby understood and agreed by any and all underwriters subscribing to this insurance that any subjectivity that has been raised within a quote MRC or quote sheet or otherwise shall be treated as having no application if not included within this final placement MRC. It is incumbent on underwriters to ensure inclusion within the provisions of the final placement MRC any subjectivity which they wish to apply to the cover.

Notices: LSW1542F - Lloyd's Underwriters' Policyholders' Complaint Protocol, as attached.
LSW1543C - Notice Concerning Personal Information, as attached.
LSW1565C - Code of Consumer Rights and Responsibilities, as attached.

Choice of Law and Jurisdiction:

This insurance will be governed by and interpreted pursuant to the laws of the Province of British Columbia and the laws of Canada applicable therein, and any dispute arising hereunder shall be submitted to the exclusive jurisdiction of the Courts of the Province of British Columbia

Premium: CAD28,250

Payment Terms: As per Premium Payment Clause (LSW 3001 amended) attached – 60 days

Taxes Payable by Insured and administered by Insurers:

None

Recording, Transmitting & Storing Information:

Where Lloyd & Partners maintains risk and claim data / information / documents Lloyd & Partners may hold data / information / documents electronically

Insurer Contract Documentation:

This document details the contractual terms between the contracting parties, and constitutes the contractual document.

LMA5180 – Intention for AIF to Bind Clause, as attached.

This Contract has been arranged by Lloyd & Partners (a trading name of JLT Specialty Limited).

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**Notice of
Cancellation:**

Where (Re)insurers have the right to give notice of cancellation in accordance with the provisions of the Contract, then:

- To the extent provided by the Contract, the Contract Leader is authorised to issue such notice on behalf of all participating (Re)insurers; and (optionally)
- Any (Re)insurer may issue such notice in respect of its own participation

PREMIUM PAYMENT CLAUSE

Notwithstanding any provision to the contrary within this contract or any endorsement hereto, the following clause will apply.


The (Re)Insured undertakes that premium will be paid in full to (Re)Insurers within 60 days of inception of this contract (or, in respect of instalment premiums, when due).

If the premium due under this contract has not been so paid to (Re)Insurers by the 60th day from the inception of this contract (and, in respect of instalment premiums, by the date they are due) (Re)Insurers shall have the right to cancel this contract by notifying the (Re)Insured via the broker in writing. In the event of cancellation, premium is due to (Re)Insurers on a pro rata basis for the period that (Re)Insurers are on risk but the full contract premium shall be payable to (Re)Insurers in the event of a loss or occurrence prior to the date of termination which gives rise to a valid claim under this contract.

It is agreed that (Re)Insurers shall give not less than 15 days prior notice of cancellation to the (Re)Insured via the broker. If premium due is paid in full to (Re)Insurers before the notice period expires, notice of cancellation shall automatically be revoked. If not, the contract shall automatically terminate at the end of the notice period.

If any provision of this clause is found by any court or administrative body of competent jurisdiction to be invalid or unenforceable, such invalidity or unenforceability will not affect the other provisions of this clause which will remain in full force and effect.

30/09/08
LSW3001 (amended)

2/6/17


This Insurance contains a clause which may limit the amount payable.

SCHEDULE

Item 1 POLICY NUMBER

DR1701795

Item 2 FIRM

McMillan LLP U.S. Securities Law and International Law Practice Group

Item 3 PREDECESSORS IN BUSINESS

All predecessor firms

Item 4 ADDRESS OF THE FIRM

1500 Royal Centre – 1055 West Georgia Street
P.O. Box 11117
Vancouver
British Columbia V6E 4N7
Canada

Item 5 PERIOD OF INSURANCE

From: 01 July, 2017
To: 01 July, 2018
both days at 00:01 a.m. Local Standard Time at the mailing address of the Insured

Item 6 EXPIRY DATE

01 July, 2018

Item 7 PREMIUM

CAD28,250

Item 8 SUM INSURED

CAD450,000

each and every claim and in the aggregate,
including costs, charges and expenses

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4800

SECURITY DETAILS**(Re)insurer's Liability: (Re)insurer's liability several not joint**

The liability of a (re)insurer under this contract is several and not joint with other (re)insurers party to this contract. A (re)insurer is liable only for the proportion of liability it has underwritten. A (re)insurer is not jointly liable for the proportion of liability underwritten by any other (re)insurer. Nor is a (re)insurer otherwise responsible for any liability of any other (re)insurer that may underwrite this contract.

The proportion of liability under this contract underwritten by a (re)insurer (or, in the case of a Lloyd's syndicate, the total of the proportions underwritten by all the members of the syndicate taken together) is shown next to its stamp. This is subject always to the provision concerning "signing" below.

In the case of a Lloyd's syndicate, each member of the syndicate (rather than the syndicate itself) is a (re)insurer. Each member has underwritten a proportion of the total shown for the syndicate (that total itself being the total of the proportions underwritten by all the members of the syndicate taken together). The liability of each member of the syndicate is several and not joint with other members. A member is liable only for that member's proportion. A member is not jointly liable for any other member's proportion. Nor is any member otherwise responsible for any liability of any other (re)insurer that may underwrite this contract. The business address of each member is Lloyd's, One Lime Street, London EC3M 7HA. The identity of each member of a Lloyd's syndicate and their respective proportion may be obtained by writing to Market Services, Lloyd's, at the above address.

Proportion of liability

Unless there is "signing" (see below), the proportion of liability under this contract underwritten by each (re)insurer (or in the case of a Lloyd's syndicate, the total of the proportions underwritten by all the members of the syndicate taken together) is shown next to its stamp and is referred to as its "written line".

Where this contract permits, written lines, or certain written lines, may be adjusted ("signed"). In that case a schedule is to be appended to this contract to show the definitive proportion of liability under this contract underwritten by each (re)insurer (or, in the case of a Lloyd's syndicate, the total of the proportions underwritten by all the members of the syndicate taken together). A definitive proportion (or, in the case of a Lloyd's syndicate, the total of the proportions underwritten by all the members of a Lloyd's syndicate taken together) is referred to as a "signed line". The signed lines shown in the schedule will prevail over the written lines unless a proven error in calculation has occurred.

Although reference is made at various points in this clause to "this contract" in the singular, where the circumstances so require this should read as a reference to contracts in the plural.

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Order Hereon: 100% of 100%

Basis of Written Lines: Percentage of Whole

Signing Provisions: In the event that the written lines hereon exceed 100% of the order, any lines written "to stand" will be allocated in full and all other lines will be signed down in equal proportions so that the aggregate signed lines are equal to 100% of the order without further agreement of any of the Insurers.

However:

- a) in the event that the placement of the order is not completed by the commencement date of the period of insurance then all lines written by that date will be signed in full;
- b) the signed lines resulting from the application of the above provisions can be varied, before or after the commencement date of the period of insurance, by the documented agreement of the Insured and all Insurers whose lines are to be varied. The variation to the contracts will take effect only when all such Insurers have agreed, with the resulting variation in signed lines commencing from the date set out in that agreement.

Written Lines: In a co-insurance placement, following insurers may, but are not obliged to, follow the premium charged by the Contract Leader.

Insurers may not seek to guarantee for themselves terms as favourable as those which others subsequently achieve during the placement.

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SECURITY DETAILS

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T. A. B. H. GLOVER & OTHERS

AD S:K

Rem 22/6/17.

This Insurance contains a clause which may limit the amount payable.

SCHEDULE

Item 1 POLICY NUMBER

DR1701795

Item 2 FIRM

McMillan LLP U.S. Securities Law and International Law Practice Group

Item 3 PREDECESSORS IN BUSINESS

All predecessor firms

Item 4 ADDRESS OF THE FIRM

1500 Royal Centre – 1055 West Georgia Street
P.O. Box 11117
Vancouver
British Columbia V6E 4N7
Canada

Item 5 PERIOD OF INSURANCE

From: 01 July, 2017
To: 01 July, 2018
both days at 00:01 a.m. Local Standard Time at the mailing address of the Insured

Item 6 EXPIRY DATE

01 July, 2018

Item 7 PREMIUM

CAD28,250

Item 8 SUM INSURED

CAD450,000 each and every claim and in the aggregate,
including costs, charges and expenses

22/6/17
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450000

Item 9 RETENTION

CAD 50,000 each and every claim, including costs charges and expenses

Item 10 DATE OF PROPOSAL

16 June, 2017

Item 11 INSURER

Lloyd's Syndicate 4000

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THIS POLICY SUBJECT TO ITS TERMS, CONDITIONS, EXCLUSIONS AND LIMITATIONS IS APPLICABLE ONLY TO CLAIMS MADE AGAINST INSUREDS AS DESCRIBED HEREIN DURING THE PERIOD OF INSURANCE OF THE SAID POLICY.

PROFESSIONAL LIABILITY POLICY

Whereas the FIRM (as defined in Clause II (1)) hereof has made to Us who have hereunto subscribed our names as Insurer a written proposal bearing the date stated in the Schedule and containing particulars and statements which it is hereby agreed are the basis of this contract and are to be considered as incorporated herein and have paid or have agreed to pay the sum stated in the said Schedule as consideration to Us:

I. COVERAGE:

The Insurer agrees to pay on behalf of the INSURED those sums that the INSURED becomes legally obligated to pay as the result of any claim made against the INSURED during the PERIOD OF INSURANCE by reason of any ACT (as hereinafter defined) whenever or wherever the same was or may have been committed or alleged to have been committed.

- A. By the INSURED or any other person or entity in or about the conduct of any business conducted by or on behalf of the FIRM in the FIRM'S professional capacity as Attorneys, Barristers, Solicitors, Counsellors at Law or Notaries, or however designated.
- B. By any INSURED acting in his/her professional capacity as Attorney, Barrister, Solicitor, Counsellor at Law or Notary or however designated (whether or not in the name of the FIRM) provided always that a portion of the fee for legal services (if a fee is charged) accruing from such work shall inure to the benefit of the FIRM. In extension and not in limitation of the foregoing, such work shall be deemed to include work as administrator, executor, trustee, guardian, arbitrator, committee for incompetent, agent to title insurance company and/or designated issuing attorney to title insurance company or other fiduciary, or similar agent or advisor provided always that in cases where no portion of the fee for legal services associated with such work inures to the benefit of the FIRM, a portion of the fee for non legal services (if a fee is charged) associated with such work shall inure to the benefit of the FIRM.

II. DEFINITIONS:

- 1. The term "the FIRM" shall mean the persons carrying on business under the name as stated in Item 2 of the Schedule herein and shall also include their predecessors in business as stated in Item 3 of the Schedule.
- 2. The term "INSURED" shall mean each of the following:
 - (a) The FIRM
 - (b) The partners of the FIRM and any other person or persons who may at any time and from time to time be a partner in the FIRM;



- (c) Partners no longer in the FIRM and/or the estates of deceased partners who were partners in the FIRM at the time of the ACT;
 - (d) The FIRM'S EMPLOYEES as hereinafter defined (and/or estates of deceased EMPLOYEES) or former EMPLOYEES (and/or estates of deceased former EMPLOYEES), but only in respect of any ACT committed in the course of their employment by the FIRM in the conduct of the FIRM'S business, or as provided in Insurance Clause I (B);
 - (e) Persons designated "counsel" (and/or estates of deceased counsel) to the FIRM but only in their capacities as such, or as provided in Insuring Clause I (B);
 - (f) Former partners and EMPLOYEES in respect of services performed on behalf of the FIRM subsequent to retirement or other withdrawal from the FIRM.
- 3. The term "ACT" shall mean any act, error, or omission whether of acts, facts, law or otherwise or breach of contract or duty or libel or slander or any allegation thereof.
 - 4. The term "EXCLUDED ACT" shall mean any ACT committed by an individual INSURED for the consequences of which coverage does not extend to that INSURED under the terms, conditions, limitations and exclusions of this policy.
 - 5. The term "EMPLOYEE" shall include any person whom the FIRM wishes to be regarded as an employee for the purpose of this Policy, even if such person is not actually an employee.

III. EXCLUSIONS:

This Policy excludes:

- 1. Any claim or circumstances in respect of which the INSURED, before the commencement of this Policy, have given written notice to the insurers on any other policy in force previous hereto;
- 2. Any claim other than those excluded by EXCLUSION 1 above, for which the INSURED is entitled to collect hereunder which is insured by any other policy or policies, except in respect of any excess beyond the amount or amounts of payments under such other policy or policies;
- 3. Any claim arising out of any INSURED acting in his/her capacity as director and/or officer;
- 4. Any claim alleging the fraud or dishonesty of any INSURED if a final judgment or other final adjudication thereof shall establish that active and deliberate fraud or dishonesty was committed by such INSURED with actual fraudulent or dishonest purpose and intent, and was material to the claim made. However, nothing contained in the foregoing shall exclude coverage to the FIRM, or to any other INSURED who was not so adjudged to have committed such EXCLUDED ACT as described above;

5. Any claim for bodily injury to, or sickness, disease or death of any person, or injury to or destruction of any tangible property, arising out of ACTS of the INSURED.
6.
 - i. Any claim for fines, penalties, punitive or exemplary damages, imposed by a judgment or any other final adjudication. However, this exclusion shall not apply to costs, charges and expenses incurred in the defence of any claim otherwise covered by this Policy which also demands such fines, penalties, punitive or exemplary damages;
 - ii. Any award of treble or other multiple damages pursuant to any statute or law, except that the compensatory amount of such award, prior to being multiplied, shall be deemed covered if the ACTS giving rise to claim upon which such compensatory award is based are otherwise covered by this Policy. However, this exclusion shall not apply to costs, charges and expenses incurred in the defence of any claim otherwise covered by this policy solely by reason of the fact such claim demands treble or other multiple damages.
7. Any liability arising out of professional services rendered or which should have been rendered:
 - (a) in whole or in part by, in the name of or on behalf of an Association or
 - (b) in whole or in part by one or more of the member law firms of an Association other than the Insured

For the purposes of this Exclusion, an Association shall mean:

- (i) any association whose name or business style is held out to the public
- (ii) any international partnership, and
- (iii) any joint partnership

constituted by the Insured with one or more other law firms which are not insured under the policy for the purposes of rendering professional services, marketing professional services, client referrals and/or staff development and education.

8. NUCLEAR INCIDENT EXCLUSION CLAUSE-LIABILITY-DIRECT
(BROAD)-CANADA

(For use with all Public Liability Policies except Personal, Farmers' and Storekeepers')

It is agreed that this Policy does not apply:

- (a) to liability imposed by or arising from any nuclear liability act, law or statute, or any law amendatory thereof; nor

- (b) to bodily injury or property damage with respect to which an Insured under this policy is also insured under a contract of nuclear energy liability insurance (whether the Insured is unnamed in such contract and whether or not it is legally enforceable by the Insured) issued by the Nuclear Insurance Association of Canada or any other insurer or group or pool of insurers or would be an Insured under any such policy but for its termination upon exhaustion of its limit of liability; nor
- (c) to bodily injury or property damage resulting directly or indirectly from the nuclear energy hazard arising from:
 - (i) the ownership, maintenance, operation or use of a nuclear facility by or on behalf of an Insured;
 - (ii) the furnishing by an Insured of services, materials, parts or equipment in connection with the planning, construction, maintenance, operation or use of any nuclear facility; and
 - (iii) the possession, consumption, use, handling, disposal or transportation of fissionable substances, or of other radioactive material (except radioactive isotopes, away from a nuclear facility, which have reached the final stage of fabrication so as to be usable for any scientific, medical, agricultural, commercial or industrial purpose) used, distributed, handled or sold by an Insured.

As used in this policy:

1. The term "nuclear energy hazard" means the radioactive, toxic, explosive, or other hazardous properties of radioactive material;
2. The term "radioactive material" means uranium, thorium, plutonium, neptunium, their respective derivatives and compounds, radioactive isotopes of other elements and any other substances which may be designated by or pursuant to any law, act or statute, or law amendatory thereof as being prescribed substances capable of releasing atomic energy, or as being requisite for the production, use or application of atomic energy;
3. The term "nuclear facility" means:
 - (a) any apparatus designed or used to sustain nuclear fission in a self-supporting chain reaction or to contain a critical mass of plutonium, thorium and uranium or any one or more of them;
 - (b) any equipment or device designed or used for (i) separating the isotopes of plutonium, thorium and uranium or any one or more of them, (ii) processing or utilising spent fuel, or (iii) handling, processing or packaging waste;

- (c) any equipment or device used for the processing, fabricating or alloying of plutonium, thorium or uranium enriched in the isotope uranium 233 or in the isotope uranium 235, or any one or more of them if at any time the total amount of such material in the custody of the Insured at the premises where such equipment or device is located consists of or contains more than 25 grams of plutonium or uranium 233 or any combination thereof, or more than 250 grams of uranium 235;
- (d) any structure, basin, excavation, premises or place prepared or used for the storage or disposal of waste radioactive material;

and includes the site on which any of the foregoing is located, together with all operations conducted thereon and all premises used for such operations.

- 4. The term "fissionable substance" means any prescribed substance that is, or from which can be obtained, a substance capable of releasing atomic energy by nuclear fission.
- 5. With respect to property, loss of use of such property shall be deemed to be property damage.

It is understood and agreed that, except as specifically provided in the foregoing to the contrary, this Clause is subject to the terms, exclusions, conditions and limitations of the Policy to which it is attached.

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NMA 1978a

9 WAR AND TERRORISM EXCLUSION ENDORSEMENT

Notwithstanding any provision to the contrary within this insurance or any endorsement thereto it is agreed that this insurance excludes loss, damage, cost or expense of whatsoever nature directly or indirectly caused by, resulting from or in connection with any of the following regardless of any other cause or event contributing concurrently or in any other sequence to the loss;

- 1. war, invasion, acts of foreign enemies, hostilities or warlike operations (whether war be declared or not), civil war, rebellion, revolution, insurrection, civil commotion assuming the proportions of or amounting to an uprising, military or usurped power; or
- 2. any act of terrorism.

For the purpose of this endorsement an act of terrorism means an act, including but not limited to the use of force or violence and/or the threat thereof, of any person or group(s) of persons, whether acting alone or on behalf of or in connection with any organisation(s) or government(s), committed for political, religious, ideological or similar purposes including the intention to influence any government and/or to put the public, or any section of the public, in fear.

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This endorsement also excludes loss, damage, cost or expense of whatsoever nature directly or indirectly caused by, resulting from or in connection with any action taken in controlling, preventing, suppressing or in any way relating to 1 and/or 2 above.

If the Insurers allege that by reason of this exclusion, any loss, damage, cost or expense is not covered by this insurance the burden of proving the contrary shall be upon the Insured.

In the event any portion of this endorsement is found to be invalid or unenforceable, the remainder shall remain in full force and effect.

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IV. CONDITIONS:

1. Limits:

The limit of liability of the Insurer shall not exceed the sum stated in the Schedule (herein referred to as the SUM INSURED) for all claims made against all INSUREDS during each period of insurance, including costs, charges and expenses incurred in connection with any claim, subject to the terms, conditions, exclusions and limitations of this Policy.

All claims arising out of the same ACT or related ACTS covered hereunder shall be considered a single claim.

2. Retention:

In respect of any claims covered hereunder, this Policy is only to pay the excess of the RETENTION stated in Item 9 of the Schedule, in respect of each and every claim including costs, charges and expenses. It is understood and agreed that if the INSUREDS are required by law or regulation to purchase separate insurance under a Compulsory Bar Program, this Policy, subject to its terms, conditions and limitations, shall pay excess of either:

1. The RETENTION stated in the Schedule

Or

2. The amounts of any recoveries under such separate insurance and/or any other applicable Law Society Program purchased either on a mandatory basis or at the Insured's discretion.

WHICHEVER IS GREATER

The amount of any recoveries under such separate insurance shall apply as though borne by the INSUREDS.



3. Cancellation Clause and Extended Reporting Period:

This policy is non-cancelable during the Period of Insurance as stated in the Schedule except:

1. By mutual consent.
2. By the Insurer if:
 - (a) The Canadian Lawyers Liability Assurance Society (CLLAS) is dissolved; or
 - (b) The Insured Firm is dissolved or merges with a firm outside of the CLLAS program and discontinues the CLLAS underlying protection; or
 - (c) The INSURED has failed to pay a premium when due or has failed, after demand, to reimburse the Insurer such amounts as the Insurer had paid in settlement or satisfaction of claims or judgment in excess of the applicable limit of the Insurers' liability.

In the event of the above, this Policy may be cancelled by the Insurer by mailing a written notice of cancellation to the FIRM at the address shown in this Policy stating when not less than 30 (thirty) days thereafter such cancellation shall be effective. The mailing of notices as aforesaid shall be sufficient proof of notice. The effective date and hour of cancellation stated in the notice shall become the end of the Period of Insurance. Delivery of such written notice by the Insurer shall be equivalent to mailing.

3. If the Insurer cancels, the computed pro rata cancellation of the annual premium will be charged to the INSURED. If the Insurer shall refuse to renew this Policy, the INSURED shall have the right, in consideration of an additional premium equal to 150% of the annual premium for this policy to any extension of the cover granted by this Policy to apply, subject to its terms, conditions, exclusions and limitations, to Claims first made against the INSURED during the period of 12 calendar months after the expiry date but only when such Claim arises out of Professional Services rendered prior to the expiry date. To exercise this right the INSURED must give notice in writing (together with payment of the additional premium) not later than 30 days after the expiry date. In the event of failure by the INSURED to give such notice, the INSURED shall not at a later date be entitled to give such notice. The mailing of notice by the INSURED by registered mail to the Insurer shall be sufficient proof of notice. For the purpose of establishing the Limit of Liability under this extended reporting period, the period of 12 months referred to herein shall not in any way increase the Sum Insured of this Policy as stated in Item 8 of the Declarations, which limit shall apply to the Last Period of Insurance and the extended reporting period taken together.

4. Partnership Dissolution Extension:

In the event of the dissolution of the FIRM hereunder during the Policy Period, the Insurer hereby agrees in consideration of the payment of an additional premium of 150% of the last annual premium paid for this Policy, to extend coverage granted by this Policy, subject to its terms, conditions, exclusions and limitations to any Claim first made against the FIRM during the period of 12 calendar months after the date of dissolution but only when such Claim arises out of Professional Services rendered prior to the date of dissolution. This right is conditional upon the FIRM giving notice in writing not later than 30 days after such date of dissolution (together with payment of the additional premium).

In the event of failure by the FIRM to give such notice prior to such date, the FIRM shall not at a later date be entitled to invoke this extension. The mailing by the FIRM by registered mail of notice to the Insurer shall be sufficient proof of notice. For the purposes of establishing the Insurer's Limit of Liability under this extended reporting period, the period of 12 months referred to herein shall not in any way increase the Limit of Liability of this Policy, which limit shall apply to the last applicable Period of Insurance and the extended reporting period taken together.

5. Arbitration:

In the event of any dispute between the INSURED and the Insurer respecting any matter arising from or in relation to this Policy, such dispute shall be referred to arbitration before a single arbitrator as mutually agreed upon by the INSURED and the Insurer. The INSURED and the Insurer further agree that the procedure to be followed in every arbitration under this condition shall be set and determined with the arbitrator appointed by the INSURED and the Insurer in accordance with the *Arbitration Act, 1991* (Ontario).

6. Claims Procedures:

- A. The INSURED, as a condition precedent to their right to indemnity under this Policy, shall give the Insurer written notice of any claim made against any INSURED as soon as practicable, but in no event later than the end of the Period of Insurance.
- B. If during the Period of Insurance, the INSURED becomes aware of a specific act, error or omission which is reasonably expected to be likely to give rise to a claim and the INSURED seek indemnity for such claim, then, as a condition precedent to their right to indemnity under this Policy, the INSURED must during the Period of Insurance give written notice to the Insurer of:
 - 1. the specific act, error or omission of the relevant INSURED;
 - 2. the reasons for anticipating the likelihood of a claim;
 - 3. the identity of the potential claimant;
 - 4. the amount of actual or potential damages; and

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5. how and when the INSURED first became aware of such specific act, error or omission.

If such details are provided in full then any claim subsequently made against the INSURED arising out of such specific act, error or omission, shall be deemed to have been made at the time such notice was received by the Insurer.

- C. The INSURED shall give notice under this clause to the Insurer (via the INSURED'S broker or other agent only) at the address specified in the Schedule. Notice shall be deemed reported on the date and at the time of receipt by the Insurer.
- D. The INSURED, as a condition precedent to their right to indemnity under this Policy, shall, at their own cost, co-operate with the Insurer and provide such assistance and information as the Insurer may reasonably request.
- E. The INSURED shall assist in making settlements, in the conduct of suits and in enforcing any right of contribution or indemnity against any person or organization who may be liable to the INSURED because of acts, errors or omissions with respect to which insurance is afforded under this Policy; and the INSURED shall attend hearings and trials and assist in securing and giving evidence and obtaining the attendance of witnesses.

7. Costs:

Insurers agree that they will pay costs, charges and expenses incurred in connection with the defense of any claims covered hereunder, subject to the following conditions:

- i. If the claim made against the INSUREDS is disposed of without payments, Insurers will pay all costs, charges and expenses in excess of the RETENTION but not exceeding the SUM INSURED;
- ii. The cost of any appeal, attachment or similar bonds required to be furnished in connection with the contest of any claims covered hereunder is included in the term "costs, charges and expenses", and the INSUREDS shall not be required to give security for such bonds.

8. Other Conditions:

- a. If the INSUREDS shall make any claim knowing the same to be false or fraudulent, as regards amount or otherwise, this Policy shall become void and all claims hereunder shall be forfeited.
- b. Payments by the Insurer, if any, shall be made in Canadian Dollars.

9. Subrogation:

Any individual INSURED who commits an EXCLUDED ACT shall cease to be an INSURED under this Policy for all purposes relating to the loss caused or alleged to have been caused thereby, and Insurers shall be entitled to have and to exercise all rights of subrogation against such individual as a third party. In the event that such individual is nonetheless deemed by law to remain an INSURED for these purposes, then coverage shall only extend under this policy in respect of the loss caused by the EXCLUDED ACT to the extent that such loss exceeds the value of the individual INSURED'S assets in the FIRM.

ATTACHING TO AND FORMING PART OF POLICY NUMBER: DR1701795

ISSUED TO: McMillan LLP U.S. Securities Law and International Law Practice Group

ENDORSEMENT NUMBER: ONE

ADDITIONAL EXCLUSION

Clause III. EXCLUSIONS is hereby amended by the addition of the following:

10. Any claim made by an associated or subsidiary company of the FIRM, unless such claim emanates from an independent third party.



ATTACHING TO AND FORMING PART OF POLICY NUMBER: DR1701795

ISSUED TO: McMillan LLP U.S. Securities Law and International Law Practice Group

ENDORSEMENT NUMBER: TWO

**CONTRACTS (RIGHTS OF THIRD PARTIES) ACT 1999 CLARIFICATION
CLAUSE**

A person who is not a party to this contract has no right under the Contracts (Rights of Third Parties) Act 1999 to enforce any term of this contract but this does not affect any right or remedy of a third party which exists or is available apart from that Act

30/03/00
NMA 2852



ATTACHING TO AND FORMING PART OF POLICY NUMBER: DR1701795

ISSUED TO: McMillan LLP U.S. Securities Law and International Law Practice Group

ENDORSEMENT NUMBER: THREE

**SERVICE OF SUIT CLAUSE (CANADA)
(Action against Insurer)**

In any action to enforce the obligations of the Underwriters they can be designated or named as "Lloyd's Underwriters" and such designation shall be binding on the Underwriters as if they had each been individually named as defendant. Service of such proceedings may validly be made upon the Attorney In Fact in Canada for Lloyd's Underwriters, whose address for such service is 1155, rue Metcalfe, Suite 1540, Montreal, Quebec, H3B 2V6.

LMA5028

10/08/06

Form approved by Lloyd's Market Association



ATTACHING TO AND FORMING PART OF POLICY NUMBER: DR1701795

ISSUED TO: McMillan LLP U.S. Securities Law and International Law Practice Group

ENDORSEMENT NUMBER: FOUR

SANCTION LIMITATION AND EXCLUSION CLAUSE

No (re)insurer shall be deemed to provide cover and no (re)insurer shall be liable to pay any claim or provide any benefit hereunder to the extent that the provision of such cover, payment of such claim or provision of such benefit would expose that (re)insurer to any sanction, prohibition or restriction under United Nations resolutions or the trade or economic sanctions, laws or regulations of the European Union, United Kingdom or United States of America. This clause will be effective except where it contravenes Canadian laws.

LMA3100 (amended)



ATTACHING TO AND FORMING PART OF POLICY NUMBER: DR1701795

ISSUED TO: McMillan LLP U.S. Securities Law and International Law Practice Group

ENDORSEMENT NUMBER: FIVE

FOREIGN LAW PRACTICES ENDORSEMENT

In accordance with II. DEFINITIONS 2. this insurance is extended to cover the following individuals for their practice of foreign law, whilst performing these services solely for the Insured, as shown below:

Former Foreign Law Practitioners

Yongliang Zhang for the practice of Chinese Law
Retroactive Date: 01 July, 2009

Claudia Quinzio for the practice of Chilean Law
Retroactive Date: 14 February, 2013

Katherine Xiulan (Katherine Hua) for the practice of Chinese law
Retroactive Date: 25 February, 2013

Raquel Quaresma as a Foreign Advisor
Retroactive Date: 01 July, 2013

Juan Pablo for the practice of Chilean Law
Retroactive Date: 14 April, 2011

Anastasia Mamay for the practice of Russian Law
Retroactive Date: 07 February, 2012



ATTACHING TO AND FORMING PART OF POLICY NUMBER: DR1701795

ISSUED TO: McMillan LLP U.S. Securities Law and International Law Practice Group

ENDORSEMENT NUMBER: SIX

**ADDITIONAL INSURED ENDORSEMENT
(Reema Kapoor, J.D./MBA)**

It is hereby noted and agreed that Reema Kapoor, J.D./MBA ("Reema Kapoor") is added to this insurance Policy as an additional INSURED and:

(i) 'I. COVERAGE:' of the wording is amended by the addition of:

"C By Reema Kapoor solely in respect of claims arising from ACTS committed or alleged to have been committed by her in the course of providing legal services for or on behalf of McMillan LLP."

(ii) 'III. EXCLUSIONS:' of the wording is amended by the addition of:

"10. Any claim arising out of the ACTS of McMillan LLP or any derivative or vicarious liability incurred by Reema Kapoor by reason of the ACTS of any other attorney associated with McMillan LLP. For clarity this exclusion does not apply to the coverage given under I.C."

Notwithstanding the foregoing, the limits of liability of the Insurer shall not be increased and shall not exceed the limit for each and every claim nor for all claims in the aggregate, as set forth in **Item 8 SUM INSURED** of the Schedule, or any additions or endorsements thereto.



ATTACHING TO AND FORMING PART OF POLICY NUMBER: DR1701795

ISSUED TO: McMillan LLP U.S. Securities Law and International Law Practice Group

ENDORSEMENT NUMBER: SEVEN

CHOICE OF LAW AND JURISDICTION

This insurance will be governed by and interpreted pursuant to the laws of the Province of British Columbia and the laws of Canada applicable therein, and any dispute arising hereunder shall be submitted to the exclusive jurisdiction of the Courts of the Province of British Columbia.



ATTACHING TO AND FORMING PART OF POLICY NUMBER: DR1701795

ISSUED TO: McMillan LLP U.S. Securities Law and International Law Practice Group

ENDORSEMENT NUMBER: EIGHT

PREMIUM PAYMENT CLAUSE

Notwithstanding any provision to the contrary within this contract or any endorsement hereto, the following clause will apply.

The (Re)Insured undertakes that premium will be paid in full to (Re)Insurers within 60 days of inception of this contract (or, in respect of instalment premiums, when due).

If the premium due under this contract has not been so paid to (Re)Insurers by the 60th day from the inception of this contract (and, in respect of instalment premiums, by the date they are due) (Re)Insurers shall have the right to cancel this contract by notifying the (Re)Insured via the broker in writing. In the event of cancellation, premium is due to (Re)Insurers on a pro rata basis for the period that (Re)Insurers are on risk but the full contract premium shall be payable to (Re)Insurers in the event of a loss or occurrence prior to the date of termination which gives rise to a valid claim under this contract.

It is agreed that (Re)Insurers shall give not less than 15 days prior notice of cancellation to the (Re)Insured via the broker. If premium due is paid in full to (Re)Insurers before the notice period expires, notice of cancellation shall automatically be revoked. If not, the contract shall automatically terminate at the end of the notice period.

If any provision of this clause is found by any court or administrative body of competent jurisdiction to be invalid or unenforceable, such invalidity or unenforceability will not affect the other provisions of this clause which will remain in full force and effect.

30/09/08
LSW3001 (amended)



ATTACHING TO AND FORMING PART OF POLICY NUMBER: DR1701795

ISSUED TO: McMillan LLP U.S. Securities Law and International Law Practice Group

ENDORSEMENT NUMBER: NINE

(RE)INSURERS LIABILITY CLAUSE

(Re)insurer's liability several not joint

The liability of a (re)insurer under this contract is several and not joint with other (re)insurers party to this contract. A (re)insurer is liable only for the proportion of liability it has underwritten. A (re)insurer is not jointly liable for the proportion of liability underwritten by any other (re)insurer. Nor is a (re)insurer otherwise responsible for any liability of any other (re)insurer that may underwrite this contract.

The proportion of liability under this contract underwritten by a (re)insurer (or, in the case of a Lloyd's syndicate, the total of the proportions underwritten by all the members of the syndicate taken together) is shown next to its stamp. This is subject always to the provision concerning "signing" below.

In the case of a Lloyd's syndicate, each member of the syndicate (rather than the syndicate itself) is a (re)insurer. Each member has underwritten a proportion of the total shown for the syndicate (that total itself being the total of the proportions underwritten by all the members of the syndicate taken together). The liability of each member of the syndicate is several and not joint with other members. A member is liable only for that member's proportion. A member is not jointly liable for any other member's proportion. Nor is any member otherwise responsible for any liability of any other (re)insurer that may underwrite this contract. The business address of each member is Lloyd's, One Lime Street, London EC3M 7HA. The identity of each member of a Lloyd's syndicate and their respective proportion may be obtained by writing to Market Services, Lloyd's, at the above address.

Proportion of liability

Unless there is "signing" (see below), the proportion of liability under this contract underwritten by each (re)insurer (or, in the case of a Lloyd's syndicate, the total of the proportions underwritten by all the members of the syndicate taken together) is shown next to its stamp and is referred to as its "written line".

Where this contract permits, written lines, or certain written lines, may be adjusted ("signed"). In that case a schedule is to be appended to this contract to show the definitive proportion of liability under this contract underwritten by each (re)insurer (or, in the case of a Lloyd's syndicate, the total of the proportions underwritten by all the members of the syndicate taken together). A definitive proportion (or, in the case of a Lloyd's syndicate, the total of the proportions underwritten by all the members of a Lloyd's syndicate taken together) is referred to as a "signed line". The signed lines shown in the schedule will prevail over the written lines unless a proven error in calculation has occurred.



Although reference is made at various points in this clause to "this contract" in the singular, where the circumstances so require this should be read as a reference to contracts in the plural.

21/6/07
LMA3333



LLOYD'S UNDERWRITERS' POLICYHOLDERS' COMPLAINT PROTOCOL

Lloyd's strives to enhance your customer experience with us through superior service and innovative insurance products.

We have developed a formal complaint handling protocol in accordance with the Insurance Companies Act of Canada to ensure your concerns as our valued customer are addressed expeditiously by our representatives. This protocol will assist you in understanding the steps we will undertake to help resolve any dispute which may arise with our product or service. All complaints will be handled in a professional manner. All complaints will be investigated, acted upon, and responded to in writing or by telephone by a Lloyd's representative promptly after the receipt of the complaint. If you are not satisfied with our products or services, you can take the following steps to address the issue:

- Firstly, please contact the broker who arranged the insurance on your behalf about your concerns so that he or she may have the opportunity to help resolve the situation.
- If your broker is unable to help resolve your concerns, we ask that you provide us in writing an outline of your complaint along with the name of your broker and your policy number.

Please forward your complaint to:

Lloyd's Underwriters

Attention: Complaints Officer:

1155 rue Metcalfe, Suite 2220, Montréal (Québec) H3B 2V6

Tel: 1-877-455-6937 - Fax: (514) 861-0470

E-mail: info@lloyds.ca

Your complaint will be directed to the appropriate business contact for handling. They will write to you within two business days to acknowledge receipt of your complaint and to let you know when you can expect a full response. If need be, we will also engage internal staff in Lloyd's Policyholder and Market Assistance Department in London, England, who will respond directly to you, and in the last stages, they will issue a final letter of position on your complaint.

In the event that your concerns are still not addressed to your satisfaction, you have the right to continue your pursuit to have your complaint reviewed by the following organizations:

General Insurance OmbudService (GIO): assists in the resolution of conflicts between insurance customers and their insurance companies. The GIO can be reached at:

Toll free number: 1-877-225-0446

www.giocanada.org

For Quebec clients:

Autorité des marchés financiers (AMF): The regulation of insurance companies in Quebec is administered by the AMF. If you remain dissatisfied with the manner in which your complaint has been handled, or with the results of the complaint protocol, you may send your complaint to the AMF who will study your file and who may recommend mediation, if it deems this action appropriate and if both parties agree to it. The AMF can be reached at

Toll Free: 1-877-525-0337

Québec: (418) 525-0337

Montréal: (514) 395-0311

www.lautorite.qc.ca

If you have a complaint specifically about Lloyd's Underwriters' complaints handling procedures you may contact the FCAC.

Financial Consumer Agency of Canada (FCAC) provides consumers with accurate and objective information about financial products and services, and informs Canadians of their rights and responsibilities when dealing with financial institutions. FCAC also ensures compliance with the federal consumer protection laws that apply to banks and federally incorporated trust, loan and insurance companies. The FCAC does not get involved in individual disputes. The FCAC can be reached at:

427 Laurier Avenue West, 6th Floor, Ottawa ON K1R 1B9

Services in English: 1-866-461-FCAC (3222)

Services in French: 1-866-461-ACFC (2232)

www.fcac-acfc.gc.ca

NOTICE CONCERNING PERSONAL INFORMATION

How we use your information

By purchasing insurance from certain Underwriters at Lloyd's, London ("Lloyd's"), a customer provides Lloyd's with his or her consent to the collection, use and disclosure of personal information. Consent is subject to the customer's understanding of the nature, purpose and consequences of the collection, use or disclosure of their personal information.

Information is collected and stored for the following purposes:

- the communication with Lloyd's policyholders
- the underwriting of policies
- the evaluation of claims
- the analysis of business results
- purposes required or authorized by law

What personal information we collect about you

We collect, process and store the following personal information about you:

- Name
- Address including postal code and country
- Policy number
- Claim number
- Credit card details
- Bank account details

We also collect information about you when you visit www.lloyds.com. Further details can be found on our online Privacy & Cookies policy at <http://www.lloyds.com/common/privacy-and-cookies-statement>.

We will not use your personal information for marketing purposes and we will not sell your personal information to other parties.

Who we disclose your information to

For the purposes identified, personal information may be disclosed to Lloyd's related or affiliated organisations or companies, their agents/mandataires, and to certain non-related or unaffiliated organisations or companies, including service providers. These entities may be located outside Canada therefore a customer's information may be processed in a foreign jurisdiction (the United Kingdom and the European Union) and their information may be accessible to law enforcement and national security authorities of that jurisdiction.

Disclosure without consent

The following are reasonable grounds to permit the disclosure of personal information without the knowledge or consent of a customer:

- Detecting or suppressing fraud
- Investigating or preventing financial abuse
- For communication with the next to kin or authorized representative of an injured, ill or deceased individual
- Investigating a breach of an agreement or a contravention of the laws of Canada or a foreign jurisdiction
- Witness statement necessary to assess, process or settle insurance claims
- Information produced in the course of employment and the disclosure is consistent with the purpose it was produced for

How to access your information and/or contact us

To access and request correction or deletion of your information, or to obtain written information about Lloyd's policies and practices in respect of service providers located outside Canada, please contact the Ombudsman at info@lloyds.ca. The Ombudsman will also answer customer's questions about the collection, use, disclosure or storage of their personal information by such Lloyd's service providers.

Further information about Lloyd's personal information protection policy may be obtained from the customer's broker or by contacting Lloyd's on: 514 861 8361, 1 877 455 6937, or through info@lloyds.ca.

10/15
LSW1543C

CODE OF CONSUMER RIGHTS AND RESPONSIBILITIES

Insurers (including Lloyd's Underwriters), along with the brokers and agents who sell home, auto and business insurance are committed to safeguarding your rights both when you shop for insurance and when you submit a claim following a loss. Your rights include the right to be informed fully, to be treated fairly, to timely complaint resolution, and to privacy. These rights are grounded in the contract between you and your insurer and the insurance laws of your province. With rights, however, come responsibilities including, for example, the expectation that you will provide complete and accurate information to your insurer. Your policy outlines other important responsibilities. Insurers and their distribution networks, and governments also have important roles to play in ensuring that your rights are protected.

Right to Be Informed

You can expect to access clear information about your policy, your coverage, and the claims settlement process. You have the right to an easy-to-understand explanation of how insurance works and how it will meet your needs. You also have a right to know how insurers calculate price based on relevant facts. Under normal circumstances, insurers will advise an insurance customer or the customer's intermediary of changes to, or the cancellation of a policy within a reasonable prescribed period prior to the expiration of the policy, if the customer provides information required for determining renewal terms of the policy within the time prescribed, which could vary by province, but is usually 45 days prior to expiry of the policy.

You have the right to ask who is providing compensation to your broker or agent for the sale of your insurance. Your broker or agent will provide information detailing for you how he or she is paid, by whom, and in what ways.

You have a right to be told about insurers' compensation arrangements with their distribution networks. You have a right to ask the broker or agent with whom you deal for details of how and by whom it is being paid. Brokers and agents are committed to providing information relating to ownership, financing, and other relevant facts.

Responsibility to Ask Questions and Share Information

To safeguard your right to purchase appropriate coverage at a competitive price, you should ask questions about your policy so that you understand what it covers and what your obligations are under it. You can access information through one-on-one meetings with your broker or agent. You have the option to shop the marketplace for the combination of coverages and service levels that best suits your insurance needs. To maintain your protection against loss, you must promptly inform your broker or agent of any change in your circumstances.

Right to Complaint Resolution

Insurers, their brokers and agents are committed to high standards of customer service. If you have a complaint about the service you have received, you have a right to access Lloyd's Underwriters' complaint resolution process for Canada. Your agent or broker can provide you with information about how you can ensure that your complaint is heard and promptly handled. Consumers may also contact their respective provincial insurance regulator for information. Lloyd's is a member of an independent complaint resolution office, the General Insurance OmbudService.

Responsibility to Resolve Disputes

You should always enter into the dispute resolution process in good faith, provide required information in a timely manner, and remain open to recommendations made by independent observers as part of that process.

Right to Professional Service

You have the right to deal with insurance professionals who exhibit a high ethical standard, which includes acting with honesty, integrity, fairness and skill. Brokers and agents must exhibit extensive knowledge of the product, its coverages and its limitations in order to best serve you.

Right to Privacy

Because it is important for you to disclose any and all information required by an insurer to provide the insurance coverage that best suits you, you have the right to know that your information will be used for the purpose set out in the privacy statement made available to you by your broker, agent or insurance representative. This information will not be disclosed to anyone except as permitted by law. You should know that Lloyd's Underwriters are subject to Canada's privacy laws - with respect to their business in Canada.

10/12
LSW1565C



INTENTION FOR AIF TO BIND CLAUSE

Whereas Lloyd's Underwriters have been granted an order to insure in Canada risks under the Insurance companies Act (Canada) and are registered in all provinces and territories in Canada to carry on insurance business under the laws of these jurisdictions or to transact insurance in these jurisdictions.

And whereas applicants for insurance coverage in respect of risks located in Canada and Canadian Cedants wish that Lloyd's insurance and reinsurance coverage be provided in a manner that requires Lloyd's Underwriters to vest assets in trust in respect of their risks pursuant to the Insurance Companies Act (Canada);

- a) This contract shall be in force and shall be the governing contract pending the decision by Lloyd's Underwriters' attorney and chief agent in Canada (the "AIF") to confirm coverage in accordance with both the terms and conditions set out in this contract and applicable Canadian law;
- b) The AIF shall confirm Lloyd's Underwriters' coverage by signing in Canada a policy that will contain the terms and conditions set out in this contract (the "Canadian Policy"), and by communicating from Canada the issuance of that policy to the policyholder or his broker;
- c) This contract shall cease to have effect upon the communication by the AIF from Canada of the Canadian Policy to the policyholder or his broker, and the Canadian Policy will replace and supersede this contract.

LMA5180





Lloyd's Insurance

Effected through

Beazley Pte Limited

138 Market Street, #03-04
Capita Green
Singapore 048946
T +(65) 6576 6288
F +(65) 6636 1216
www.beazley.com

This is to Certify that in accordance with the authorisation granted under the Contract (the number of which is specified in the Schedule) to the undersigned by certain Underwriters at Lloyd's, whose definitive numbers and the proportions underwritten by them, which will be supplied on application, can be ascertained by reference to the said Contract which bears the Seal of Lloyd's Policy Signing Office and in consideration of the payment of the premium specified herein, the said Underwriters are hereby bound, severally and not jointly, their Executors and Administrators, to insure in accordance with the terms and conditions contained herein or endorsed hereon.

If the Assured shall make any claim knowing the same to be false or fraudulent, as regards amount or otherwise, this Certificate shall become void and all claim hereunder shall be forfeited.

In Witness whereof this Certificate has been signed at the place stated and on the date specified in the Schedule by

Policy for
McMillan LLP

Issued by Beazley Pte Limited acting on behalf of:

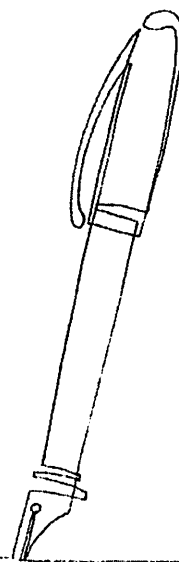
Beazley Syndicate 2623/623 at Lloyd's

138 Market Street
#03-04, CapitaGreen
Singapore 048946

Phone: +(65) 6576 6288
Fax: +(65) 6636 1216

www.beazley.com

beazley





Policy schedule

BINDER AGREEMENT REFERENCE: B6012SINGEO18

POLICY NUMBER: W0550418PNPS

TYPE: Professional Indemnity

ASSURED: McMillan LLP

ADDRESS: 3502, Tower 2, Lippo Centre, 89 Queensway, Hong Kong

PERIOD OF INSURANCE: Effective from: 19 February 2018
To: 18 February 2019
Both days inclusive local standard time

TERMS AND CONDITIONS: Beazley Standard APUA PI Form and corresponding endorsement attached
Date of Proposal: 08.02.2018

PROFESSION: Registered Foreign Law Firm/Lawyers in Hong Kong

TERRITORIES: Worldwide excluding USA and Canada

LIMIT OF INDEMNITY: HKD 20,000,000.00 any one Claim

EXCESS: HKD 250,000.00 each and every Claim (costs inclusive)

RETROACTIVE DATE: 19 February 2009 excluding any known Claims and Circumstances

PREMIUM: HKD 210,000.00

ALL CLAIMS AND CIRCUMSTANCES TO BE NOTIFIED TO: Beazley Pte Limited
138 Market Street, #03-04 CapitaGreen, Singapore 048946

Signature:

Dated in Singapore:

FEBRUARY 20, 2018

Beazley Pte Limited is a service company that is part of the Beazley group of companies. Beazley Pte Limited is regulated by the Monetary Authority of Singapore in its capacity as a Lloyd's coverholder under the Lloyd's Asia regulations. Beazley Pte Limited has authority to enter into contracts of insurance on behalf of the Lloyd's underwriting members of Lloyd's syndicate 623 and 2623 which are managed by Beazley Furlonge Limited which is authorized by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority in the UK (ref 204896) in its capacity as an insurer.

Beazley Pte Limited is a member of Beazley Group.
Registered in Singapore No: 200611993M



Underwritten by Beazley syndicates at Lloyd's*

PROFESSIONAL INDEMNITY POLICY

PREAMBLE

In consideration of the Assured having made a Proposal to Beazley Pte Limited (hereinafter referred to as the Underwriters) containing particulars and statements which are to be considered as incorporated in this Policy, and having paid the premium stated in the Schedule, Underwriters will indemnify the Assured in accordance with and subject to the limitations, terms and conditions and endorsements of this Policy.

INDEMNITY CLAUSE

Underwriters will, subject to the following limitations, terms and conditions and endorsements:

indemnify the Assured against any Claim which may be made against the Assured and notified to Underwriters during the Period of Insurance for actual or alleged breach of professional duty in the profession stated in the Schedule by reason of any negligent act, error or omission committed or allegedly committed by or on behalf of the Assured after the retroactive date stated in the Schedule.

COSTS AND EXPENSES

Underwriters also agree to pay all costs and expenses incurred in the investigation, defence or settlement of any Claim which falls to be dealt with under this Policy.

LIMIT OF UNDERWRITERS' LIABILITY

Provided always that Underwriters' total aggregate liability under this Policy shall not exceed the Limit of Indemnity specified in the Schedule in respect of all Claims (which for the purpose of this clause shall be deemed to include all costs and expenses incurred in the investigation, defence or settlement of all Claims) made against the Assured during any one Period of Insurance.

EXCESS CLAUSE

Provided further that Underwriters shall only be liable for that part of each and every Claim (which for the purpose of this clause shall be deemed to include all costs and expenses incurred in the investigation, defence or settlement of any Claim) which exceeds the amount of the Excess stated in the Schedule.

For the purpose of this clause "Claim" shall also mean all Claims attributable to or arising out of the same cause or event.

If any expenditure is incurred by Underwriters which by virtue of this clause is the responsibility of the Assured then such amount shall be reimbursed to Underwriters by the Assured forthwith.

* Beazley Pte Limited is a service company that is part of the Beazley group of companies. Beazley Pte Limited is regulated by the Monetary Authority of Singapore in its capacity as a Lloyd's coverholder under the Lloyd's Asia regulations. Beazley Pte Limited has authority to enter into contracts of insurance on behalf of the Lloyd's underwriting members of Lloyd's syndicate 623 and 2623 which are managed by Beazley Furlonge Limited which is authorized by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority in the UK (ref 204896) in its capacity as an insurer.





CLAIMS

All Claims made against the Assured which are attributable to or arise out of the same cause or event shall be regarded as one aggregated Claim and the Underwriters' total liability under this Policy for the aggregated Claim shall not exceed the Limit of Indemnity.

LEGAL PERSONAL REPRESENTATIVES

In the event of the death of any Assured Underwriters will, in respect of the liability incurred by the Assured, indemnify the Assured's legal personal representatives in the terms of this Policy provided that such legal personal representatives shall, as though they were the Assured, observe, fulfil and be subject to the terms, conditions and exclusions of this Policy insofar as they can apply.

EXCLUSIONS

This Policy will not indemnify the Assured against any Claim:

1. CONTRACTUAL LIABILITY

in respect of liability imposed upon the Assured pursuant to any contract if such liability would not have been imposed upon the Assured in the absence of any such contract; or for fines, penalties or exemplary damages of any description, or

2. LEGAL JURISDICTION

where action for damages is brought in a court of law outside the territories specified in the Schedule, or where action is brought in a court of law within those territories to enforce a foreign judgement whether by way of Reciprocal Agreement or otherwise, or

3. EMPLOYERS LIABILITY

arising directly or indirectly from bodily injury, sickness, disease or death sustained by any person arising out of and in the course of his/her employment by the Assured under any contract of service or apprenticeship, or for any breach of any obligation owed by the Assured as an employer to any employee, or

4. PRIOR CIRCUMSTANCES

made against the Assured prior to the commencement of the Period of Insurance nor in respect of any claim(s) or circumstance(s) notified under any previous policy nor in respect of any claim(s) or circumstance(s) which might give rise to a Claim which was known to the Assured prior to the commencement of the Period of Insurance or which is stated on the proposal form, declaration or underwriting information being the basis of this insurance.

(This exclusion relates to the negligent act, error or omission from which the claim(s) or circumstance(s) known to the Assured arises, irrespective of how, or whether, that claim(s) or circumstance(s) is declared to Underwriters.), or



5. WAR AND TERRORISM

for loss, damage or liability directly or indirectly occasioned by or, in connection with any of the following regardless of any other cause or event contributing concurrently or in any other sequence to the loss;

- (i) war, invasion, acts of foreign enemies, hostilities or warlike operations (whether war be declared or not), civil war, rebellion, revolution, insurrection, civil commotion assuming the proportions of or amounting to an uprising, military or usurped power; or
- (ii) any act of terrorism.

For the purpose of this exclusion an act of terrorism means an act, including but not limited to the use of force or violence and/or the threat thereof, of any person or group(s) of persons, whether acting alone or on behalf of or in connection with any organisation(s) or government(s), committed for political, religious, ideological or similar purposes including the intention to influence any government and/or to put the public, or any section of the public, in fear.

This exclusion includes loss, damage, cost or expense of whatsoever nature directly or indirectly caused by, resulting from or in connection with any action taken in controlling, preventing, suppressing or in any way relating to (i) and/or (ii) above.

If the Underwriters allege that by reason of this exclusion, any loss, damage, cost or expense is not covered by this insurance the burden of proving the contrary shall be upon the Assured.

In the event any portion of this exclusion is found to be invalid or unenforceable, the remainder shall remain in full force and effect, or

6. NUCLEAR ASSEMBLIES

for loss, damage or liability directly or indirectly occasioned by or caused by or arising from or in consequence of or contributed to by nuclear weapons materials, or arising from or in consequence of or contributed to by ionising radiation or contamination by radioactivity from any nuclear fuel or from any nuclear waste from the combustion of nuclear fuel. Combustion shall include any self sustaining process of nuclear fission, or

7. ASSURED DUTIES

made against them which relates to any duty or obligation assumed by the Assured which is not assumed in the normal conduct of the Assured's profession as stated in the Schedule, or

8. DISHONESTY

arising directly or indirectly from any dishonest, fraudulent, malicious or illegal act or omission of the Assured, or

9. DEFAMATION

alleging libel or slander, or

10. INFRINGEMENT

alleging infringement of copyright, patents, registered designs, trade marks or passing-off, or

11. PRODUCTS

arising out of or relating to goods or products, sold, supplied, repaired, altered, manufactured, installed or maintained by the Assured or any related company or by sub-contractors of the Assured, or



12. INSOLVENCY/BANKRUPTCY OF ASSURED

arising out of or relating directly or indirectly from the insolvency or bankruptcy of the Assured, or

13. SEEPAGE AND POLLUTION

based upon, arising out of or relating directly or indirectly from or in consequence of or in any way involving, seepage, pollution or contamination of any kind, or

14. MOULD AND ASBESTOS

arising directly or indirectly out of or resulting from or in consequence of, or in any way involving:

- (i) asbestos, or any materials containing asbestos in whatever form or quantity, or
- (ii) the actual, potential, alleged or threatened formation, growth, presence, release or dispersal of any fungi, molds, spores or mycotoxins of any kind, or
- (iii) any action taken by any party in response to the actual, potential, alleged or threatened formation, growth, presence, release or dispersal of fungi, molds, spores or mycotoxins of any kind, such action to include investigating, testing for, detection of, monitoring of, treating, remediating or removing such fungi, molds, spores or mycotoxins, or
- (iv) any governmental or regulatory order, requirement, directive, mandate or decree that any party take action in response to the actual, potential, alleged or threatened formation, growth, presence, release or dispersal of fungi, molds, spores or mycotoxins of any kind, such action to include investigating, testing for, detection of, monitoring of, treating, remediating or removing such fungi, molds, spores or mycotoxins, or

15. FINES/PENALTIES

for fines, penalties, punitive, multiple or exemplary damages, or

16. RETROACTIVE DATE

first made against the Assured by reason of any negligent act, error or omission committed or alleged to have been committed prior to the retroactive date stated in the Schedule.

DEFENCE AND SETTLEMENT

- 1. Underwriters will be entitled to take over and conduct, in the name of the Assured, the defence or settlement of any Claim.
- 2. The Assured will, when instructed by Underwriters pay promptly (or within the terms of any proposed settlement) any Excess amount payable by them under this Policy. Any failure or refusal by the Assured to make such payment will entitle Underwriters to deduct such amount from any amount(s) required to settle any Claim, judgement, order or any other payment to be made by Underwriters under this Policy.
- 3. The Assured will not be required to contest any legal proceedings unless a Queen's Counsel or Senior Counsel (to be mutually agreed upon by the Assured and Underwriters) advises that such proceedings should be contested.
- 4. In the event that Underwriters elect to settle any Claim, Underwriters may discharge its total liability under this Policy by paying the then available Limit of Indemnity to the Assured.

5. In the event that the Assured wishes to continue to contest any Claim which, in the opinion of Underwriters should be settled, then, with the consent of Underwriters the Assured may so elect, provided that Underwriter's liability in respect of any such Claim shall not exceed the amount for which, but for such election, the Claim could have been settled, together with costs and expenses payable in accordance with this Policy and incurred up to the date of such election.
6. Underwriters may, if it believes that any Claim will not exceed the Excess, instruct the Assured to conduct the defence of the Claim, keeping Underwriters advised of developments as they occur. In these circumstances Underwriters will reimburse the Assured for all reasonable defence costs in the event that any payment made to dispose of the Claim by way of damages exceeds the Excess.

CONDITIONS

1. The Assured will not admit liability for or settle any Claim or incur any costs or expenses in connection with any Claim without the written consent of Underwriters.
2. The Assured will, as a condition precedent to their right to be indemnified under this Policy, give to Underwriters immediate notice in writing of any Claim whether oral or in writing and will, on request, give to Underwriters any information they may reasonably require to investigate the matter notified.

Such notice having been given as required above, any subsequent Claim against the Assured shall be deemed to have been made during the Period of Insurance.

3. Underwriters will be entitled to claim indemnity or contribution at any time in the name of the Assured from any party against whom the Assured may have such rights.
4. The construction, interpretation and meaning of this Policy shall be determined according to the law(s) of Hong Kong and in accordance with the English text as it appears in this Policy.
5. If there are any material changes to the Proposal during the Period of Insurance then the Assured shall promptly inform the Underwriters.
6. This Policy shall terminate thirty days after receipt by the Assured of notice in writing from the Underwriters of their decision to terminate this Policy. Such notice shall be deemed to be duly received in the course of post if sent by prepaid registered post properly addressed to the Head Office of the Assured.
7. The subscribing underwriters' obligations under contracts of insurance to which they subscribe are several and not joint and are limited solely to the extent of their individual subscriptions. The subscribing underwriters are not responsible for the subscription of any co-subscribing underwriter who for any reason does not satisfy all or part of its obligations.
8. This Insurance shall be governed by the laws of Hong Kong and subject to the exclusive jurisdiction of the courts of Worldwide excluding USA/ Canada.

DEFINITIONS

1. "Claim" or "Claims" means:
 - (a) any writ, statement of claim, summons, application or other originating legal or arbitral process, cross claim, counter-claim or third or similar party notice issued against or served on the Assured; or
 - (b) the receipt by the Assured of any written or verbal notice of demand for compensation made against the Assured; or

- (c) circumstances which are or should, after enquiry, be known to the Assured, and which might give rise to a Claim.
- 2. **"Limit of Indemnity"** means the sum stated as the limit of indemnity in the Schedule.
- 3. **"Assured"** means:
 - (a) the company, corporation, statutory authority, association, legal entity, members of the partnership, or person(s) specified in the proposal form, declaration or underwriting information being the basis of this contract; and
 - (b) any person who is, becomes, or ceases to be a principal, partner, director, controlling officer or employee of any entity specified above, but in each case solely in respect of work carried out for and on behalf of that entity; and
 - (c) any predecessor of any entity specified above, but in each case solely in respect of work carried out for and on behalf of that entity.
- 4. **"Period of Insurance"** means the period stated in the Schedule.
- 5. **"Excess"** means the excess applicable stated in the Schedule.
- 6. **"Proposal"** means all information, whether oral or in writing, supplied by the Assured or on the Assured's behalf.

SERVICE OF SUIT CLAUSE (HONG KONG)

This Insurance shall be governed by Hong Kong law and the Hong Kong Courts shall have jurisdiction in any dispute arising hereunder.

In this event Mr. Thomas Haddrill of Lloyd's, Suite 1220, Two Pacific Place, 88 Queensway, Hong Kong, Lloyd's Representative in Hong Kong, is authorised to accept service of suit on behalf of Underwriters.

NMA2349A (Hong Kong Amended Version)
20 June 2017

COMPLAINTS PROCEDURE

Notice to the Proposer/Assured. Any enquiry or complaint should be addressed in the first instance to your Broker/Beazley Pte Limited. If you are not satisfied with the way a complaint has been dealt with you may ask the Policyholder & Market Assistance at Lloyd's to review your case without prejudice to your rights in law. The address is:

Policyholder & Market Assistance
Lloyd's Market Services
One Lime Street
London EC3M 7HA
Telephone: +44 (0)207 327 5693
Facsimile: +44 (0)207 327 5225
E-mail: complaints@lloyds.com



ENDORSEMENTS ATTACHING TO AND FORMING PART OF POLICY NO. W0550418PNPS

1. It is hereby noted that this Policy will not indemnify the Assured against any Claim arising out of any services performed &/or advice given pertaining to Hong Kong law.
2. It is hereby noted that this Policy will not indemnify the Assured against any Claim arising out of any work undertaken outside Hong Kong.

3. KNOWN CLAIMS / CIRCUMSTANCES EXCLUSION

It is understood and agreed that this Policy excludes coverage in respect of Claims and/or circumstances mentioned below:

Claimants:

- 1) Robert Standerwick
- 2) Canadian Renewable Bioenergy Corp

manuend/knownclaims

4. Policy Exclusion 8 is deleted and this Policy is extended to include Dishonesty of Employees as defined herein.

DISHONESTY OF EMPLOYEES EXTENSION

Underwriters agree to indemnify the Assured against all sums which the Assured shall become legally liable to pay as a result of any claims/circumstances made against the Assured during the Period of Insurance brought about or contributed to by any dishonest, fraudulent, criminal or malicious act or omission of any employees of the Assured.

(The term Employee shall not be deemed to include any Director of the Assured)

(03/94)
LSW432

5. Policy Exclusion 9 is deleted and this Policy is extended to include Libel and Slander as defined herein.

LIBEL AND SLANDER EXTENSION

Underwriters agree to indemnify the Assured against all sums which the Assured shall become legally liable to pay as damages and claimants' costs and expenses as a result of any Claim or Claims made against the Assured during the Period of Insurance for Libel or Slander by reason of words written or spoken by:-

- (a) the Assured, or
- (b) any employee of the Assured, or
- (c) any director of the Assured

in or about the conduct of the Assured's business as specified in the Schedule.

(03/94)
LSW433

6. Policy Exclusion 10 is deleted and this Policy is extended to include Infringement of Copyright, Patent or Registered Design as defined herein.

INFRINGEMENT OF COPYRIGHT, PATENT OR REGISTERED DESIGN EXTENSION (REB)

Underwriters agree subject otherwise to the terms, conditions and exclusions of this insurance to indemnify the Assured against all sums which the Assured shall become legally liable to pay as damages and claimants' costs and expenses as a result of any claim or claims made against the Assured during the Period of Insurance alleging infringement of copyright, patent or registered design committed in good faith by:-

- (a) the Assured, or
- (b) any employee of the Assured, or
- (c) any director of the Assured

in or about the conduct of the Assured's business as specified in the Schedule.

(03/94)
LSW427

7. It is hereby noted and agreed that Exclusions 17 and 18 are added to this Policy.

This Policy will not indemnify the Assured against any Claim:

17. APPOINTMENTS

arising from the Assured or any partner or former partner of the Assured or any Employee holding individual appointments as director company secretary executor administrator liquidator receiver or trustee unless such appointments are held in the course of the Business at the Assured's request and provided that all fees or other income derived from such appointments have been included in the fee income declared by the Assured to the Underwriters, or

18. PERFORMANCE EXCLUSION

arising out of the failure of an investment to perform:

- (i) to the advantage of the client, or
- (ii) to the advantage of the client to the degree suggested or assumed in any advice or forecast given by the Assured or in the making of any discretionary fund decision by the Assured.

8. LOSS OF DOCUMENTS EXTENSION

It is hereby understood and agreed that if during the Period of Insurance the Assured shall discover that any Documents (as hereinafter defined), the property of or entrusted to the Assured, which may now or hereafter be, or be supposed or believed to be, in the custody of the Assured or in the custody of any other person to or with whom such Documents have been entrusted, lodged or deposited by the Assured in the ordinary course of business, have been destroyed or damaged or lost or mislaid and after diligent search cannot be found, the Underwriters will indemnify the Assured against

- (a) legal liability which the Assured may incur to any other person in consequence of such Documents being destroyed, damaged, lost or mislaid,
- (b) costs and expenses incurred with the written consent of the Underwriters in the defence or settlement of any Claim to establish liability as described in (a) above.

Definition

In this Extension "Documents" means deeds, wills, agreements, maps, plans, records, books, letters, certificates, forms, computer programmes or information stored, written or punched into card or tape or magnetic discs or taped or any other data media, and documents of any nature whatsoever, whether written, printed or reproduced by any other method (other than bearer bonds, coupons, bank notes, currency notes and negotiable instruments).

Exclusions

This Extension shall not indemnify the Assured against any liability, costs or expenses

- (a) for which the Assured are entitled to an indemnity under this Policy apart from this Extension,
- (b) for which the Assured are entitled to an indemnity under any other policy or certificate of insurance,

- (c) directly or indirectly occasioned by, happening through or in consequence of war, invasions, acts of foreign enemies, hostilities (whether war be declared or not), civil war, rebellion, revolution, insurrection, military or usurped power or confiscation or nationalisation or destruction of or damage to property by or under the order of any government or public or local authority,
- (d) directly or indirectly caused by or contributed to by or arising from
 - (i) ionising radiations or contamination by radioactivity from any nuclear fuel or from any nuclear waste from the combustion of nuclear fuel,
 - (ii) the radioactive, toxic, explosive or other hazardous properties of any explosive nuclear assembly or nuclear component thereof,
- (e) (i) which arises directly or indirectly by reason of or in connection with fire or explosion occasioned by or happening through or in consequence directly or indirectly of terrorism,
- (ii) loss or destruction of or damage to any property in Northern Ireland or loss resulting therefrom caused by or happening through or in consequence of:
 - (a) civil commotion
 - (b) any unlawful, wanton or malicious act committed maliciously by a person or persons acting on behalf of or in connection with any unlawful association.

Conditions

1. The Assured shall not admit liability for or settle any Claim or incur any costs or expenses in connection therewith without the written consent of the Underwriters, who shall be entitled at any time to take over and conduct in the name of the Assured the defence or settlement of any Claim.
2. The Assured shall, as a condition precedent to their right to be indemnified under this Extension, give to the Underwriters immediate notice in writing of any circumstance which is likely to give rise to a Claim hereunder.
3. Any Claim for costs and expenses incurred by the Assured in replacing or restoring Documents shall be supported by bills or accounts which shall be subject to approval by a competent person to be nominated by the Underwriters with the approval of the Assured.
4. The Underwriters' total liability under this Policy shall not be increased by reason of this Extension.
5. The Assured shall, as a condition precedent to their right to be indemnified under this Extension, keep all Documents in a suitable secure fire proof safe or cabinet outside of normal business hours and shall maintain duplicates of all computer related records off site.

Subject otherwise to the Policy terms and conditions.

c: Loss of Documents (foreign lawyer version)

9. REINSTATEMENT CLAUSE

It is agreed that the amount of the reduction in the Limit of Indemnity on account of any Claim or Claims paid or payable hereunder shall be automatically reinstated but such reinstatement sums shall only apply:

- (a) in excess of the total Limit of Indemnity available under this and any insurance coverage in excess of this Policy;
- (b) in respect of any subsequent Claim or Claims which are totally unrelated to the Claim or Claims by which the original Limit of Indemnity was reduced.

The amount available in respect of any one Claim or series of Claims arising from one cause shall not exceed the Limit of Indemnity.

For the avoidance of doubt, the expressions Limit of Indemnity, Period of Insurance and Claim shall bear the meanings assigned to them in this Policy.

Subject otherwise to the Policy terms and conditions.

reinstat (foreign lawyer version)

10. SANCTION LIMITATION AND EXCLUSION CLAUSE

No (re)insurer shall be deemed to provide cover and no (re)insurer shall be liable to pay any Claim or provide any benefit hereunder to the extent that the provision of such cover, payment of such Claim or provision of such benefit would expose that (re)insurer to any sanction, prohibition or restriction under United Nations resolutions or the trade or economic sanctions, laws or regulations of the European Union, United Kingdom or United States of America.

LMA 3100

END

APPENDIX F

SCHEDULE OF CLAIMS AND NOTICES AS OF DECEMBER 31, 2016

Name of Firm: McMillan LLP

See additions attached.

Appendix F

Schedule of Claims and Notices as of December 31, 2017

CLLAS Claim No.	Lawyer Name	Claimant	Report Date	Error Date
2018-056	Charles Chevrette	ERK D'Anjout Fonds Soutien Releve	January 24, 2018	May 2012
2018-077	Peter Reardon	Donna Wigen	March 21, 2018	Unclear
2018-065	Rick Bennet	Canadian Western Trust Company	February 15, 2016	Unclear
2018-057	Michael Templeton	Sheldon Godfrey Judith Godfrey Rising Sun Productions	January 24, 2018	June 2007

As at December 31, 2017

Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Disp. Code	CLAS				Incurred Liability	Law Society					
							Payment		Reserve			Incurred Liability	Payment		Reserve		Incurred Liability
							Indemnity	Legal	Indemnity	Legal			Indemnity	Legal	Indemnity	Legal	
CLAS1988-005	STEPHEN W BOWMAN	TANENBAUM	1987-07-01	1985-11-01	1989-03-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$1,192	\$0	\$0	\$1,192	
CLAS1988-006	John S. Farquharson	CRESOIN INVESTMENT	1987-07-01	1986-10-01	1987-11-02	CPF	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLAS1988-010	ROBERT K. McDERMOTT	LLOYDS BANK CANADA	1987-09-01	1987-09-01	1987-11-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLAS1988-016	DAVID A. ALLPORT	ROY PAPE ET AL	1987-12-01	1978-09-01	1990-04-05	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLAS1988-021	ANTHONY D. GRIFFIN	GORDON HILL	1988-01-01	1986-07-01	1989-06-01		\$0	\$0	\$0	\$0	\$0	\$0	\$2,505	\$0	\$0	\$2,505	
CLAS1988-022	DAVID G. BUTLER	TIMOTHY & PETERSON	1987-10-01	1987-05-01	1988-07-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLAS1988-024	DAVID N. ROSS	TERRY PHOENIX	1988-03-01	1988-01-01	1990-04-06	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLAS1988-026	COREY I. SIMPSON	CHEMICAL BANK	1988-03-01	1987-04-01	1988-05-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$300	\$0	\$0	\$300	
CLAS1988-046	JOHN C. OSBORNE	SHELL TANKERS (U.K.)	1988-06-01	1987-11-01	1989-05-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLAS1988-047	BRIAN W.T. BURKETT	FREDERICK A. GARDNER	1988-06-01	1986-04-01	1993-02-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$7,299	\$0	\$0	\$7,299	
CLAS1989-010	DANIEL V. MacDONALD	ONTARIO LTD (797698 & 796890)	1988-12-31	1988-10-31	1995-05-05	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$38,757	\$0	\$0	\$38,757	
CLAS1989-011	WILLIAM V. SASSO	DONALD McKINNON	1989-01-01	1988-10-01	1990-04-06	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLAS1989-021	David Wentzell	PENGUIN BOOKS CANADA LIMITED	1989-03-05	1988-07-01	1991-03-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$7,086	\$0	\$0	\$7,086	
CLAS1989-030	Joel Skapinker	KLAUS ROHRICH	1989-05-01	1987-02-01	1992-02-01	SIR	\$0	\$0	\$0	\$0	\$0	\$0	\$878	\$0	\$0	\$878	
CLAS1989-049	CATHERINE NIXON	THE SOVEREIGN LIFE INSURANCE COMPANY	1989-06-16	1986-03-07	1991-02-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$874	\$0	\$0	\$874	
CLAS1989-069	Hilary E. Clarke	EXTENDER PRD /GRAHAM	1989-06-01	1988-11-01	1992-07-20	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLAS1989-078	G/V PAYNE/MURPHY	783420 ONTARIO LTD/THE ELANE PLAZA	1989-06-01	1984-02-01	1990-02-15	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$2,015	\$0	\$0	\$2,015	
CLAS1989-079	MILDRED C. POON	SARAH YAU/M. O'CONNOR	1989-06-30		1990-04-05	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLAS1989-080	NANCY L. CARNWATH	ELLIOT ROSENBERG	1989-06-28	1989-04-07	1990-04-06	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLAS1989-092	Frank Archibald	PARKVIEW PROPERTIES	1989-06-29	1985-12-01	1990-05-15	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLAS1989-096	DAVID N. ROSS	BORG-WARNER ACCEPTANC	1989-06-29	1987-04-01	1990-04-06	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLAS1990-002	John S. Farquharson	ULTRAMAR CANADA INC	1989-08-22	1981-08-01	1990-04-06	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLAS1990-018	G. PAYNE	ANNE W. CHURCH	1989-12-05	1989-03-28	1992-12-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$21,295	\$0	\$0	\$21,295	
CLAS1990-030	LIANE ROSENBAUM	EMIX HOLDINGS LTD, EMIX LTD & CREDIT SUISSE CANADA	1990-01-01	1988-11-24	1991-02-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)

Open and Closed Claims Report

McMillan LLP

As at December 31, 2017

Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Disp. Code	CLLAS					Law Society				
							Payment		Reserve		Incurred Liability	Payment		Reserve		Incurred Liability
							Indemnity	Legal	Indemnity	Legal		Indemnity	Legal	Indemnity	Legal	
CLLAS1990-031	BARBARA SUTHERLAND	ALLEN DUKE	1990-01-16	1989-12-01	1993-12-31	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1990-032	John S. Farquharson	CRESO INVESTMENTS	1990-01-26	1984-01-15	1991-10-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$7,727	\$0	\$0	\$7,727
CLLAS1990-061	SHEILA K. RUDD	DON YOUNG	1990-05-15	1988-11-10	1992-11-12	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1990-063	I. MICHAEL B. JAMESON	ESTATE OF ANGUS MCINTOSH	1990-04-27	1986-06-15	1990-06-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1990-064	DAVID G. WENTZELL	TRAFALGAR CAPITAL INFORMATION/ARM CORP	1990-04-27	1987-05-07	1992-08-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$14,505	\$0	\$0	\$14,505
CLLAS1990-065	ANDREW KENT	Royal Bank of Canada	1990-05-25	1989-01-01	1991-06-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$1,362	\$0	\$0	\$1,362
CLLAS1990-066	Malcolm Kronby	PATRICIA C. HOUSTON	1990-04-15	1989-01-01	1991-01-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1990-067	VINCENT J. MURPHY	Royal Bank of Canada	1990-05-01	1988-12-01	1992-06-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$2,958	\$0	\$0	\$2,958
CLLAS1990-068	John S. Farquharson	CRESO/ SHEROBBE WEST	1990-04-30	1983-03-31	1999-05-27		\$0	\$0	\$0	\$0	\$0	\$460,270	\$44,200	\$0	\$0	\$521,372
CLLAS1990-084	ANDREW J.F. KENT	ROYAL BANK OF CANADA/ULTIMATE JEWELLRY LTD	1990-05-15	1989-03-15	1990-10-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$320	\$0	\$0	\$320
CLLAS1990-093	M. PHILIP TUNLEY	ARM CORP 4-7 LTD	1990-05-31	1988-10-31	1994-06-30	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$1,220	\$0	\$0	\$2,309
CLLAS1990-094	JOHN KAZANJIAN	ARGRISTOR CREDIT CORPORATION	1990-06-02	1986-01-15	1991-11-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$1,284	\$0	\$0	\$1,284
CLLAS1990-095	JEAN ANDERSON	ROYAL BANK OF CANADA/CHARAN INDUSTRIES INC.	1990-06-28	1987-05-01	1991-11-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$1,262	\$0	\$0	\$1,262
CLLAS1991-001	Malcolm Kronby	ANNE CLAIRE BREWER	1990-07-04	1990-02-01	1991-03-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$518	\$0	\$0	\$518
CLLAS1991-021	DENNIS A. TRINAISTICH	MERCEDES-BENZ CANADA INC.	1990-09-01	1990-08-01	1992-04-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$650	\$0	\$0	\$650
CLLAS1991-037	DAVID G. WENTZELL	MARGARET SINCLAIR	1990-11-01	1990-06-01	1991-09-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$519	\$0	\$0	\$519
CLLAS1991-043	CARMEN S. THERIAULT	Sunquest Vacations Limited	1990-11-01	1990-03-01	1991-06-01		\$0	\$0	\$0	\$0	\$0	\$0	\$3,326	\$0	\$0	\$3,326
CLLAS1991-071	DAVID R. DUNLOP	ZENITH RADIO CANADA	1991-02-01	1990-12-18	1991-08-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1991-072	LIANE B. ROSENBAUM	CENTARA CORPORATION (subnom EMPIRE PLAZA ENTERP)	1991-02-26	1990-12-01	1991-10-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1991-132	DAVID N. ROSS	MAPROP INVESTMENTS	1991-06-28	1988-09-29	1994-03-07	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$1,651	\$0	\$0	\$1,651
CLLAS1991-133	THOMAS E. J. McDONNELL	CRESO INVESTMENTS	1991-06-30	1985-06-30	1996-09-30	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$9,166	\$0	\$0	\$9,166
CLLAS1991-134	K. MICHAEL McLOUGHLIN	CANADA TRUST CO./CANADA TRUST CO. MORTGAGE CO.	1991-05-18	1988-04-30	1991-12-01		\$0	\$0	\$0	\$0	\$0	\$7,500	\$1,025	\$0	\$0	\$8,525

As at December 31, 2017

Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Disp. Code	CLLAS					Law Society					
							Payment		Reserve		Incurred Liability	Payment		Reserve		Incurred Liability	
							Indemnity	Legal	Indemnity	Legal			Indemnity	Legal	Indemnity		Legal
CLLAS1991-148	BARBARA J. SUTHERLAND	JOHN AND DIANE BROOKS	1991-05-31	1989-11-30	1993-05-30	NCP	\$0	\$0	\$0	\$0	\$0	\$31,000	\$22,036	\$0	\$0	\$54,872	
CLLAS1992-022	MARKUS KOEHNEN	CROTHERS LIMITED	1991-09-24	1990-08-07	1992-12-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$1,827	\$0	\$0	\$1,827	
CLLAS1992-023	CHRIS N. GERMANAKOS	DAVID RALPH/ROBERT SANDER/COTT (PAPERLESS LINKS IN)	1991-10-01	1991-05-17	1992-12-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$2,446	\$0	\$0	\$2,446	
CLLAS1992-029	STUART T. GRAHAM	DENON CANADA INC.	1991-11-25	1991-10-03	1992-05-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1992-030	WILLIAM G. HORTON	CROWN TRUST ET AL.	1991-11-21	1986-09-01	1992-01-21	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1992-045	VINCENT MURPHY	Royal Bank	1991-11-30	1988-08-31	1994-10-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$9,662	\$0	\$0	\$9,662	
CLLAS1992-055	T.B.A. T.B.A.	TRITEN CORPORATION	1991-12-01	1990-09-01	1992-06-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1992-059	GORDON L. JACOBS	PIERREPONT BANCORP	1991-08-01	1990-07-01	1992-07-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1992-077	MICKEY M. YAKSICH	CIBC- 847668 ONTARIO LIMITED	1991-10-01	1991-09-09	1992-12-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$2,912	\$0	\$0	\$2,912	
CLLAS1992-126	DANIEL F. HIRSH	GRAFTON GROUP LIMITED/D. GELLER	1992-04-10	1991-03-20	1993-01-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1992-138	LUIGI MACCHIONE	NANCY ANN SCOTT-ANSLEY	1992-05-13	1991-06-17	1992-02-11	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$1,293	\$0	\$0	\$1,293	
CLLAS1992-144	CATHERINE NIXON	CRESO DEVELOPMENTS LIMITED	1992-05-14	1989-05-30	1992-08-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$2,048	\$0	\$0	\$2,048	
CLLAS1992-155	DAVID W. KENT	BRUCE ROBERTSON & ASSOC(Liquidator)/Greymac	1992-05-05	1989-10-01	1992-12-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$336	\$0	\$0	\$336	
CLLAS1992-167	CHRISTOPHER LOUGHRIN	BAYVIEW MIC LIMITED DIRECTORS/SHAREHOLDER S	1992-06-30	1991-10-31	1994-07-29	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$1,743	
CLLAS1992-168	GORDON L. JACOBS	JAMES A. CREIGHTON	1992-04-29	1992-03-10	1993-02-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
CLLAS1992-169	Catherine F. Nixon	THOMAS G. MCCARTHY	1992-06-25	1992-06-11	1992-08-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$856	\$0	\$0	\$856	
CLLAS1992-170	DAVID N. ROSS	TONG'S STRATEGICS INTL. INC.	1992-06-26	1990-10-01	1993-06-30	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$3,098	\$0	\$0	\$3,098	
CLLAS1992-171	MALCOLM C. KRONBY	IAN ROSS PELMAN	1992-06-30	1990-01-31	1995-04-04	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$1,013	\$0	\$0	\$1,013	
CLLAS1992-178	VINCE J. MURPHY	Royal Bank	1991-11-30	1988-08-31	1994-11-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$9,662	\$0	\$0	\$9,662	
CLLAS1992-182	NANCY J. IADELUCA	MARGARET DeBARROS	1992-02-26	1990-07-24	1992-09-25	NCP	\$0	\$0	\$0	\$0	\$0	\$4,500	\$0	\$0	\$0	\$4,500	
CLLAS1993-008	STEPHEN W. BOWMAN	MEEL, MUTUAL, MUTUAL MEC, SHIP & SHIPP MEC	1992-08-21	1992-03-24	1993-09-27	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$844	\$0	\$0	\$844	
CLLAS1993-024	DAVID M. GIBSON	MAG DRYWALL LTD./ROYAL BK OF CANADA	1992-10-14	1992-09-21	1993-06-30	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	

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							Payment	Reserve	Legal		Payment	Reserve	Legal	
							Indemnity	Legal	Indemnity	Legal	Indemnity	Legal	Indemnity	Legal
CLLAS1993-037	EDWARD G. WONG	Honda Canada Finance Inc.	1992-11-30	1991-10-31	1994-06-30	NCP	\$0	\$0	\$0	\$0	\$0	\$4,881	\$0	\$4,881
CLLAS1993-073	WILLIAM G. HORTON	Desarrollos del Futuro/Millhouse Corp.	1993-03-31	1993-02-28	1994-06-30	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1993-079	MICHAEL M. PETERSON	ERNST & YOUNG INC.	1993-03-05	1992-12-23	1993-05-31	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1993-129	GEORGE K. S. PAYNE	Royal Bk of Canada	1993-06-30	1985-08-30	1994-08-08	CPF	\$0	\$0	\$0	\$0	\$9,168	\$14,315	\$0	\$23,483
CLLAS1993-130	DANIEL F. HIRSH	HongKong Bk of Canada	1993-06-30	1988-08-01	1994-12-31	NCP	\$0	\$0	\$0	\$0	\$0	\$1,023	\$0	\$1,023
CLLAS1993-131	PETER E. MILLIGAN	Hilco Trading Company Inc.	1993-06-30	1993-03-31	1993-09-20	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1994-024	DAVID S. ELENBAAS	Labelmasters Canada Inc.	1993-09-21	1993-03-01	1995-01-20	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$1,065
CLLAS1994-082	LIANE B. ROSENBAUM	KEMP FAMILY/KEMP HOLDINGS	1994-01-07	1990-02-13	1998-11-11	SIR	\$0	\$0	\$0	\$0	\$0	\$176,659	\$0	\$176,659
CLLAS1994-089	John Craig	Ekco Canada	1994-03-09	1987-12-01	1994-09-12	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$742
CLLAS1994-091	JOHN WILLIAM CRAIG	ESTATE OF MARGARET E. CAMPBELL	1994-03-09	1994-01-01	1995-02-22	NCP	\$0	\$0	\$0	\$0	\$0	\$444	\$0	\$444
CLLAS1994-095	MARGARET C. MCNEE	MICHELIN TIRES (CANADA) LTD.	1994-03-28	1993-12-01	1995-02-21	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1994-096	MICHAEL S.J. MEZEI	TOSHIBA MACHINE CO. CDA. LTD., SONWA BANK CANADA	1994-03-31	1993-09-01	1995-01-26	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$1,383
CLLAS1994-132	Thomas McDonnell	Corp Planagex (Cross et al)	1994-02-09	1985-10-01	2006-12-31		\$0	\$0	\$0	\$0	\$0	\$486,358	\$0	\$486,358
CLLAS1994-132a	Thomas McDonnell	Corp Planagex	1994-02-09	1986-01-01	1999-01-19	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$802
CLLAS1994-140	Jack Halpern	Libby Geller & Ontario Specialty Co.	1994-06-30	1991-02-01	1999-02-03	NCP	\$0	\$0	\$0	\$0	-\$119	\$0	\$0	-\$119
CLLAS1994-141	Gordon Jacobs	Dr. D. Wilansky and Family Trust	1994-06-04	1989-12-01	1995-03-08	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1995-005	David Elenbaas	The Clorox Company	1994-07-05	1994-05-03	1994-09-27	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$768
CLLAS1995-045	J. Scott Midment	Mason Homes Limited et al	1994-10-19	1994-01-01	1994-12-31	NCP	\$0	\$0	\$0	\$0	\$0	\$2,968	\$0	\$2,968
CLLAS1995-062	Paul G. Macdonald	Roland Home Improvement Ltd. et al	1994-12-12	1994-11-01	1999-02-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$886
CLLAS1995-068	DENNIS A. TRINAISTICH	Longboat Corporation and/or The Long-term Credit B	1994-12-12	1994-03-25	1995-07-13	NCP	\$0	\$0	\$0	\$0	\$0	\$2,673	\$0	\$4,030
CLLAS1995-073	John S. Farquharson	Royal Bank of Canada (Bertan)	1994-12-02	1992-02-01	1996-08-08		\$0	\$0	\$0	\$0	\$2,779	\$17,250	\$0	\$21,190
CLLAS1995-098	Joel Skapinker	John A. Harrison	1995-03-31	1989-03-28	2010-11-25	NCP	\$0	\$0	\$0	\$0	\$5,152	\$110,568	\$0	\$118,579
CLLAS1995-115	William Brad Hanna	Holtec International Co.	1995-04-04	1994-08-01	1997-07-21	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1996-037	DIANE L. EVANS	Standard Life Assurance Company	1995-11-22	1995-11-01	1999-02-09		\$0	\$0	\$0	\$0	\$75,000	\$0	\$0	\$75,000

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							Payment		Reserve			Payment		Reserve		Incurred Liability
							Indemnity	Legal	Indemnity	Legal		Indemnity	Legal	Indemnity	Legal	
CLLAS1996-048	Malcolm Kronby	Patricia Butler/Chris Baker	1995-01-27	1989-11-01	2007-09-30		\$0	\$0	\$0	\$0	\$0	\$0	\$332,033	\$0	\$0	\$332,033
CLLAS1996-049	Peter A. Willis	General Electric Capital Canadaq	1995-10-02	1994-09-29	1996-04-02	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1996-053	Frank A. Archibald	Kohler Co. and Kohler Ltd.	1996-01-12	1996-01-01	1999-10-04	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1996-080	J. William Rowley, Q.C.	Andrew Janover, Nancy Victor, Jane and James Rhode	1996-03-04	1995-08-11	2001-08-20	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$5,246	\$0	\$0	\$5,246
CLLAS1996-084	Frank A. Archibald	Kohler Co.	1996-01-12	1995-12-21	1999-10-04	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$1,617	\$0	\$0	\$1,617
CLLAS1996-109	Stuart A. Graham	Honda Canada Finance Inc.	1996-06-12	1990-04-01	1998-03-12	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$31,142	\$0	\$0	\$31,142
CLLAS1998-005	Douglas H. Moore	Kit Lin Rebecca Wong	1997-06-30	1994-01-18	1998-09-04	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$797
CLLAS1998-006	Andrew D. Green	Dana Corporation	1997-08-13	1996-10-09	1998-01-26		\$0	\$0	\$0	\$0	\$0	\$52,500	\$9,358	\$0	\$0	\$61,858
CLLAS1998-007	Jennifer A. Scott	Rosalind Douthwaite	1997-08-13	1993-02-27	1997-10-29	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$4,440	\$0	\$0	\$4,440
CLLAS1998-023	Malcolm Kronby	Barbara Gaic	1997-08-28	1997-03-03	1999-03-01		\$0	\$0	\$0	\$0	\$0	\$26,500	\$0	\$0	\$0	\$26,500
CLLAS1998-024	Earl Stuart	Gary Kiziak	1997-09-25	1993-12-08	1998-02-26	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1998-025	Francis Archibald	Hong kong Bank of Canada	1997-06-27	1989-03-14	2000-04-20	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$2,234
CLLAS1998-026	George Payne	Juanita Elliott (C & K Mortgage)	1997-08-25	1991-03-01	2001-10-30	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$24,869	\$0	\$0	\$24,869
CLLAS1998-031	Michael Jameson	Estate of Maryan Maxwell	1997-09-24	1989-08-01	2000-03-27	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$3,289	\$0	\$0	\$3,289
CLLAS1998-034	Douglas H. Moore	Mr. & Mrs. Charles T.W. Chen	1997-10-20	1992-12-31	1998-08-17	SIR	\$0	\$0	\$0	\$0	\$0	\$54,604	\$0	\$0	\$0	\$56,049
CLLAS1998-044	Douglas H. Moore	Mr. Lee(Robert Yu-Chung) and Mrs. Lee (Mei)	1997-12-02	1993-12-01	1998-12-11	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1998-055	Mary-Ann Haney	Joseph Tunino & Tinder Holdings Ltd.	1997-12-24		1998-02-06	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1998-076	Andrew D. Green	Parisco Cafes & Restaurants Ltd.	1998-02-20	1997-10-10	1998-08-10	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1998-082	Malcolm Kronby	Sandra Zinta Nicholl	1998-03-27	1998-01-01	1998-07-15	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1998-129	J. Scott Maidment	Pacific Air Freighters Qld. Pty. Ltd.	1998-06-22	1998-02-01	1999-09-28	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1998-130	Richard T. Higa	GE Capital Equipment Finance Corp. of Canada	1998-06-30	1996-12-31	1999-04-21	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1998-131	Malcolm Kronby	Shirley Schwartz	1998-05-22	1989-09-05	1998-10-05	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1998-132	William B. Hanna	Walter Schepanow	1998-06-30	1997-01-01	1999-09-09	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$2,880	\$0	\$0	\$2,880
CLLAS1998-133	J. Scott Maidment	Anthony Foley	1998-05-21	1998-04-01	1998-11-16	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

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							Payment		Reserve		Incurred Liability	Payment		Reserve		Incurred Liability
							Indemnity	Legal	Indemnity	Legal		Indemnity	Legal	Indemnity	Legal	
CLLAS1998-134	MARGARET C. MCNEE	PT Indonesiam Satellite Corp. (Persero) Tbk	1998-06-30	1997-09-12	1999-01-19	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1999-009	W.R. Binch	Estate of Isabela Beare et al	1998-08-05	1995-11-08	1999-11-26	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1999-039	Michelle Vaillancourt	Macros Engineering & Technology Inc.	1998-11-17	1998-09-04	1999-06-18	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1999-044	Catherine F. Nixon	Daniel Casey/570443 Ontario Limited	1998-10-01	1990-06-27	1999-07-07	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1999-045	Douglas Moore	Chan. Iat Wai and (THE HIS TRUST)	1998-10-30		2001-12-17	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1999-046	Douglas Moore	Tong,Kui Kwong Tom/Paulin Trust/Tom Trust	1998-11-02	1994-05-13	1999-10-05	SR	\$0	\$0	\$0	\$0	\$0	\$26,671	\$0	\$0	\$0	\$26,671
CLLAS1999-047	Douglas Moore	Gillanders, Norman John/long Lok Yuen Trust	1998-10-30		2001-12-17	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1999-048	Douglas Moore	Wang,Jan Chieh/S T Wang Family Trust	1998-10-30		2001-12-17	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1999-049	Douglas Moore	Tsoi,Lau Sun Chia/Mei Ah Trust	1998-10-30		2001-12-17	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1999-050	Douglas Moore	Wong,Pank Yen/Wong and Lai Trust	1998-10-30		2001-12-17	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1999-051	Douglas Moore	Lee,Y.C. Robert/Meefo Trust and Roych Trust	1998-10-30		1999-03-31	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1999-076	Vaillancourt Michelle	Gissing Company Ltd	1998-11-09	1997-12-31	2000-03-14	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1999-083	Michael D. Templeton	Raytheon Aircraft Credit Corp.	1999-01-29	1996-07-09	2002-05-13	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1999-089	J. Chris Osborne	Continuum Australia Ltd/Continuum Co. Inc.	1999-02-18	1996-10-23	1999-04-12	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1999-145	Frank A. Archibald	Anthony Guidoccio	1999-06-02	1995-09-11	1999-12-14	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1999-146	Michael D. Templeton	Anna Broos	1999-05-21	1999-08-26	1999-08-26	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1999-147	Mikey M. Yaksch	713484 Ontario Ltd et al	1999-05-12	1986-11-10	2004-12-31	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$95,870	\$0	\$0	\$95,870
CLLAS1999-159	Frank A. Archibald	Interhealth Canada Limited	1999-06-30	1997-12-01	1999-08-16	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2000-006	GORDON L. JACOBS	Leonard Hayward	1999-07-30		1999-09-29	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2000-012	E. Jane Richardson	Diane McKeown	1999-07-15	1996-04-22	1999-10-18		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2000-043	R.S.G. Chester	Canadian Institute of Chartered Accountants	1999-09-30	1999-08-01	1999-12-23	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2000-044	MARKUS KOEHNEN	Dr. James Houston	1999-11-22	1999-08-12	2000-06-30	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2000-045	James D. Scarlett	Seven Seas Petroleum Inc.	1999-10-20	1998-08-06	2003-09-30	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2000-085	David Macdonald	Peter Shishkov	2000-02-01	1993-01-01	2001-02-16	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2000-091	MALCOLM C. KRONBY	Julie Couture	2000-01-31	1998-02-23	2000-06-30	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

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							Payment		Incurred Liability	Payment		Incurred Liability
							Indemnity	Legal		Indemnity	Legal	
CLLAS2000-126	PETER E. MILLIGAN	TD Trust Company	2000-05-17	1999-08-17	2001-02-16	NCP	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2000-129	WILLIAM V. SASSO	Robert J. Toole	2000-05-31	1999-11-03	2001-02-16	NCP	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2000-131	Martha McCarthy	Arna Sloan	2000-05-25	1998-07-23	2001-06-29	NCP	\$0	\$0	\$0	\$0	\$2,675	\$2,675
CLLAS2000-132	Malcolm Kronby	Thomas P. Callon	2000-05-26	1997-10-01	2001-02-13	NCP	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2000-135	W. David McCordie	Jasmac Canada/The Long-Term Credit Bank of Japan	2000-06-15	1998-06-19	2004-04-15	NCP	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-098	Paul G. Macdonald	Daily Bread Food Bank/Gerald Kennedy and Susan Cox	2001-03-26	1998-10-05	2001-11-23	NCP	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-106	WILLIAM V. SASSO	Michael O'Sullivan/Humane Society of Canada	2000-05-19	2001-01-15	2001-10-04	NCP	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2001-118	Hilary E. Clarke	Sunquest Vacations Limited	2001-05-02	2000-03-01	2002-02-08		\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-130	VINCENT J. MURPHY	Robert E. Martin	2001-11-30	1989-10-25	2002-03-25	NCP	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-132	Peter Milligan	General Electric Capital Canada Inc.	2002-03-01	2001-09-19	2002-09-03	NCP	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-145	D. Martin Low	KoSa BV and Troy Stanley	2002-03-21	2001-12-17	2002-09-30	NCP	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-213	Robert Wisner	Spill Tech Industries Inc. and New Pig Corporation	2002-06-26	2002-01-20	2002-10-31	NCP	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2002-217	Chris Germanakos	ING Wellington Insurance Company	2002-06-27	1999-08-01	2003-09-30	NCP	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-022	Catherine A. Roberts	Estate of George K. Howland	2002-09-04	2000-03-01	2002-10-30	NCP	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-047	Paul G. Macdonald	Leslie Wittlin and Gowlings (law firm)	2002-10-21	2002-03-31	2008-12-31		\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-065	Peter Milligan	Cantrex Group Inc.	2002-11-08	2002-01-11	2002-11-22	NCP	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2003-134	Michael Templeton	NATIONAL BANK OF CANADA	2003-03-07	2001-04-08	2003-04-08	NCP	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2004-040	Sheila M. Crumney	Stephen Donald, Joe Canavan, Peter Hodson, et al	2003-10-31	1999-07-01	2007-06-15	NCP	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2004-041	Michael Jameson	Estate of F.H.M. Jones	2002-11-05	1984-04-30	2004-03-30	NCP	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2004-055	Jennifer Dent	Canon Canada Inc. and Image Financial Services	2003-11-20	2003-04-25	2005-02-25	NCP	\$0	\$0	\$0	\$0	\$681	\$681
CLLAS2004-064	David E. Stan	Toronto Children's Safety Village	2003-12-15	2001-12-30	2004-02-06	NCP	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2004-142	Scott Maidment	KPMG Inc.	2004-03-16	2001-03-01	2007-05-18		\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-039	Peter Radout	Estate of Nina and Herbert Bruce	2004-10-04		2005-11-17	NCP	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-043	Hilary E. Clarke	General Electric Capital Canada Inc/ING et al	2004-10-27	2004-02-13	2005-10-17		\$0	\$0	\$0	\$0	\$766	\$766

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							Payment		Reserve		Incurred Liability	Payment		Reserve		Incurred Liability
							Indemnity	Legal	Indemnity	Legal		Indemnity	Legal	Indemnity	Legal	
CLLAS2005-044	Nancy Iadeluca	Kostas Solomos	2004-12-23	1999-10-04	2005-08-29	SIR	\$0	\$0	\$0	\$0	\$0	\$2,140	\$2,355	\$0	\$0	\$4,495
CLLAS2005-150	William Hearn	Polish National Church of Canada	2005-03-21	2005-01-01	2006-04-27		\$0	\$0	\$0	\$0	\$0	\$0	\$10,297	\$0	\$0	\$10,297
CLLAS2006-059	Wayne D. Gray	Frank D'Addario	2005-11-10		2006-05-10	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-133	Catherine A. Roberts	Estate of Paul Penna	2006-04-25	2004-06-01	2010-03-17	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$45,020	\$0	\$0	\$45,020
CLLAS2006-152	Sheila Crumney	Salvatore Trozzo and Antonia Trozzo	2006-05-16	2006-05-12	2006-11-06	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-156	Earl Cohen	Societe en Commandite Douze Cinquante	2006-05-17		2008-12-31		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-160	Kimberly Poster	Mapleridge Capital Corporation	2006-05-25	2006-10-15	2006-09-30	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-167	Kathryn Borgatti	Candace Ryan	2006-06-13	2006-05-08	2008-12-31		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-198	Dan MacDonald	RMS Richter Inc	2006-06-22	2005-08-16	2006-12-05	NCP	\$0	\$2,833	\$0	\$0	\$2,833	\$91,766	\$11,210	\$0	\$0	\$102,976
CLLAS2007-009	Frank Zylberg	9145-4041 Quebec Inc. et al	2006-07-07		2015-03-11	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2007-027	Gary Ostoich	MSIL (Garry Hurst - Class Action)	2006-10-04	2005-03-01	2010-09-16	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$38,876	\$0	\$0	\$38,876
CLLAS2007-029	Carrie / Jeff Williams / Fei	Eric Chemama	2006-10-11		2006-11-30		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2007-047	Frank Archibald	The Skor Food Group (Globe Capital Corp)	2006-11-15	2006-08-24	2007-04-12	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2007-146	Melissa Senecal	Various firm clients	2007-06-28		2015-03-11	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-004	Hilary Clarke	Kohler Canada Co.	2007-07-11		2007-09-05	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-044	Kimberly Poster	Timbercreek Asset Management Inc./Timbercreek Mort	2007-11-15		2007-12-17	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-051	Mark Opashinov	John Bitove Sr.	2007-08-31		2008-10-22		\$0	\$0	\$0	\$0	\$0	\$0	\$1,762	\$0	\$0	\$1,762
CLLAS2008-054	Michael Campbell	Michael Green & Eric Strom	2007-11-27	2006-07-25	2008-12-31	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-104	MARKUS KOEHNEN	Public Sector Pension Investment Board	2008-02-05	2007-10-19	2008-07-29		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-125	Emmanuelle Saucier	Kevin Allwood et al	2008-03-10		2009-08-27		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-021	Michael J. Campbell	Stephan Freeman AmeraCan Energy Holdings, Fresno	2008-09-05		2010-11-12	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-097	Frank A. Archibald	Bedford Resource Holdings Limited	2008-12-19	2007-01-02	2009-11-02	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-182	Bruce Chapple	STRM Inc.	2009-07-07	2007-06-01	2009-08-07	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-070	Michael Friedman	Melvin Schneider (representative plaintiff)	2009-12-16	2005-12-05	2017-11-24		\$0	\$803,777	\$0	\$0	\$638,777	\$0	\$1,000,000	\$0	\$0	\$1,000,000

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							Indemnity	Legal	Indemnity	Legal			Indemnity	Legal	Indemnity	
CLLAS2010-190	Michael P. Whitcombe	Technicolor Creative Services	2010-06-28	2006-08-28	2011-02-14	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-191	Andrew E. Stead	Patrick Rye	2010-06-15		2013-09-23		\$0	\$0	\$0	\$0	\$0	\$7,500	\$2,853	\$0	\$0	\$10,353
CLLAS2011-026	Mary Flynn-Guglietti	Betty and Roy James, Jaroc Management Services	2010-10-07	2007-01-25	2012-12-24	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$3,164	\$0	\$0	\$3,164
CLLAS2011-082	Sumitha Podupakkam	OB Business Imaging Solutions/Brnan Devitt	2011-01-07		2011-01-21	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2011-086	Graham S. Matthews	369 Terminal Holdings Ltd.	2010-12-24	2010-01-29	2014-12-31	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2011-087	Lindsay/Jennifer Goldberg/Cockhill	Ivanco Keremelevski	2010-12-03		2016-07-27		\$0	\$0	\$0	\$0	\$0	\$0	\$13,746	\$0	\$0	\$13,746
CLLAS2011-089	Cory Kent	THEMAC Resources Group Limited	2011-01-06	2010-12-13	2012-11-30	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2011-094	Graham S. Matthews	ATT Stoneworks Holdings Ltd.	2011-01-14	2010-01-01	2013-06-27	SIR	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2011-108	Michael A. Burns	SFCS Capital (Canada) Corp.	2011-01-04	2008-10-08	2011-02-16	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2011-109	Kathryn Borgatti	Monarch Corporation and Monarch Bloor Jarvis Devel	2011-02-10	2007-11-09	2011-03-10	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2011-137	Mark Klassen	Kerry Scott	2011-02-23		2014-08-15		\$0	\$0	\$0	\$0	\$0	\$0	\$14,916	\$0	\$0	\$14,916
CLLAS2011-139	Eric Tilley (no longer w/firm)	Lisec America Inc.	2011-03-22	2011-03-18	2012-04-17	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2011-146	Ningyan (Sandy) Wang	Conex Energy - Canada, LLC	2011-03-17	2010-10-01	2012-04-30		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2011-178	John Kelly	Gregory P. King	2011-05-10	2009-11-23			\$0	\$0	\$0	\$0	\$0	\$0	\$156,294	\$0	\$50,768	\$207,062
CLLAS2011-183	Andrew Aguilar	Guile Guevara	2011-05-10	2010-05-01	2012-12-31	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2011-192	Rosemary Jennifer Shaw	Geoff Higgs	2011-06-02		2013-07-24	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2011-199	Kathryn Borgatti	Richard Crawford	2011-06-30	2006-12-18	2011-12-20	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$1,363	\$0	\$0	\$1,363
CLLAS2011-205	Judie Jokinen	Vannad Trading Inc., Kenneth Berall, 3128393 Canad	2011-06-29		2012-07-31		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2012-030	Andrew Elcovitch	Jeffrey Mandel	2011-09-21				\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$500,000	\$500,000
CLLAS2012-037	Andrew McFarlane	P.S. Production Services Ltd.	2011-10-14	2011-06-30	2012-07-24	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$3,390	\$0	\$0	\$3,390
CLLAS2012-041	John D. Morrison	Fisgard Capital Corporation	2011-10-17	2011-10-13	2013-12-30		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2012-044	Martin Thompson	7031963 Canada Inc. ("Jo Peach")	2011-10-17	2011-08-16	2014-02-19	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$23,994	\$0	\$0	\$23,994
CLLAS2012-047	Francis A. Archibald	Elizabeth Beth and Aleksandr Bolotenko	2011-11-14	2009-12-01	2011-11-21	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2012-055	Charles Chevette	Tonus Select Funds and Steve Boutin	2011-11-22		2015-03-11	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

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							Payment		Reserve		Incurred Liability	Payment		Reserve		Incurred Liability
							Indemnity	Legal	Indemnity	Legal		Indemnity	Legal	Indemnity	Legal	
CLLAS2012-094	MICHAEL M. PETERSON	Laura Secord Apartmens Ltd.	2012-01-10		2012-03-28	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2012-097	David Rosentzveig	Evelyn Jerassy (non-client)	2012-01-17		2015-05-04	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2012-099	Jamie M. Wilks	Town Centre Group Inc.	2012-01-27	2011-09-01	2014-04-16	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2012-100	H. Stewart Ash	MMCAP Management Inc. et al	2012-02-10	2008-04-01	2012-08-27	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$1,333	\$0	\$0	\$1,333
CLLAS2012-116	Ted B. Urhanek	VISA International Service Association	2012-03-05	2013-02-23	2016-06-20	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2012-130	Gemma Whitehead	Andre Lavoie	2012-03-13		2013-10-30	SIR	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2012-138	Geoff Moyse	A. O. Smith Enterprise Ltd.	2012-03-20	2012-01-31	2012-04-17	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2012-144	Sean O'Neill	Canadian Renewable Bioenergy Corp. et al	2012-04-19		2013-11-29	SIR	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2013-002	Hilary E. Clarke	Look Communications Inc.	2012-07-16	2010-06-01	2017-02-16		\$0	\$0	\$0	\$0	\$0	\$250,000	\$98,521	\$0	\$0	\$348,521
CLLAS2013-005	Anthony H.S. Knight	Spire Real Estate Limited Partnership	2012-07-20		2012-11-30		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2013-009	Bruce McKenna	BANK OF MONTREAL	2012-08-09	2012-07-27	2013-12-31	NCP	\$0	\$0	\$0	\$0	\$0	\$59,550	\$0	\$0	\$0	\$59,550
CLLAS2013-010	Daniel R. Shouldice	Cory Black	2012-07-27	2011-10-06	2014-12-29		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2013-040	David E. Slan	310 Richmond Street West Limited (and its sharehol	2012-11-01	2011-11-03	2014-03-10	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2013-041	John D. Morrison	North Point Mezzanine Capital Ltd. Partnership	2012-10-30	2007-09-06	2014-10-31	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2013-061	Jennifer L. Cockbill	Aronis Investment Ltd.	2012-12-20	2012-12-19	2015-09-30		\$0	\$0	\$0	\$0	\$0	\$33,000	\$0	\$0	\$0	\$33,000
CLLAS2013-063	Peter J. Reardon	BANK OF MONTREAL	2012-11-29		2017-03-30		\$0	\$0	\$0	\$0	\$0	\$37,205	\$4,292	\$0	\$0	\$41,497
CLLAS2013-064	William A. Rowlands	Terracap Management Inc. et al	2012-12-27	2010-08-18			\$0	\$0	\$0	\$0	\$0	\$0	\$27,353	\$40,000	\$134,643	\$201,996
CLLAS2013-072	Francis Archibald	Chair-man Mills Inc. and George & Mary Crothers	2013-03-06	2013-01-08	2013-07-10		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2013-079	David Evan Slan	Monarch	2013-02-14	2012-06-01	2014-08-21	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2013-110	Jill Pereira	Maxam Opportunities Fund Ltd. Prtnr.	2013-05-03	2010-12-31	2014-04-30		\$0	\$0	\$0	\$0	\$0	\$900,000	\$61,058	\$0	\$0	\$961,058
CLLAS2013-116	Ted Urhanek	Easter Currents Distributing Ltd.	2013-05-13	2013-04-01	2017-02-23	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2013-124	Andrew Etcovitch	Canadian Forest Navigation Co. Ltd.	2013-05-17				\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2013-125	Roderick W. Kirkham	GJS Capital Corp.Gregg Sedun	2013-04-29				\$0	\$0	\$0	\$0	\$0	\$0	\$16,508	\$0	\$33,492	\$50,000
CLLAS2013-130	Malcom Kronhy	Mirek Planeta	2013-06-26	1997-05-20	2013-10-15	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2013-131	David Ross	Michael Elder	2013-06-25	2013-04-15	2013-09-17	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

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							Injured	Reserve	Legal	Indemnity	Injured	Reserve	Legal	Indemnity
CLLAS2013-132	Catherine Roberts	Karne Lando	2013-06-26	2013-12-11		NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2013-133	Robert Antmore	Poentia (001093 - 34 Kern)	2013-05-01	2013-09-18		NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2013-134	Christopher Bennett	Elbaddon Corporation, Fungate Limited Partnership	2013-06-26	2014-05-26		NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2013-135	Michael Templeton	Canada Trust Company	2013-06-22	2014-08-21		NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$11,708	\$0
CLLAS2014-009	Michael Paul Whitecombe	Howard Leventhal	2013-07-30	2014-03-17		NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2014-010	Desmond Balakrishnan	Eran Winzura	2013-08-16	2013-08-10	2017-12-22	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$11,795	\$0
CLLAS2014-013	W. Brad Hanna	Richard Chmura	2013-08-29	2013-08-21	2009-06-13	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2014-017	Samuel Hyman	Dennis Jamie Okegaard	2013-08-15	2016-07-29			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2014-023	John Morrison	Ireal Canadian Fanning Corporation	2013-08-27	2012-07-01			\$0	\$0	\$0	\$0	\$0	\$182,254	\$13,607	\$12,746
CLLAS2014-024	Anthony Harvey Sumner Knight	Van-City Cultured Marble Products Ltd et al	2013-08-14				\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$11,993
CLLAS2014-026	Dorothy Tiffany Wong	Paran Capital Inc and 087544038ten Partners Inc	2013-07-10	2016-12-28			\$0	\$0	\$0	\$0	\$0	\$640,000	\$57,605	\$0
CLLAS2014-027	Peter John Reardon	Michael Greiner	2013-08-06	2014-08-29			\$0	\$0	\$0	\$0	\$0	\$96,521	\$0	\$0
CLLAS2014-028	Peter John Reardon	Landy Enterprises Inc.	2013-08-12	2012-03-17	2014-06-30		\$0	\$0	\$0	\$0	\$0	\$84,000	\$0	\$0
CLLAS2014-039	Sheila M. Crumney	Joan Browne	2013-11-04	2014-01-28		NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2014-051	Ronald Petersen	Anna Toscano	2013-11-28	2015-05-21		NCP	\$0	\$0	\$0	\$0	\$0	\$2,854	\$0	\$0
CLLAS2014-066	Ted Vthauk	Absolute Software Corporation	2013-12-17	2013-11-20	2015-08-17	SLR	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2014-073	Peter J Reardon	BANK OF MONTREAL	2013-12-30	2013-03-15	2014-11-12		\$0	\$0	\$0	\$0	\$0	\$23,287	\$0	\$0
CLLAS2014-080	Christine Laung	James and Teresa (Jelowitz)	2014-02-06	2013-11-18	2014-09-09	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2014-092	Paul Hoshyk	Frank Stanisci	2014-03-06	2014-01-31	2015-02-06	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2014-094	Careen (David Robb) Ilanet (Becman)	Gayle Stiles With A Gun (Kerry Scott)	2014-03-12	2014-12-24			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2014-096	David Dunlop	Sean Davoren	2014-03-13	2010-05-19	2015-05-21	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2014-100	Paul Hoshyk	Ibyng-Kwon Kim	2014-03-13	2014-03-05	2016-04-18	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2014-110	Roslyn Wallace	INVESTA Company & Koch Fertilizer Canada, ULC	2014-04-03	2013-10-13	2016-11-10	NCP	\$0	\$0	\$0	\$0	\$0	\$17,766	\$0	\$17,766
CLLAS2014-112	Mary Flynn-Fugelli	106054 Ontario Limited	2014-04-17	2013-09-03	2015-05-21	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2014-114	Thomas Joseph Deusch	McKer Gold Corporation	2014-04-07	2010-10-15			\$0	\$0	\$0	\$0	\$0	\$50,000	\$0	\$50,000

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[illegible]

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[illegible]

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							Indemnity	Legal	Indemnity	Legal			Indemnity	Legal	Indemnity	Legal		
CLLAS2017-044	Jason J. Annibale	Far East Aluminum Works	2016-09-28	2015-11-09			\$0	\$0	\$0	\$0	\$0		\$0	\$0	\$0	\$0	\$53,750	\$53,750
CLLAS2017-063	Robbie Grossman	TerraNova Partners.Inspira Financial Inc.	2016-12-05	2016-05-31			\$0	\$0	\$0	\$0	\$0		\$0	\$0	\$0	\$0	\$10,000	\$10,000
CLLAS2017-074	David B. Tennant	Leon's Furniture Limited	2016-12-22	1990-02-21			\$0	\$0	\$0	\$0	\$0		\$0	\$0	\$0	\$0	\$10,000	\$10,000
CLLAS2017-082	Thomas Joseph Deutsch	Good Life Networks Inc.	2016-12-19				\$0	\$0	\$0	\$0	\$0		\$0	\$2,865	\$0	\$37,135	\$40,000	
CLLAS2017-083	Gemma Whitehead	Kimberly Anne Cameron	2016-12-13				\$0	\$0	\$0	\$0	\$0		\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-090	Catherine Jalette.Eric Vallieres	Rejean Aucoin.Alexandre Pereira-Chartrand.Diane Racicot	2017-01-18				\$0	\$0	\$0	\$0	\$0		\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-093	Mirna Kaddis	Herbert Black	2017-01-20				\$0	\$0	\$0	\$0	\$0		\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-094	David Debenham	Don McGregor	2017-01-04	2016-12-01	2017-10-24	NCP	\$0	\$0	\$0	\$0	\$0		\$0	\$4,065	\$0	\$0	\$4,065	
CLLAS2017-113	David E. Slan.Bruce McWilliam	Sunrise Valley Holdings Corp.Jade Lotus Holdings Corp.,Monaca SCP Inc.,2467925 Ontario Inc.,Unimax Holding Co., Limited,Samuel Wu,Austin Wang,Sherry Ning	2016-01-18	2015-10-26	2017-04-28		\$0	\$0	\$0	\$0	\$0		\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-116	Paul Boshyk	Equis Technologies Inc.,Lincoln Tyler Nelson	2017-03-27	2016-11-01	2017-10-24	NCP	\$0	\$0	\$0	\$0	\$0		\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-129	Francis Archibald	Bernie Nisker,Estate of Elizabeth Beth	2017-04-10				\$0	\$0	\$0	\$0	\$0		\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-130	Michael John Hanlon	Bank of Montreal (BMO)	2017-02-22				\$0	\$0	\$0	\$0	\$0		\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-145	Michael Reid	Medna Biosciences Inc.	2017-04-25	2017-04-21			\$0	\$0	\$0	\$0	\$0		\$0	\$0	\$20,000	\$0	\$20,000	
CLLAS2017-148	Victor Tsao	Huigang Sun	2017-04-25				\$0	\$0	\$0	\$0	\$0		\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-156	Michael John Hanlon	Motivate International Inc.	2017-06-15				\$0	\$0	\$0	\$0	\$0		\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-160	Howard Drabinsky	Crawford & Company (Canada) Inc.	2017-06-29	2015-10-28			\$0	\$0	\$0	\$0	\$0		\$0	\$0	\$0	\$10,000	\$10,000	
CLLAS2018-005	David Rosentzveig	Sunice Inc.,Conrad Tappert	2017-07-26				\$0	\$0	\$0	\$0	\$0		\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2018-013	Robert Shore	Emerald City Developments IV Inc.	2017-08-25	2016-09-06			\$0	\$0	\$0	\$0	\$0		\$0	\$0	\$0	\$10,000	\$10,000	
CLLAS2018-016	Andrew Elcovitch	Solttron Realty Inc.,The Attorney General of Canada,Canada Revenue Agency	2017-09-06				\$0	\$0	\$0	\$0	\$0		\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2018-021	Adam Chisholm	Independent Electricity System Operator	2017-09-29	2017-09-20			\$0	\$0	\$0	\$0	\$0		\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2018-031	Geza R. Banfai	Black & McDonald Bermuda Ltd	2017-10-25				\$0	\$0	\$0	\$0	\$0		\$0	\$0	\$0	\$0	\$0	\$0

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)
 Open and Closed Claims Report
 McMillan LLP
 As at December 31, 2017

Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Disc Code	CLLAS				Law Society			
							Payment	Legal	Reserve	Incurred Liability	Payment	Legal	Reserve	Incurred Liability
CLLAS2018-036	Louis Zavo/Jameson Virgin	Peter Zima Ho	2017-11-07	2012-06-22			\$0	\$0	\$0	\$0	\$0	\$0	\$20,000	\$0
CLLAS2018-048	Douglas Hunter Pedlow	Albert (Ab) Fairclough	2017-11-23				\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Totals							\$0	\$806,610.46	\$ -	\$ -	\$ 641,610.46	\$ 3,357,998.00	\$ 567,746.00	\$ 1,976,841.55

reviewed - NJI

APPENDIX G

RISK MANAGEMENT POLICIES AND PROCEDURES

Name of Firm: McMillan LLP

Please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.

1. A list of risk management policies was set forth in the 2012/2013 application.
2. In 2015 the Firm put in place an Anti-Corruption Policy
3. In 2017 the Firm put in place a Mobile Device Policy to address the protection and maintenance of firm information assets, networks and systems.
3. In 2017 the Firm put in place the following 3 Policies:

Data Classification & Retention Policy
Information Security Policy
Acceptable Use Policy

2017 SUMMER STUDENT ORIENTATION

DATE	TIME/LOCATION	TOPIC/EVENT
Monday, May 8	9:00-10:00 Lang Michener	Breakfast & How to Have a Successful Summer: What to Expect Facilitator: Ilana Schrager
	10:00-10:15	BREAK
	10:15-11:15 Training Room	Library Orientation & Introduction to Firm Research Sources and KM Facilitator: Suzanne McBeath
	11:15-12:15 Lang Michener	How to Get Things Done at the Firm: HR Matters & Firm Tour Facilitator: Natasha Miroshnichenko & Chantal Chaichian
	12:15-12:30	BREAK
	12:30-1:30pm Lang Michener	Group Welcome Lunch with Law Society Principals & Ilana
	1:30-2:45 Training Room	IT Training: How to Get Things Done Using McMillan Technology Facilitator: Michael McGinn
	2:45-3:00	BREAK
	3:00-3:45 Fraser	What Every Student Needs to Know about Professionalism Facilitator: Ilana Schrager
	3:45-5:15 Lang Michener	How to Have a Successful Summer: Tips from Those Who Have Gone Before Facilitators: Ilana Schrager, Sasa Pudar, Linda Yang, Becky Rock)
	5:30-6:30 Grain @ The Hyatt	Teambuilding with Lawyers (Sasa Pudar, Linda Yang, Becky Rock)
	9:00-10:30 Training Room	Library Training: Secondary Sources - Part 1 Facilitator: Suzanne McBeath
	10:30-11:00	BREAK
	11:00-11:45 Fraser	Library Training: Research Process & Methodology Facilitator: Charlotte Conlin (by videoconference)
Tuesday, May 9		

DATE	TIME/LOCATION	TOPIC/EVENT
	11:45-12:45 Lang	Lunch & Learn: The Business of Law: Why and How to Docket Facilitator: Ilana Schrager
	12:45-1:00	BREAK
	1:00-2:00 Training Room	IT Training: Time-KM – The Mechanics of Docketing Facilitator: Michael McGinn
	2:00-2:15	BREAK
	2:15-3:15 Lang	Research & Writing: How to Think Strategically Facilitator: Katherine Reilly
	3:15-3:30	BREAK
	3:30-5:00 Lang	What Every Student Needs to Know About Deals – Part 1 Facilitators: Ryan Black and Mike Reid
Wednesday, May 10	9:00-10:30 Training Room	Library Training: Secondary Sources - Part 2 Facilitator: Suzanne McBeath
	10:30-10:45	BREAK
	10:45-11:45 Training Room	IT Training: Email Management Tool / DeskSite Facilitator: Michael McGinn
	11:45-1:15 Rideau	Lunch & Learn: Legal Research & Writing – The Anatomy of a Research Memo – How to Analyze Facts and Law Facilitator: Melanie Harmer
	1:15-1:30	BREAK
	1:30-2:30 Training Room	Library Training: Primary Sources - Part 1 Facilitator: Suzanne McBeath
	2:20-3:00	BREAK
	3:00-4:45 Lang Michener	How to Make a Great Impression – Schmoozing 101 Facilitator: Andrea Verwey
	4:45-5:15	BREAK
	5:15-6:30 Sea to Sky	Welcome Reception
Thursday, May 11	9:00-10:00 Training Room	Library Training: Primary Sources - Part 2 Facilitator: Suzanne McBeath
	10:00-10:15	BREAK
	10:15-11:15 Training Room	Library Training: Wrap up and Game Show Facilitator: Suzanne McBeath

DATE	TIME/LOCATION	TOPIC/EVENT
	11:15-11:45	BREAK
	11:45-12:45 Fraser	Lunch & Learn: Own Your Career Facilitator: Ilana Schrager
	12:45-1:00	BREAK
	1:00-1:30 Fraser	Legal Research & Writing: Getting Good Instructions Facilitators: Rohan Hill and Linda Yang
	1:30-3:00 Training Room	IT Training: McMillan Standard Agreement Form Facilitator: Michael McGinn
	3:00-3:15	BREAK
	3:15-4:45 Training Room	IT Training: McMillan Templates & Document Formatting Facilitator: Michael McGinn
	4:45-5:45	BREAK
	6:00-9:00 Nightingale	Dinner with Associate Mentors and Ilana at Nightingale
Friday, May 12	9:00-9:30 Lang	Working with an Assistant Facilitator: Sigrid Pertschi
	9:30-10:00 Lang	Overview of Office Services Facilitators: Allison Paiger
	10:00-10:15	BREAK
	10:15-11:45 Lang	What Every Student Needs to Know about Deals - Part 2 Facilitators: Ryan Black and Mike Reid
	11:45-12:00	BREAK
	12:00-1:30 Lang	Lunch & Learn: Introduction to Securities Law Facilitator: Mark Neighbor
	1:30-1:45	BREAK
	1:45-3:15 Lang	Introduction to McMillan Practice Areas Facilitators: Herb Ono, Lou Zivot, Damon Chisholm, Peter Botz, Ryan Black, Jill Pereira and Karl Gustafson
	3:15-end of day Lang	Meeting with Ilana regarding research memo & get settled in offices

2017 SUMMER STUDENT ORIENTATION

Vancouver – Week 2 and 3

DATE	TIME/LOCATION	TOPIC/EVENT
Monday, May 15	9:00-12:00	Work independently on research memo
	12:00-1:00 Rideau	Pizza lunch with Ilana answering questions on the research memo
	1:00-5:00	Work independently on research memo – submit memo to writing mentor by 5:00pm
Tuesday, May 16	12:00-1:00 Rideau	Lunch & Learn: Commissioner Duties/Managing your Practice Facilitator: Jamie Virgin
Wednesday, May 17	9:30-11:00 Off-site	Court Tour Facilitator: Jamie Virgin
	2:00-3:00	Library Training: Business Research & Resources Facilitator: Suzanne McBeath
	4:00-4:30 Fraser	Meet & Greet – Summer and Articling Student meeting
	5:30-7:30 Off-site	Teambuilding: Cooking Class at the Pacific Institute of Culinary Arts with Ilana
Thursday, May 18	9:00-10:00 Training Room	Library Training: Litigation Research & Resources Facilitator: Suzanne McBeath
	10:00-10:30	BREAK
	10:30-11:30 Training Room	Library Training: Legislative Research Facilitator: Suzanne McBeath
Friday, May 19	12:00-1:30 Fraser	Lunch & Learn: General Tips & Lessons Facilitator: Robin Junger
Friday, May 26	9:00-11:00 Fraser	How to Read and Understand Statutes (via videoconference)

2017 SUMMER STUDENT ORIENTATION

Calgary – Week 2 and 3

DATE	TIME/LOCATION	TOPIC/EVENT
Monday, May 15	9:00-10:00 Viking	HR Meeting, office tour, and introduction to your assistant Facilitator: Alison Verity
	10:00-11:00	Library Tour (McMillan and Courthouse) Facilitator: Justine Morley
	11:00-11:30	Get settled in your office, ensure computer and telephone work
	11:30-12:30 TBD	Lunch with mentor (Peter Major Q.C.)
Tuesday, May 16	9:00-12:00	Work independently on research memo
	12:00-12:30	Lunch and Ilana to call to go over any research memo questions
	1:00-5:00	Work independently on research memo – submit memo to writing mentor by 5:00pm
Wednesday, May 17	3:00-4:00 Your office	Library Training: Business Research & Resources Facilitator: Suzanne McBeath (via WebEx)
Thursday, May 18	10:00-11:00 Your office	Library Training: Litigation Research & Resources Facilitator: Suzanne McBeath (via WebEx)
	11:00-11:30	BREAK
	11:30-12:30 Your office	Lunch & Learn: Library Training: Legislative Research Facilitator: Suzanne McBeath (via WebEx)
	5:30-6:30 TBD	Tips from Those Who Have Gone Before (appetizers and drinks) Facilitators: Mitch Allison and Jason Haley
Friday, May 19	10:00-11:30 Off-site	Court and Land Title Office Tour Facilitator: Marc-Elie Scott
TBD		Professionalism/Code of Conduct/Commissioner of Oaths Training Facilitator: Peter Major Q.C.
Friday, May 26	10:00-12:00 Mannville	How to Read and Understand Statutes Facilitator: Charlotte Conlin (via videoconference)

Articling Student Orientation

Gavyn Backus (office 1452), Emily Csiszar (office 1619), Brandon Deans (office 1443)

Tuesday, August 8

8:45 am Reception	Meet at Reception – Amy Le, HR Photos taken for Security Passes
9:00 am – 9:30 am Macfarlane Boardroom	Welcome/HR Orientation –Amy Le and Sunny Wang, HR
9:30 am – 11:30 am Training Room	IT Refresher Training with Michael McGinn
12:00 pm – 1:00 pm Lang Michener Boardroom	Articling & Summer Student Lunch
2:00 pm – 2:30 pm Stewart Boardroom	Meet with Ron Harries to pick up mobile device and troubleshoot
2:30 pm – 5:00pm Your offices	Get settled in your office (walk around to say hello; take care of administrative matters; set up voicemail; make sure that email and mobile device work, etc.) Work on bio for McMillan website

Wednesday, August 9

10:30 am – 11:00 am Fraser Boardroom	Photos taken for McMillan.ca
12:00 pm – 1:30 pm Coast Restaurant	Welcome Lunch with Principals
3:00 pm – 5:00 pm Training Room	IT Refresher Training with Michael McGinn

Thursday, August 10

11:00 am – 12:00 pm Training Room	Library Research Refresher with Emily Klomps-Spanjers
12:00 pm – 1:00 pm Macfarlane Boardroom	Career Mindfulness with Ilana Schrager (<i>lunch will be provided</i>)

Friday, August 11

12:00pm – 1:00 pm Stewart Boardroom	Affidavit/Statutory Declaration Session with Jamieson Virgin (<i>lunch will be provided</i>)
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Monday, August 14

9:30 am – 11:00 am Training Room	Library Research – Solicitor Specific Databases/Resources with Emily Klomps-Spanjers
1:00 pm – 2:30 pm Training Room	Library Research – Litigation Specific Databases/Resources with Emily Klomps-Spanjers

Articling Student Orientation

Grace Shaw (office 1452), Holly Sherlock (office 1641), Sally Wong (office 1630)

Monday, November 20

8:45 am Reception / Shaw Boardroom	Meet at Reception – Amy Le, HR Manager Photos taken for Security Passes
9:00 am – 9:45 am Shaw Boardroom	Welcome/HR Orientation with Amy Le, HR Manager
10:00 am – 11:30 am Training Room	Library Research – Litigation Specific Databases/Resources with Emily Klomps-Spanjers, Research Librarian
12:00 pm – 1:00 pm Market by Jean-Georges – 1115 Alberni Street	Welcome Lunch with Principals (Meet at Reception)
1:30 pm – 2:00 pm Stewart Boardroom	Meet with Ron Harries to pick up mobile device and troubleshoot
2:00 pm to 4:00 pm Location: Training Room	Technology Refresher Training with Michael McGinn
4:00 pm – 5:00pm Your offices	Get settled in your office (walk around to say hello; take care of administrative matters; set up voicemail; make sure that email and mobile device work, etc.)

Tuesday, November 21

9:00 am – 11:00 am Training Room	Technology Refresher Training with Michael McGinn
11:00 am – 12:00 pm Your office	Continue to get settled and work on bio for McMillan.ca
1:00 pm – 2:30 pm Training Room	Library Research – Financial Services Specific Databases/Resources with Emily Klomps-Spanjers, Research Librarian

Wednesday, November 22

9:00 am – 10:30 am Training Room	Library Research – Solicitor Specific Databases/Resources with Emily Klomps-Spanjers, Research Librarian
12:00 pm – 1:00 pm Fraser Boardroom	Welcome Lunch with Articling Students

Thursday, November 30

10:45 am – 11:15 am Fraser Boardroom	Meet with photographer for website photo
12:00 pm – 1:00 pm Macfarlane Boardroom	Career Mindfulness with Ilana Schrager (<i>lunch will be provided</i>)

**PROGRAMME DE FORMATION
ÉTUDIANTS D'ÉTÉ 2017 - MONTRÉAL**

Date	Heure	Activité
Lundi 15 mai	9h - 12h 715 Square- Victoria, bureau 600	Recherche juridique : SOQUIJ (180 min.)
	12h30 - 14h	Lunch avec vos mentors
	14h15 - 14h45 Salle McMillan	Questions administratives avec Franca Gianinni (30 min.)
	14h45 - 15h15 Salle McMillan	Bienvenue à Montréal et visite du bureau avec Catherine Péloquin (30 min.)
	15h15-15h30	Pause
	15h30 - 17h30 Salle de formation	Formation informatique: Comment éviter les catastrophes avec les documents STAN et autres logiciels (120 min.)
Mardi 16 mai	9h - 9h15 Salle Mont Royal II	Instructions pour la note de recherche avec Catherine Péloquin (15 min.)
	9h15 - 14h	Travail individuel sur votre note de recherche
	14h - 15h McMillan (video conference)	Business Research and Resources with Library Team (60 min.)
	15h - 18h	Travail individuel sur votre note de recherche
	18h	Envoyer note de recherche par courriel à Shari Munk-Manel
Mercredi 17 mai	18h-21h Académie culinaire 360, rue Champ-de-Mars, Montréal	Atelier de cuisine des étudiants et stagiaires

Date	Heure	Activité
Jeudi 18 mai	17h -	Cocktail de bienvenue des étudiants
Vendredi 19 mai	12h-13h Salle Sherbrooke II	Lunch avec Max Mendelsohn
Lundi 22 mai		CONGÉ
Mardi 23 mai	9h – 10h30 Salle McMillan	Recherche juridique : La Référence (90 min.)
	11h30 – 12h Salle McMillan	Ressources québécoises de recherche juridique avec Elfi Kwong et Danielle Beaudoin (30 min.)
Mercredi 24 mai	9h -11h Palais de justice	Visite du Palais de justice avec Maude Poulin
	12h – 13h45 McMillan	Legislative Research with Library Team (90 min.)
Jeudi 25 mai	10h-11h Salle Mont Royal II	Recherche juridique et rédaction: Corrigé de l'exercice de note de recherche avec Shari Munk-Manel (60 min.)
Vendredi 26 mai	12:00 p.m. – 1:45 p.m. (includes lunch) Salle Mont Royal I	Legal Research and Writing: How to Read and Understand Statutes with Charlotte Conlin (90 min.)
Mercredi 31 mai	12:00 p.m. – 1:30 p.m. (includes lunch) Salle Mont Royal I	Recherches RDPRM, recherches en vertu de la Loi sur les banques ainsi qu'en matière de faillite, litige et propriété intellectuelle avec Frédérique Gay
Vendredi 2 juin	12h30 – 13h45 (incluant le lunch) Salle Mont Royal II	Gestion de la pratique avec Andrei Pascu (75 min.)
Lundi 5 juin	12:00 p.m. – 1:30 p.m. (includes lunch) Salle Mont Royal I	Comment lire les rapports de recherche provenant de diverses banques de données (RDPRM, Loi sur les banques, faillite, litige et propriété intellectuelle) avec Frédérique Gay

HORAIRE DE FORMATION – Elise Moras

Date	Heure	Lieu	Activité
Mardi 5 septembre	9h – 10h	Mont Royal II	Assurances, bénéfices et salaire avec Franca Giannini
	10h – 10h15	Bureau d'Elise	Informations sur le stage avec Catherine Péloquin
	10h15 – 10h30		Rencontre IT re Blackberry avec Louis Roberts
	12h-13h30		Lunch avec ton mentor
	13h30 – 15h	Salle de formation (25e)	Rappels informatiques avec Shanna Fréchette
	15h – 16h	Bibliothèque	Rappel des outils de recherche avec Danielle Beaudoin et Eve Leung
	16h – 17h	Salle de formation (25e)	Rappels informatiques avec Shanna Fréchette
Mercredi 6 septembre	8h45	Palais de Justice	Représentations à la Cour avec Catherine Jalette
Jeudi 7 septembre	17h – 19h	Cuisine	Cocktail de bienvenue

2017 Ottawa Articling Student Program (additional Ottawa training)

Date	Time	Topic/Event
Tuesday, August 8	8:30 a.m. – 9:30 a.m. McClung Room	Welcome and Local Administrative Matters with Laura Buder (60 min)
	9:30 a.m. – 10:00a.m.	Settling in your office (30 min)
	10:00 a.m. – 11:30 a.m. WebEx meeting at your computer	Litigation Research Refresher with Library Team (90 min)
Wednesday August 9	10:00 a.m. – 11:00 a.m.	Technology Training: WorkShare Compare and Track Changes with Sherry Chen (60 mins)
	11:30 p.m. – 1:00 p.m.	Lunch with your mentor
	1:00 p.m. – 2:30 p.m. WebEx meeting at your computer	Business Law Research Refresher with Library Team (90 min)
Thursday, August 10	2:00 p.m. – 3:00 p.m. Patio	Farewell/Welcome Ice Cream Social

**2017 OTTAWA
SUMMER STUDENT PROGRAM**

Date	Time	Seminar or Event
Monday May 15	9:00 a.m. – 9:30 a.m.	Welcome to Ottawa, Tour of the Office and Administrative matters with Laura Buder (30 min.)
	9:30 a.m. – 9:45 a.m. (students to call Marlene at ext 7245)	Research Memo Assignment/Instructions with Marlene Kane
		Independent Work on Research Memos
	12:00 p.m. - 1:30 p.m.	Lunch with your mentor Independent Work on Research Memos
Tuesday May 16	9:30 a.m. – 11:00 a.m. Training room	Technology training (Ottawa Word templates, Workshare Compare and Track Changes) with Sherry Chen
	12:00 p.m.	Send your memo by email to Jonathan O'Hara at 12:00pm
	2:00 – 3:00 p.m. Laurier (video conference)	Business Law Research and Resources with Library Team
Wednesday May 17	9:00 a.m. – 10:00 a.m. Laurier	Small Claims Court Practice & Procedure with Patrick Thompson (60 min)
	2:00 – 3:00 p.m. Laurier (video conference)	Litigation Research and Resources with Library Team

Date	Time	Seminar or Event
Thursday May 18	9:00 a.m. – 10:30 a.m.	Tour of Courthouse with Kyle Lambert (90min.)
	4:00p.m. – 5:00 p.m. Lounge	Student Welcome cocktail
Monday May 22		Statutory Holiday
Tuesday May 23	10:00 a.m. – 11:00 a.m. Jonathan's office	Individual Student Meetings with Writing Mentor
	12:00 p.m. – 1:00 p.m. (includes lunch) McClung	How to Have a Successful Summer: Tips From Those Who Have Gone Before You with Timothy Cullen and Kyle Lambert (60 min.)
Wednesday May 24	12:00 p.m. – 1:45 p.m. (includes lunch) McClung	Legislative Research with Eve Leung (90 min.)
Friday May 26	12:00 p.m. – 1:45 p.m. (includes lunch) Laurier (video conference)	Legal Research and Writing: How to Read and Understand Statutes with Charlotte Conlin (90 min.)
Wednesday May 31	12:00 p.m. – 1:15 p.m. (includes lunch) Laurier	How to Have a Successful Summer: Managing Your Practice with Sarah Kilpatrick (75 min.)

2017 ASSOCIATE ADVOCACY TRAINING (IN-HOUSE)

Date	Program
February 7	Associate Litigation Training – Career Development Planning for Litigation Associates
February 23	Associate Litigation Training – Business Development: What Litigation Associates Should Be Doing w
March 7	Litigation Training – Class Action Workshop – Module 1: Initial Class Action Defense Considerations
March 31	e-Discovery Workshop
May 1	e-Discovery Workshop
May 3	Litigation Training – Class Action Workshop – Module 2: Certification
June 14	Litigation Training – Class Action Workshop – Module 4: Defending a Class Action Case (or Issue) to Judgment or Decertification

McMILLAN CORPORATE COUNSEL PROGRAMS

Date	Program
February 15	Risky Business: What You Should You Know Before You Agree to Arbitration
May 10.	Corporate Counsel – Corporate Governance& Indemnities
October 26	McMillan Corporate Counsel Webinar – Medical Marijuana
November 16	Staying Right and Honourable: The Corporate Counsel's Guide to Dealing with the Media, Government and the Public
November 16	Managing Outside Counsel
November 16	The Corporate Counsel's Guide to Managing Millennials
November 21	Corporate Counsel Webcast – Competition Law Update 2017



**2017 TORONTO, OTTAWA and MONTREAL
SUMMER STUDENT PROGRAM**

Date	Time	Seminar or Event
Monday May 8	8:30 a.m. – 9:00 a.m. Rowell II & III	Coffee Mix and Mingle
	9:00 a.m. – 10:15 a.m. Rowell I	Welcome from Professional Services Committee Co-Chair Eric Friedman How to Have a Successful Summer: What to Expect from Your Summer At the Firm with Marlene Kane and Kara Sutherland
	10:15 a.m. – 10:30 a.m.	Break
	10:30 a.m. – 11:30 a.m.	What Every Summer Student Needs to Know About Professionalism with Marlene Kane and Kara Sutherland
	11:30 a.m. – 11:45 a.m.	Student Photos
	11:45 a.m. – 1:00 p.m. Rowell II & III	Welcome Lunch with Marlene Kane and Kara Sutherland
	1:00 p.m. – 2:00 p.m. Rowell I	How to Get Things Done at the Firm: HR Matters and Firm Tour with Nicole Reynolds
	2:00 p.m. – 2:30 p.m.	How to Get things Done at the Firm: Working with Legal Support Services with Michelle Wagg
	2:30 p.m. – 2:45 p.m.	Break

Date	Time	Seminar or Event
	2:45 p.m. – 4:45 p.m. Training Room Self-Study	How to Get Things Done at the Firm: Using McMillan Technology with Technology Team Library Survey
Tuesday May 9	9:00 a.m. – 10:30 a.m. Rowell I 10:30 a.m. – 10:45 a.m. 10:45 a.m. – 11:45 a.m. Rowell I & Library 11:45 a.m. – 12:15 p.m. 12:15 p.m. – 1:15 p.m. Rowell II & III 1:15 p.m. – 2:00 p.m. Rowell I 2:00 p.m. – 2:45 p.m. Rowell I – Toronto Lang – Vancouver 2:45 p.m. – 3:00 p.m. 3:00 p.m. – 4:00 p.m. Training Room	How to Have a Successful Summer: Meeting the Firm's Expectations with Marlene Kane and Kara Sutherland Break Library Orientation and Introduction to Firm Research Sources with Library Team Legal Research and Writing: How to Get Good Instructions from Your Assigning Lawyer with Calie Adamson and Michelle Boodhoo Lunch and Q+ A with Marlene Kane and Kara Sutherland Legal Research and Writing: How to Think Strategically with Charlotte Conlin Legal Research and Writing: Research Process and Methodology with Charlotte Conlin Break How to Get Things Done at the Firm: Using McMillan Technology with Technology Team

Date	Time	Seminar or Event
	<p>4:00 p.m. – 4:30 p.m. Rowell I</p> <p>Self-Study</p> <p>5:00 p.m. Sky Lounge</p>	<p>How to Have a Successful Summer: What Every Summer Student Needs to Know about Law Clerks with Karen Tuschak</p> <p>Pre-Reading for Research Training (including quiz)</p> <p>Group Social – Games Night</p>
<p>Wednesday May 10</p>	<p>9:00 a.m. – 10:30 a.m. McMillan Binch</p> <p>10:30 a.m. – 10:45 a.m.</p> <p>10:45 a.m. – 12:15 p.m. Training Room</p> <p>12:15 p.m. – 12:30 p.m.</p> <p>12:30 p.m. – 2:15 p.m. McMillan Binch</p> <p>2:15 p.m. – 2:30 p.m.</p>	<p>Legal Research and Writing: The Anatomy of a Research Memo with Lisa Kerbel-Caplan</p> <p>Break</p> <p>Technology Training with Technology Team</p> <p>Break</p> <p>Working Lunch What Every Summer Student Needs to Know About Deals: Part 1 – Anatomy of a Deal with Marlene Kane</p> <p>What Every Summer Student Needs to Know About Deals: Part 2 - How to Navigate Deal Agreements with Caroline Samara</p> <p>Break</p>

Date	Time	Seminar or Event
	2:30 p.m. – 4:00 p.m. Training Room 4:00 p.m. – 4:15 p.m. 4:15 p.m.- 4:45 p.m. McMillan Binch Self-Study	Legal Research and Writing: Secondary Sources Part I with Library Team Break How to Commission an Affidavit with Mitch Kocerginski Pre-Reading for Research Training (including quiz)
Thursday May 11	9:00 a.m. – 11:00 a.m. Rowell I 11:00 a.m. – 11:15 a.m. 11:15 a.m. – 1:00 p.m. Rowell I 1:00 p.m.- 1:15 p.m. 1:15 p.m. – 2:45 p.m. Training Room 2:45 p.m. – 3:00 p.m. 3:00 p.m. – 3:45 p.m. Rowell I 3:45 p.m. – 4:45 p.m. Training Room	Legal Research and Writing: How to Analyze the Facts and the Law in Your Research Memo with Lisa Kerbel-Caplan Break Working Lunch How to Have a Successful Summer: Making a Great First Impression with Evan Thompson and Marjorie Malpass Break Legal Research and Writing: Secondary Sources Part II with Library Team Break How to Have a Successful Summer: What Every Summer Student Needs to Know about the Business of Law with Marlene Kane Time KM: The Mechanics of Docketing with Technology Team

Date	Time	Seminar or Event
	5:00 p.m. – 6:30 p.m. Sky Lounge	Welcome Reception
Friday May 12	9:00 a.m. – 10:30 a.m. Rowell I	Legal Research and Writing: What Every Summer Student Needs to Know About the Elements of Good Writing with Lisa Kerbel-Caplan
	10:30 a.m. – 10:45 a.m.	Break
	10:45 a.m. – 11:45 a.m. Training Room	Legal Research and Writing: Primary Sources with Library Team
	11:45 a.m. – 2:00 p.m. Rowell I	Working Lunch What Every Summer Student Needs to Know About Deals: Part 3 – How to Conduct Due Diligence with Marlene Kane and Adriana Rudensky
	2:00 p.m. – 2:15 p.m.	What Every Summer Student Needs to Know About Deals: Part 4 – How To Be Useful at a Closing with Tayleigh Armstrong
	2:15 p.m. Training Room	Break Exercises - Primary Sources Legal Research and Writing: Wrap Up (including Game Show) with Library Team Wrap Up for the Week

2017 Toronto and Ottawa Articling Student Orientation

Date	Time	Topic/Event
Monday July 31	8:30 a.m. Rowell II	Networking Breakfast
	9:00 a.m. – 10:00 a.m. Rowell I	What's New and What to Expect From the McMillan Articling Experience with Marlene Kane and Kara Sutherland
	10:00 a.m. – 10:15 a.m.	Break
	10:15 a.m. – 11:30 a.m.	Building Your Personal Brand: How to Succeed as a McMillan Articling Student with Mitch Koczerginski, Tayleigh Armstrong and David Zhou
	11:30 a.m. – 11:45 a.m.	Break
	11:45 a.m. – 12:45 p.m. Training Room	Mobile Device Set-Up, Orientation / Cybersecurity Compliance
	12:45 p.m. – 1:30 p.m.	Networking Lunch
	1:30 p.m. – 3:00 p.m.	Professionalism with Dan MacDonald and Marlene Kane
	3:00 p.m. – 3:15 p.m.	Break
	3:15 p.m. – 5:00 p.m. Rowell I	Practice Management: How to Manage Your Time and Your Work with Marlene Kane, Dave McKechnie and Kara Sutherland
		Practice Management: How to Work Smarter with Your Assistants with Marlene Kane and Annalisa Sorgini
		Practice Management: How to Work Smarter Not Harder with the Law Clerks with Karen Tuschak and Law Clerks

Date	Time	Topic/Event
Tuesday August 1	9:00 a.m. – 10:30 a.m. Rowell I	Life Cycle of a Business: Acquiring the Business with Caroline Samara and Marlene Kane
	10:30 a.m. – 10:45 a.m.	Break
	10:45 a.m. – 12:15 p.m. Training room	Technology Refresher (Email/DeskSite)
	12:15 p.m. – 1:00 p.m.	Lunch
	1:00 p.m. – 2:45 p.m. Rowell I	Life Cycle of a Business: Protecting the Business's Brand with Sharon Groom
	2:45 p.m. – 3:00 p.m.	Break
	3:00 p.m. – 4:30 p.m.	Life Cycle of a Business: Employment Matters with Dave McKechnie and Stefanie Di Francesco
Wednesday August 2	9:00 a.m. – 10:15 a.m. Rowell I	Advocacy Workshop: Anatomy of an Action with Mitch Koczerginski and Samantha Gordon
	10:15 a.m. – 10:30 a.m.	Break
	10:30 a.m. – 12:00 p.m.	Advocacy Workshop: The Theory of Your Case with Cara Zacks and Stephen Brown-Okruhlik
	12:00 p.m. – 1:15 p.m. (lunch)	Legal Research Refresher
	1:15 p.m. – 5:00 pm. Annex	Firm Photos and independent time to work on your motions (see attached photo schedule)
	5:30 p.m.	Welcome Dinner (with Mentors)

Date	Time	Topic/Event
Thursday August 3	9:00 a.m. – 10:30 a.m. Rowell I & II	Life Cycle of a Business: Financing the Business with Debt with Julie Han and Maria Sagan
	10:30 a.m. – 10:45 a.m.	Break
	10:45 a.m. – 12:15 p.m.	Life Cycle of a Business: Financing the Business with Equity with Leila Rafi
	12:15 p.m. – 1:00 p.m.	Lunch
	1:00 p.m. – 2:00 p.m. Training Room	Technology Refresher (InTapp Time Docketing)
	2:00 p.m. – 2:15 p.m.	Break
	2:15 p.m. – 3:15 p.m. Rowell I	Life Cycle of a Business: Bankruptcy and Insolvency with Jeff Levine
	3:15 p.m. – 3:30 p.m.	Break
	3:30 p.m. – 5:00 p.m.	How to Draft a Litigation Proof Agreement with Marlene Kane
Friday August 4	9:00 a.m. – 10:15 a.m. Wood Howland Reid	Advocacy Workshop: Argue Your Motions Before the Masters and Debrief with Calie Adamson, Cara Zacks and Stephen Brown-Okruhlik
	10:15 a.m. – 10:45 a.m.	Break
	10:45 a.m. – 11:45 a.m. Rowell I	How to Deal with Clients with Brian Livingston
	11:45 a.m. – 12: 15 p.m.	Lunch
	12:15 p.m. – 1:15 p.m. Rowell I	How Business People Think with Brian Livingston

Date	Time	Topic/Event
	1:15 p.m. – 1: 30 p.m.	Break
	1:30 p.m. – 2:45 p.m.	The Business of Law with Marlene Kane and Suzie Williams
Tuesday August 8	9:00 a.m. – 10:00 a.m. Lang Michener	Workflow Breakfast with Kara Sutherland
	10:00 a.m. – 11:30 a.m. Training Room	Litigation Research Refresher with Library Team (include Ottawa via WebEx)
	10:00 a.m. – 11:30 a.m. Training Room	Business Law /Financial Services Technology Training: STAN, WorkShare Compare and Track Changes with Technology Training Team
	1:00 p.m. – 2:30 p.m. Training Room	Financial Services Research Refresher with Library Team
Wednesday August 9	1:00 p.m. – 2:30 p.m. Training Room	Business Law Research Refresher with Library Team (include Ottawa via WebEx)
Wednesday August 16	9:30 a.m. – 11:00 a.m. Training Room	Litigation Students only Technology Training: INFORMS and Track Changes with Technology Training Team



New Toronto Associate Orientation

A G E N D A

Tuesday September 5, 2017

- | | |
|-------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 8:30 a.m. | Mix and Mingle |
| 9:00 a.m. – 10:15 a.m. | Welcome Back
The New Associate's Guide to Career Success
Kara Sutherland, <i>Director of Professional Resources</i>
Marlene Kane, <i>National Director of Professional Development</i> |
| 10:15 a.m. – 10:30 a.m. | Break |
| 10:30 a.m. – 11:30 a.m. | The New Associate's Guide to Avoiding a Malpractice Claim
Dan MacDonald, <i>General Counsel to McMillan</i> |
| 11:30 a.m. – 11:50 a.m. | Technology Matters |
| 12:00 p.m. – 1:15 p.m. | Networking Lunch and Discussion with Associates:
Surviving (and Even Thriving During) Your First Year of Practice
David Zhou, Sean Coughlin and Lauren Ray |
| 1:15 p.m. – 1:30 p.m. | Break |
| 1:30 p.m. – 2:00 p.m. | The New Associate's Guide to Working with Your Assistant
Annalisa Sorgini, <i>Manager Legal Support Services</i> |
| 2:00 p.m. – 3:00 p.m. | The New Associate's Guide to Docketing: How to Communicate Value to our Clients
John Clifford, <i>Partner and Master Docketer</i> |
| 3:00 p.m. – 3:15 p.m. | Break |
| 3:15 p.m. – 4:30 p.m. | HR Matters and Your McMillan Benefits
Nisha Rider, <i>National Director Human Resources</i>
Sabrina Ramlall, <i>Human Resources Manager</i>
Carolyn Jay, <i>Manager HRIS, Benefits and Payroll</i> |

Wednesday September 6	Technology Training: InTapp Docketing
11:00 a.m. – 11:30 a.m.	

2017 BUSINESS LAW ASSOCIATE TRAINING (IN-HOUSE)

Date	Program
January 18	Business Law Associate Training – Acquisitions: Covenants
February 22	Business Law Associate Training – s.85 Rollovers
March 6	Business Law Associate Training – Acquisitions: Indemnification and Post-Closing Matters
April 21	Business Law Associate Training – Acquisitions: Labour, Employment and Pension Considerations and Clauses
April 25	Business Law Associate Training – Private M&A
May 23	Business Law Associate Training – Acquisitions: Competition Act and Investment Canada Act Considerations and Clauses
June 14	Business Law Associate Training – Acquisitions: Environmental Considerations and Clauses
September 19	Business Law Associate Training – Acquisitions: Real Estate Considerations and Clauses
October 3	Business Law Associate Training – Acquisitions: Tax Considerations and Clauses
October 31	Business Law Associate Training – Acquisitions: Arbitration Considerations and Clauses

APPENDIX H
CYBER LIABILITY

Name of Firm: McMillan LLP

1. Personnel

- a) Do you have a Chief Security Officer or Chief Information Security Officer or equivalent? ☒ yes ☐ no

If "no", who within the Firm is responsible for the management of and compliance with the Firm's Security Policies?

Director of Information Security & Governance

- b) Do you have a Chief Privacy Officer or equivalent? ☒ yes ☐ no

If "no", who within the Firm is responsible for the management of and compliance with the Firm's Privacy Policies?

2. Protection

- a) Do you use encryption tools to enhance the integrity and confidentiality of confidential information?
☒ yes ☐ no

If you use encryption tools, in which scenarios is data encrypted? (Check all statements that you believe are applicable.)

- ☒ Data at rest
☒ Data in transit * only on a client request basis
☒ Data transferred to removable media (laptops, CD's, backup tapes, USB devices, etc.)
☐ None of the above

- b) Do you use and regularly update industry-standard antivirus software? ☒ yes ☐ no

- c) Do you install the latest software updates to reduce security vulnerabilities? ☒ yes ☐ no

- d) Do you require that passwords be a minimum length and contain alpha and numeric characters?
☒ yes ☐ no

- e) Do you require that passwords be regularly updated? ☒ yes ☐ no

- f) Do you check to make sure that no spyware or adware resides on your computers? ☒ yes ☐ no

- g) Do you use and regularly update industry-standard firewall protection systems to prevent unauthorized access to internal networks and computer systems? ☒ yes ☐ no

- h) Is the data on your servers encrypted? ☐ yes ☒ no

- i) Is the data on your desktop and laptop computers encrypted? ☒ yes ☐ no * this applies to iPhones and Blackberries only. Samsung phones are not encrypted
- j) Is the data on your mobile devices encrypted? ☒ yes ☐ no
- k) Have predesignated computer system/application access rights and privileges been set for all authorized users? ☒ yes ☐ no
- l) Is there hourly or daily automatic backup of documents and emails? ☒ yes ☐ no
- m) Is there hourly or daily automatic backup of your firm-wide tickler system and/or your lawyers' own personal tickler systems? ☒ yes ☐ no
- n) Are backups stored off-site at a secure location? ☒ yes ☐ no
- o) Do you use software that can be used to wipe laptops and mobile devices clean if they are misplaced or stolen? ☒ yes ☐ no
- p) Do you use software that can detect unauthorized transfers of personal information and unauthorized copying of files? ☐ yes ☒ no
- q) Do you use a metadata scrubber on documents that you transmit to clients or third parties such as opposing counsel? ☒ Most of the time ☐ Occasionally ☐ Never

3. Incident Response

Do you have a written network security incident response plan? ☒ yes ☐ no
 McMillan is in the final stages of implementing an Information Security Policy and an Incident Response Plan. If "yes":

- a) Does it include alternative options should a critical third party outsourcing provider's operations be incapacitated? ☒ yes ☐ no
- b) Does it include procedures to alert your clients that their data may have been compromised? ☒ yes ☐ no

4. Policies

- a) Do you maintain a comprehensive information security and privacy policy that is updated and enforced on a continuous basis? ☒ yes ☐ no
- b) Do you advise your lawyers of the risks of using unencrypted email? ☒ yes ☐ no
- c) Does your firm advise your lawyers of the dangers of metadata? ☒ yes ☐ no
- d) Do you purchase insurance other than CLLAS coverage to protect you in the case of privacy breaches? ☒ yes ☐ no
- e) Do you purchase insurance other than CLLAS coverage to protect you in the case of cyber-attacks? ☒ yes ☐ no

APPENDIX I

2017 PROFESSIONAL LIABILITY INSURANCE APPLICATION AND
EXEMPTION FORM SUBMITTED TO LAWPRO

Name of Firm: McMillan LLP



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Careers

My LAWPRO > File Online > Firm Summary Form

My LAWPRO®

Online 2018 Firm Summary Form

NOTE: For the purposes of this Application, LAWYER means each person who holds a Class L1 licence pursuant to the by-laws of the Law Society Act.

Please review carefully. To make further changes or corrections before submitting, click on the Tabbed section of the application. Press the 'Submit now' button at the end of this review to complete the online filing.

A964520 MCMILLAN LLP

Coverage
OptionsPayment
InformationWarranty &
Signature

General LAW FIRM Information

1. Name of LAW FIRM: MCMILLAN LLP
 Address: #4400 - 181 BAY ST
 BROOKFIELD PLACE
 TORONTO, ON
 M5J 2T3
 Phone Number: 416-865-7000
 Fax Number: 416-865-7048
 E-mail address:
 Firm Website(s): www.mcmillan.ca
 Managing Partner/LAWYER: 23677J - TERESA MARIE DUFORT
 Office Admin./Manager: NISHA RIDER
 Claims Contact: 23125F - DANIEL VINCENT MACDONALD
 CPD Contact: MARLENE KANE
 Nature of Law Practice: Partnership - LLP
2. Contact name and title:
 Indicate the preferred firm contact for insurance matters.
 Name: Dan MacDonald
 Title: General Counsel/Chief Risk Officer
3. Number of PARALEGAL PARTNER(S) OR SHAREHOLDER(S) (if applicable) and LAWYERS in LAW FIRM in Ontario:
 Indicate the current number of PARALEGAL PARTNER(S) OR SHAREHOLDER(S) (if applicable) and LAWYERS in the LAW FIRM in Ontario.
154
4. Number of staff in LAW FIRM in Ontario who are not LAWYERS:
 Indicate the current number of staff in the LAW FIRM in Ontario who are not LAWYERS. Include all staff who provide law-related services who are directly or indirectly employed, whether through management, other companies or otherwise, by the LAW FIRM or by any of the LAWYERS in the LAW FIRM or any spouse including those EMPLOYEES who are casual or part-time EMPLOYEES. Independent contractors such as bookkeepers, PARALEGALS, researchers, etc. who are not EMPLOYEES of the LAW FIRM should not be included.
261
5. Excess liability insurance:
 Indicate the name of the insurer and excess liability insurance limits. Limits are in addition to the required \$1 million per CLAIM/\$2 million in the aggregate primary LAWPRO policy limits.
 Your excess insurers include:
Canadian Lawyers' Liability Assurance Society
 Other insurer: Canadian Lawyers' Liability Assurance Society
 Total Excess Liability Limits:
 per CLAIM/aggregate above LAWPRO POLICY limits (for all Excess coverage)

carried)

\$ 249 Million per claim
\$ 249 Million aggregate

6. Volume Billings:

Indicate the Average Gross Billings per LAWYER in the LAW FIRM.

Average Gross Billings (select one):

AV14 - Average Gross Billings: over \$500,000

Firm Member List

Listed below are the names and LSUC numbers for all partners, associates and/or employed LAWYERS in the LAW FIRM, who are to be included in this application for Professional Liability Insurance.

- LAWYER Removed; - New LAWYER; - LAWYER info Updated.

LSUC#	Last Name	First Name	Status
61750W	ADAMSON	ALICE ELINOR CALIE	
41811S	ALLEN	MERVYN DEZONIE ST.CLAVER	
60346K	AMMERMAN	DARCY JUNE EDITH	
45319L	ANNIBALE	JASON JOSEPH	
68648O	ARMSTRONG	TAYLEIGH CLAIRE	
20488J	AVIS	PAUL JAMES	
22613E	BADALI	GERALD ANTHONY	
17332F	BANFAI	GEZA ROBERT	
72471I	BATES	CHRISTIE JANE CLARK	
50965E	BATHGATE	BENJAMIN MATTHEW	
52088A	BEAUDRIE	GERALD RAYMOND II	
41628E	BIRD	KEITH DANE	
66196U	BOODHOO	MICHELLE DEVI	
61781A	BOSHYK	PAUL DANIEL	
63958P	BRANDRETH	SEAN CASEY	
60039L	BRAZIL	LAURA DRYDEN	
66204D	BRIGHT	JONATHAN CHARLES GERARD	
66576P	BROWN-OKRUHLIK	STEPHEN JAMES	
65436P	BURKE	CIARON ELISE	
33173N	BURNS	MICHAEL ANDREW CLARENCE	
31774T	CAMPBELL	ANDREW NEIL	
63582P	CHAD	JOSHUA IAN	
70542N	CHAN	SZE PUI FLORENCE	
39856J	CHAPPLE	BRUCE ALAN	
43890T	CHERTIN	JASON ALEXANDER	
56736M	CHISHOLM	ADAM DONALD HUNT	
29813P	CLIFFORD	JOHN FREDERICK	
26135J	COLLINS	FRANCIS PAUL	
39398E	CONLIN	CHARLOTTE ELLEN	
57582G	COOPER	RACHEL AMI	
70553D	COUGHLIN	SEAN KENDRICK	
67466T	CULLEN	TIMOTHY JOSEPH	
24344L	DAECHSEL	WILLIAM KIP	
28388P	DAVIS	PAUL DESMOND	
27262E	DE VUONO	CARLO GIANNI ANTONY	
28766S	DEBENHAM	DAVID BISHOP	
42013J	DEWAN	RAJEEV	
65857F	DI FRANCESCO	STEFANIE ANNE	
21625C	DRABINSKY	HOWARD MARK	
35439F	DUBE	GEORGES CUDDIHY	
60413H	DUDKIEWCZ	DAVID MARK	
23677J	DUFORT	TERESA MARIE	
29846I	DUNLOP	DAVID ROBERT	
31827E	EDMONDSTONE	DANIEL GEORGE	
60091H	FELL	CAITLIN ELIZABETH	
22715J	FLYNN-GUGLIETTI	MARY LOUISE	
39059I	FORGIONE	PASQUALE	
61495I	FORRISTAL	MARIE ANNIK	
68416O	FRASER	LAURA ELIZABETH	
72871O	FREEDMAN	JOSHUA LYNTON	
31055F	FRIEDMAN	ERIC BRAM	
45405A	FRIEDMAN	MICHAEL FRASER	
38235F	GARRAH	CHRISTOPHER JAMES	
60439R	GEBERT	JEFFREY PHILIP	
41681T	GIDDENS	PETER DOUGLAS	
19869L	GLASS	ROBERT EDWARD	
68423U	GORDON	SAMANTHA LYNN	
32272T	GRENIER	GLENN	
28448C	GROOM	SHARON ELIZABETH	
46627R	GROSSMAN	ROBBIE BRETT	
45965G	HALLADAY	CASEY WILTON	
58590T	HAN	JULIANA	
35899V	HANNA	WILLIAM BRADLEY	

44336A	HARRISON	BRETT GAVIN
55549D	HOUSHIDARI	ANITA
18484O	KENT	ANDREW JOHN FRANCIS
23086P	KENT	DAVID WILLIAM
72270J	KHALILU	SHAHRAM
57155G	KILPATRICK	SARAH STEPHANIE
50788A	KLINE	ADAM JEREMY
67818B	KOCZERGINSKI	MITCHELL SEAN
30203M	KRUPA	HENRY JOHN
28811M	KUBRICK	GEOFFREY CHARLES
63416F	LAMBERT	KYLE MICHAEL
72826V	LEE	WONSEOK
55582H	LEVINE	JEFFREY ANDREW
43433O	LORIMER	HEATHER LINDSAY
28568S	LYONS	CAROL VIRGINIA
23125F	MACDONALD	DANIEL VINCENT
45724I	MACNEIL	JANINE MARIE
27871I	MAHONEY	RICHARD JOSEPH
33787R	MAIDMENT	JEFFREY SCOTT
52754K	MANDEL	JENNIFER RACHEL
46028E	MARTIN	KATHY ABIE
33374M	MARTYN	JOHN SCOTT
49366O	MCKECHNIE	DAVID JAMES GRAEME
25446E	MCNEE	MARGARET CECILIA
25451S	MCWILLIAM	BRUCE NORMAN
36821O	MILLER	TODD ANDREW
46043M	MIRAKIAN	SHAHEN-ARAM
60526C	MIRZA	AHSAN-UDDIN
54373S	MOYSA	GEOFFREY ERIC
28616A	MURPHY	TIMOTHY JOHN
36442P	MURRAY	PATRICK WILLIAM
26300E	MUSGROVE	JAMES BURK
68519P	NAGASHIMA	JEFFREY EIGI
71823C	NISKI	MIKOLAJ JAKUB
62608S	O'HARA	JONATHAN PETER
40094E	ONN	ANDREA LYNN
40600O	OPASHINOV	MARK
17892L	PALMAY	FRANK
49639N	PARLIAMENT	LISA DIANNE
23510O	PETERSEN	RONALD STUART
22856R	PHELAN	PATRICK JOSEPH
52658E	RAFI	LEILA
70428L	RANKIN	JEREMY PALMER
27710P	RANKIN	MICHAEL STEPHEN
70727I	RAY	LAUREN ELIZABETH
73021U	RICCHETTI	ALEXANDER ROBERT
43347C	RICHMOND	MICHAEL JONATHAN
32435L	RIGBY	STEPHEN CHARLES ERNEST
35657E	ROGERS	ROBERT DOUGLAS JEFFREY
21103D	ROSS	DAVID NORMAN
43165S	ROSTOM	WAEI MOSTAFA KAMEL AHMED
23876Q	ROWLANDS	WILLIAM ALLAN
72376V	ROZARIO	NICOLE CHLOE
66457K	RUDENSKY	ADRIANA KRISTINE
60583B	SAGAN	MARIA
63856K	SAMARA	CAROLINE TAHAN
51151C	SBROCCHI	SANDRA DANIELLE
27491Q	SCAVONE	ROBERT MICHAEL
20058R	SCOTT	THOMAS EDWARD
60807A	SHORE	ROBERT JONATHAN
20074C	SIMPSON	JEFFREY BRIAN
38470E	SLAN	DAVID EVAN
51422J	STEWART	CATHERINE BRETT
55887A	STIRLING	ANDREW JOHN
72415F	SUTTON	KAILEY EMMA
73358N	SUVAMINATHAN	VALENTEENA RUBEENA
22247K	TEMPLETON	MICHAEL DAVID
47721T	THOMPSON	MARTIN JAMES
50548R	THOMPSON	PATRICK ANDREW
21158W	THRING	DAVID EDWARD
65741W	TOMBS	ANNA LESLIE ROBINSON
71092V	VALDIVIESO	MARIA CRISTINA
71093R	VALE	ALLISON SIMA
73377F	VINEBERG	PHILIP DE BENEDICTIS
48753E	WAGGOTT	GEORGE LOVELL
70784H	WAHIDIE	EHSANULLAH
49942F	WASSER	LYNDSAY AVRA
43389Q	WATERS	DONALD MARTIN
51186K	WEERASOORIYA	TUSHARA NILUPUL
18002S	WELLS	PETER EDWARD JOHN
27552D	WHITCOMBE	MICHAEL PAUL
29739M	WILKS	JAMIE MICHAEL
32106J	WILLIS	PETER ALEXANDER JAMES
38089O	WISNER	ROBERT

72781S	WYPYCH	JONATHAN PAUL VINCENT
17243F	YAKSICH	MICKEY MILAN
64681U	ZACKS	CARA MICHELLE FRANK
60338I	ZHAO	SANDRA XUE
71118M	ZHOU	DAVID HAO
63006F	ZHOU	XUE
19765D	ARCHIBALD	FRANCIS ARNOLD
28429O	GERMANAKOS	CHRIS NICKOLAS
59514Q	KAROLIA	SHAHEEN
18865F	MACCHIONE	LUIGI
11037S	MCDERMOTT	ROBERT KENNETH

Number of LAWYERS practising in the firm: 153

The following LAWYERS are currently listed as members of your firm. However, they may not be automatically included in your firm's 2018 renewal, as additional filings may be required from the individual LAWYERS, as described below:

73668A	BRUVELS	NICHOLAS ALEXANDER ROSS
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NO POLICY FOUND

This LAWYER is not currently covered by a LAWPRO policy, but has recently been added to your firm listing.

- If the LAWYER has commenced practice with your firm in 2017, the LAWYER must complete an Application form for New Applicants. The LAWYER will be added to the Firm's Renewal filing when the New Applicant's application form is submitted for the LAWYER.
- If this LAWYER is not commencing practice with your firm, please click the "Remove" button to the right of the LAWYER name.

LAW FIRM's Coverage Options

7. DEDUCTIBLE Option:

\$25,000 DEDUCTIBLE applicable to claim expenses, indemnity payments, and/or costs of repairs together

8. Innocent Party Coverage sublimit:

\$250,000 per claim/aggregate

9. Restricted Area of Practice Option: Criminal and/or Immigration Law:

No

10. Real Estate Practice Coverage Option:

Yes

Number of LAWYERS who have REAL ESTATE practice option: 23

LAW FIRM's Premium Payment Options

11. Third-party payor authorization:

Please indicate here if you are going to pay using credit card or bank account information belonging to a third party.

No

If the LAWYERS' 2018 insurance premiums will be paid by a third party as described above, the undersigned LAWYER agrees to obtain the consent of the third party authorizing the transaction and providing for the use and disclosure of personal information in accordance with privacy legislation that came into effect on January 1, 2004

12. Instalment Option:

Lump sum payment by cheque, dated and received by February 6, 2018 (eligible for \$50 plus PST per LAWYER discount).

16. Mail/fax your premium payment information:

No

17. 2018 Invoicing Information:

I prefer to receive our law firm's 2018 insurance premium invoice by mail.

CLAIM(S) & POTENTIAL CLAIM(S)

- 18. All members and employees of your LAW FIRM should be canvassed to determine if any member or employee of the LAW FIRM is aware of any CLAIM**

(S) or POTENTIAL CLAIM(S) of which LAWPRO has not been notified under the Law Society of Upper Canada program.

Other than CLAIM(S) and POTENTIAL CLAIM(S) of which LAWPRO has been notified under the Law Society of Upper Canada program, is any present member or employee of the LAW FIRM aware of any CLAIM(S) or POTENTIAL CLAIM(S) that has (have) been or may be made against the LAW FIRM or against any other on whose behalf this Application is submitted?

No

Filer Information

19. Name, Phone and E-mail: (this information may be shared with the LAWYER(s) on whose behalf you are filing.)

Name: Katarina Ciema

Phone: 613-691-6116

E-mail: katarina.ciema@mcmillan.ca

Your Comments and/or Suggestions:

A confirmation email will be sent to katarina.ciema@mcmillan.ca upon submission.

Firm Summary Warranty & Signature

This Declaration shall constitute and form part of the 2018 Application Form for LAWPRO Professional Liability Insurance filed on behalf of each LAWYER in the Law Firm listed in the Member List.

It is understood, warranted and acknowledged that the undersigned LAWYER is authorized to act as agent for the purposes of this insurance on behalf of each of the LAWYERS. The LAWYERS each warrant and acknowledge that the information provided with this Application Form:

- is true, accurate and complete, or where estimates are required, that such estimates are reasonable;
- will be relied upon by LAWPRO in assessing risk, in offering any terms of insurance and in issuing any policy of insurance;
- will be the basis of and form part of any resulting policy of insurance; and
- the options selected on this form are requested to apply for 2018.

LAWYERS not currently carrying LAWPRO professional liability insurance coverage each acknowledge having read the LAWPRO Personal Information Statement for Ontario LAWYERS and PARALEGALS (LICENSEES) (which forms part of this Application Form). The LAWYERS consent to the collection, use and disclosure of personal information in any optional program(s) for which the LAWYERS choose to apply or are to be named as an INSURED/s in, now or in future policy years, in accordance with that Statement.

The LAWYERS each acknowledge his/her own on-going duty, through to the date of policy inception, to advise LAWPRO in writing of any material changes with respect to their practice circumstances.

Please check this box to evidence your signature for the Warranty Declaration above.

If you wish to make a further change or correction, click on the appropriate Tab at the top of this form to return to the relevant section of the application. Then Review the application again.

Once you have reviewed your completed form and are satisfied that no additional changes need to be made, proceed as follows:

- *Print a copy of the Application for your records, using the print function in your browser.*
- *If you selected credit card as the payment option, see next section below to complete the submission of this form. Otherwise, click on the 'Submit' button below to e-file your firm application.*
- *You will automatically be provided with a confirmation number once you have submitted your form, to verify that you have successfully completed the e-filing process. Record your confirmation number with your printed application.*

Submit

For more information about this form, please contact LAWPRO Customer Service at: 1-800-410-1013, or (416) 598-5899 in Toronto or via e-mail at service@lawpro.ca.

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Leandra Delgado

From: LAWPRO Website [service@LAWPRO.ca]
Sent: Monday, November 06, 2017 11:04 AM
To: Katarina Cierna
Subject: 2018 Firm Renewal Application for Professional Liability Insurance

2018 Firm Renewal Application for Professional Liability Insurance

2018 Firm Filing completed on: 2017-11-06 11:03:42 AM

Name of LAW FIRM: MCMILLAN LLP
Your Firm Account number: A964520

Your Confirmation No.: P2246872

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The following LAWYERS are ELIGIBLE for and apply for the Real Estate Practice Coverage option effective January 1, 2018:

Please provide an answer for each member:

LSUC#	Last Name	First Name	<input type="radio"/> Yes	<input checked="" type="radio"/> No
61750W	ADAMSON	ALICE ELINOR CALIE	<input type="radio"/> Yes	<input checked="" type="radio"/> No
41811S	ALLEN	MERVYN DEZONIE ST.CLAVER	<input checked="" type="radio"/> Yes	<input type="radio"/> No
60346K	AMMERMAN	DARCY JUNE EDITH	<input type="radio"/> Yes	<input checked="" type="radio"/> No
45319L	ANNIBALE	JASON JOSEPH	<input type="radio"/> Yes	<input checked="" type="radio"/> No
68648O	ARMSTRONG	TAYLEIGH CLAIRE	<input type="radio"/> Yes	<input checked="" type="radio"/> No
20488J	AVIS	PAUL JAMES	<input checked="" type="radio"/> Yes	<input type="radio"/> No
22613E	BADALI	GERALD ANTHONY	<input type="radio"/> Yes	<input checked="" type="radio"/> No
17332F	BANFAI	GEZA ROBERT	<input checked="" type="radio"/> Yes	<input type="radio"/> No
72471I	BATES	CHRISTIE JANE CLARK	<input type="radio"/> Yes	<input checked="" type="radio"/> No
50965E	BATHGATE	BENJAMIN MATTHEW	<input type="radio"/> Yes	<input checked="" type="radio"/> No
52088A	BEAUDRIE	GERALD RAYMOND II	<input type="radio"/> Yes	<input checked="" type="radio"/> No
41628E	BIRD	KEITH DANE	<input type="radio"/> Yes	<input checked="" type="radio"/> No
66196U	BOODHOO	MICHELLE DEVI	<input type="radio"/> Yes	<input checked="" type="radio"/> No
61781A	BOSHYK	PAUL DANIEL	<input type="radio"/> Yes	<input checked="" type="radio"/> No
63958P	BRANDRETH	SEAN CASEY	<input type="radio"/> Yes	<input checked="" type="radio"/> No
60039L	BRAZIL	LAURA DRYDEN	<input type="radio"/> Yes	<input checked="" type="radio"/> No
66204D	BRIGHT	JONATHAN CHARLES GERARD	<input type="radio"/> Yes	<input checked="" type="radio"/> No
66576P	BROWN-OKRUHLIK	STEPHEN JAMES	<input type="radio"/> Yes	<input checked="" type="radio"/> No
73668A	BRUVELS	NICHOLAS ALEXANDER ROSS	<input type="radio"/> Yes	<input checked="" type="radio"/> No
65436P	BURKE	CIARON ELISE	<input checked="" type="radio"/> Yes	<input type="radio"/> No
33173N	BURNS	MICHAEL ANDREW CLARENCE	<input type="radio"/> Yes	<input checked="" type="radio"/> No
31774T	CAMPBELL	ANDREW NEIL	<input type="radio"/> Yes	<input checked="" type="radio"/> No
63582P	CHAD	JOSHUA IAN	<input type="radio"/> Yes	<input checked="" type="radio"/> No
70542N	CHAN	SZE PUI FLORENCE	<input type="radio"/> Yes	<input checked="" type="radio"/> No
39856J	CHAPPLE	BRUCE ALAN	<input type="radio"/> Yes	<input checked="" type="radio"/> No
43890T	CHERTIN	JASON ALEXANDER	<input type="radio"/> Yes	<input checked="" type="radio"/> No
56736M	CHISHOLM	ADAM DONALD HUNT	<input type="radio"/> Yes	<input checked="" type="radio"/> No
29813P	CLIFFORD	JOHN FREDERICK	<input type="radio"/> Yes	<input checked="" type="radio"/> No
26135J	COLLINS	FRANCIS PAUL	<input type="radio"/> Yes	<input checked="" type="radio"/> No
39398E	CONLIN	CHARLOTTE ELLEN	<input type="radio"/> Yes	<input checked="" type="radio"/> No
57582G	COOPER	RACHEL AMI	<input type="radio"/> Yes	<input checked="" type="radio"/> No
70553D	COUGHLIN	SEAN KENDRICK	<input checked="" type="radio"/> Yes	<input type="radio"/> No
67466T	CULLEN	TIMOTHY JOSEPH	<input type="radio"/> Yes	<input checked="" type="radio"/> No
24344L	DAECHSEL	WILLIAM KIP	<input type="radio"/> Yes	<input checked="" type="radio"/> No
28388P	DAVIS	PAUL DESMOND	<input type="radio"/> Yes	<input checked="" type="radio"/> No
27262E	DE VUONO	CARLO GIANNI ANTONY	<input type="radio"/> Yes	<input checked="" type="radio"/> No
28766S	DEBENHAM	DAVID BISHOP	<input type="radio"/> Yes	<input checked="" type="radio"/> No

42013J	DEWAN	RAJEEV	<input type="radio"/> Yes	<input checked="" type="radio"/> No
65857F	DI FRANCESCO	STEFANIE ANNE	<input type="radio"/> Yes	<input checked="" type="radio"/> No
21625C	DRABINSKY	HOWARD MARK	<input type="radio"/> Yes	<input checked="" type="radio"/> No
35439F	DUBE	GEORGES CUDDIHY	<input type="radio"/> Yes	<input checked="" type="radio"/> No
60413H	DUDKIEWICZ	DAVID MARK	<input checked="" type="radio"/> Yes	<input type="radio"/> No
23677J	DUFORT	TERESA MARIE	<input type="radio"/> Yes	<input checked="" type="radio"/> No
29846I	DUNLOP	DAVID ROBERT	<input type="radio"/> Yes	<input checked="" type="radio"/> No
31827E	EDMONDSTONE	DANIEL GEORGE	<input type="radio"/> Yes	<input checked="" type="radio"/> No
60091H	FELL	CAITLIN ELIZABETH	<input type="radio"/> Yes	<input checked="" type="radio"/> No
22715J	FLYNN-GUGLIETTI	MARY LOUISE	<input checked="" type="radio"/> Yes	<input type="radio"/> No
39059I	FORGIONE	PASQUALE	<input type="radio"/> Yes	<input checked="" type="radio"/> No
61495I	FORRISTAL	MARIE ANNIK	<input checked="" type="radio"/> Yes	<input type="radio"/> No
68416O	FRASER	LAURA ELIZABETH	<input type="radio"/> Yes	<input checked="" type="radio"/> No
72871O	FREEDMAN	JOSHUA LYNTON	<input type="radio"/> Yes	<input checked="" type="radio"/> No
31055F	FRIEDMAN	ERIC BRAM	<input type="radio"/> Yes	<input checked="" type="radio"/> No
45405A	FRIEDMAN	MICHAEL FRASER	<input type="radio"/> Yes	<input checked="" type="radio"/> No
38235F	GARRAH	CHRISTOPHER JAMES	<input type="radio"/> Yes	<input checked="" type="radio"/> No
60439R	GEBERT	JEFFREY PHILIP	<input type="radio"/> Yes	<input checked="" type="radio"/> No
41681T	GIDDENS	PETER DOUGLAS	<input type="radio"/> Yes	<input checked="" type="radio"/> No
19889L	GLASS	ROBERT EDWARD	<input type="radio"/> Yes	<input checked="" type="radio"/> No
68423U	GORDON	SAMANTHA LYNN	<input type="radio"/> Yes	<input checked="" type="radio"/> No
32272T	GRENIER	GLENN	<input checked="" type="radio"/> Yes	<input type="radio"/> No
28449C	GROOM	SHARON ELIZABETH	<input type="radio"/> Yes	<input checked="" type="radio"/> No
46627R	GROSSMAN	ROBBIE BRETT	<input type="radio"/> Yes	<input checked="" type="radio"/> No
45965G	HALLADAY	CASEY WILTON	<input type="radio"/> Yes	<input checked="" type="radio"/> No
58590T	HAN	JULIANA	<input type="radio"/> Yes	<input checked="" type="radio"/> No
35899V	HANNA	WILLIAM BRADLEY	<input type="radio"/> Yes	<input checked="" type="radio"/> No
44336A	HARRISON	BRETT GAVIN	<input type="radio"/> Yes	<input checked="" type="radio"/> No
55549D	HOUSHIDARI	ANITA	<input checked="" type="radio"/> Yes	<input type="radio"/> No
18484O	KENT	ANDREW JOHN FRANCIS	<input type="radio"/> Yes	<input checked="" type="radio"/> No
23096P	KENT	DAVID WILLIAM	<input type="radio"/> Yes	<input checked="" type="radio"/> No
72270J	KHALILI	SHAHRAM	<input checked="" type="radio"/> Yes	<input type="radio"/> No
57155G	KILPATRICK	SARAH STEPHANIE	<input type="radio"/> Yes	<input checked="" type="radio"/> No
50788A	KLINE	ADAM JEREMY	<input type="radio"/> Yes	<input checked="" type="radio"/> No
67818B	KOCZERGINSKI	MITCHELL SEAN	<input type="radio"/> Yes	<input checked="" type="radio"/> No
30203M	KRUPA	HENRY JOHN	<input type="radio"/> Yes	<input checked="" type="radio"/> No
28811M	KUBRICK	GEOFFREY CHARLES	<input checked="" type="radio"/> Yes	<input type="radio"/> No
63416F	LAMBERT	KYLE MICHAEL	<input type="radio"/> Yes	<input checked="" type="radio"/> No
72626V	LEE	WONSEOK	<input type="radio"/> Yes	<input checked="" type="radio"/> No
55582H	LEVINE	JEFFREY ANDREW	<input type="radio"/> Yes	<input checked="" type="radio"/> No
43433O	LORIMER	HEATHER LINDSAY	<input type="radio"/> Yes	<input checked="" type="radio"/> No
29568S	LYONS	CAROL VIRGINIA	<input type="radio"/> Yes	<input checked="" type="radio"/> No
23125F	MACDONALD	DANIEL VINCENT	<input type="radio"/> Yes	<input checked="" type="radio"/> No

45724I	MACNEIL	JANINE MARIE	<input type="radio"/> Yes	<input checked="" type="radio"/> No
27671I	MAHONEY	RICHARD JOSEPH	<input type="radio"/> Yes	<input checked="" type="radio"/> No
33797R	MAIDMENT	JEFFREY SCOTT	<input type="radio"/> Yes	<input checked="" type="radio"/> No
52754K	MANDEL	JENNIFER RACHEL	<input checked="" type="radio"/> Yes	<input type="radio"/> No
46028E	MARTIN	KATHY ABIE	<input type="radio"/> Yes	<input checked="" type="radio"/> No
33374M	MARTYN	JOHN SCOTT	<input checked="" type="radio"/> Yes	<input type="radio"/> No
49366O	MCKECHNIE	DAVID JAMES GRAEME	<input type="radio"/> Yes	<input checked="" type="radio"/> No
25446E	MCNEE	MARGARET CECILIA	<input type="radio"/> Yes	<input checked="" type="radio"/> No
25451S	MCWILLIAM	BRUCE NORMAN	<input checked="" type="radio"/> Yes	<input type="radio"/> No
36821O	MILLER	TODD ANDREW	<input type="radio"/> Yes	<input checked="" type="radio"/> No
46043M	MIRAKIAN	SHAHEN-ARAM	<input type="radio"/> Yes	<input checked="" type="radio"/> No
60526C	MIRZA	AHSAN-UDDIN	<input type="radio"/> Yes	<input checked="" type="radio"/> No
54373S	MOYSA	GEOFFREY ERIC	<input type="radio"/> Yes	<input checked="" type="radio"/> No
29616A	MURPHY	TIMOTHY JOHN	<input type="radio"/> Yes	<input checked="" type="radio"/> No
36442P	MURRAY	PATRICK WILLIAM	<input checked="" type="radio"/> Yes	<input type="radio"/> No
26300E	MUSGROVE	JAMES BURK	<input type="radio"/> Yes	<input checked="" type="radio"/> No
68519P	NAGASHIMA	JEFFREY EIGI	<input type="radio"/> Yes	<input checked="" type="radio"/> No
71823C	NISKI	MIKOLAJ JAKUB	<input type="radio"/> Yes	<input checked="" type="radio"/> No
62608S	O'HARA	JONATHAN PETER	<input type="radio"/> Yes	<input checked="" type="radio"/> No
40094E	ONN	ANDREA LYNN	<input checked="" type="radio"/> Yes	<input type="radio"/> No
40600O	OPASHINOV	MARK	<input type="radio"/> Yes	<input checked="" type="radio"/> No
17892L	PALMAY	FRANK	<input type="radio"/> Yes	<input checked="" type="radio"/> No
49639N	PARLIAMENT	LISA DIANNE	<input type="radio"/> Yes	<input checked="" type="radio"/> No
23510O	PETERSEN	RONALD STUART	<input type="radio"/> Yes	<input checked="" type="radio"/> No
22856R	PHELAN	PATRICK JOSEPH	<input type="radio"/> Yes	<input checked="" type="radio"/> No
52658E	RAFI	LEILA	<input type="radio"/> Yes	<input checked="" type="radio"/> No
70428L	RANKIN	JEREMY PALMER	<input type="radio"/> Yes	<input checked="" type="radio"/> No
27710P	RANKIN	MICHAEL STEPHEN	<input type="radio"/> Yes	<input checked="" type="radio"/> No
70727I	RAY	LAUREN ELIZABETH	<input type="radio"/> Yes	<input checked="" type="radio"/> No
73021U	RICCHETTI	ALEXANDER ROBERT	<input type="radio"/> Yes	<input checked="" type="radio"/> No
43347C	RICHMOND	MICHAEL JONATHAN	<input type="radio"/> Yes	<input checked="" type="radio"/> No
32435L	RIGBY	STEPHEN CHARLES ERNEST	<input type="radio"/> Yes	<input checked="" type="radio"/> No
35657E	ROGERS	ROBERT DOUGLAS JEFFREY	<input type="radio"/> Yes	<input checked="" type="radio"/> No
21103D	ROSS	DAVID NORMAN	<input checked="" type="radio"/> Yes	<input type="radio"/> No
43165S	ROSTOM	WAEEL MOSTAFA KAMEL AHMED	<input type="radio"/> Yes	<input checked="" type="radio"/> No
23876Q	ROWLANDS	WILLIAM ALLAN	<input checked="" type="radio"/> Yes	<input type="radio"/> No
72376V	ROZARIO	NICOLE CHLOE	<input type="radio"/> Yes	<input checked="" type="radio"/> No
66457K	RUDENSKY	ADRIANA KRISTINE	<input type="radio"/> Yes	<input checked="" type="radio"/> No
60583B	SAGAN	MARIA	<input type="radio"/> Yes	<input checked="" type="radio"/> No
63856K	SAMARA	CAROLINE TAHAN	<input type="radio"/> Yes	<input checked="" type="radio"/> No
27491Q	SCAVONE	ROBERT MICHAEL	<input checked="" type="radio"/> Yes	<input type="radio"/> No
20059R	SCOTT	THOMAS EDWARD	<input checked="" type="radio"/> Yes	<input type="radio"/> No
60607A	SHORE	ROBERT JONATHAN	<input checked="" type="radio"/> Yes	<input type="radio"/> No

20074C	SIMPSON	JEFFREY BRIAN	<input type="radio"/> Yes	<input checked="" type="radio"/> No
38470E	SLAN	DAVID EVAN	<input checked="" type="radio"/> Yes	<input type="radio"/> No
51422J	STEWART	CATHERINE BRETT	<input type="radio"/> Yes	<input checked="" type="radio"/> No
55687A	STIRLING	ANDREW JOHN	<input type="radio"/> Yes	<input checked="" type="radio"/> No
72415F	SUTTON	KAILEY EMMA	<input type="radio"/> Yes	<input checked="" type="radio"/> No
73358N	SUVAMINATHAN	VALENTEENA RUBEENA	<input type="radio"/> Yes	<input checked="" type="radio"/> No
22247K	TEMPLETON	MICHAEL DAVID	<input type="radio"/> Yes	<input checked="" type="radio"/> No
47721T	THOMPSON	MARTIN JAMES	<input type="radio"/> Yes	<input checked="" type="radio"/> No
50549R	THOMPSON	PATRICK ANDREW	<input type="radio"/> Yes	<input checked="" type="radio"/> No
21158W	THRING	DAVID EDWARD	<input type="radio"/> Yes	<input checked="" type="radio"/> No
65741W	TOMBS	ANNA LESLIE ROBINSON	<input type="radio"/> Yes	<input checked="" type="radio"/> No
71092V	VALDIVIESO	MARIA CRISTINA	<input type="radio"/> Yes	<input checked="" type="radio"/> No
71093R	VALE	ALLISON SIMA	<input type="radio"/> Yes	<input checked="" type="radio"/> No
73377F	VINEBERG	PHILIP DE BENEDICTIS	<input type="radio"/> Yes	<input checked="" type="radio"/> No
48753E	WAGGOTT	GEORGE LOVELL	<input type="radio"/> Yes	<input checked="" type="radio"/> No
70784H	WAHIDIE	EHSANULLAH	<input type="radio"/> Yes	<input checked="" type="radio"/> No
49942F	WASSER	LYNDSAY AVRA	<input type="radio"/> Yes	<input checked="" type="radio"/> No
43389Q	WATERS	DONALD MARTIN	<input type="radio"/> Yes	<input checked="" type="radio"/> No
51186K	WEERASOORIYA	TUSHARA NILUPUL	<input type="radio"/> Yes	<input checked="" type="radio"/> No
18002S	WELLS	PETER EDWARD JOHN	<input type="radio"/> Yes	<input checked="" type="radio"/> No
27552D	WHITCOMBE	MICHAEL PAUL	<input type="radio"/> Yes	<input checked="" type="radio"/> No
29739M	WILKS	JAMIE MICHAEL	<input type="radio"/> Yes	<input checked="" type="radio"/> No
32106J	WILLIS	PETER ALEXANDER JAMES	<input type="radio"/> Yes	<input checked="" type="radio"/> No
38069O	WISNER	ROBERT	<input type="radio"/> Yes	<input checked="" type="radio"/> No
72781S	WYPYCH	JONATHAN PAUL VINCENT	<input type="radio"/> Yes	<input checked="" type="radio"/> No
17243F	YAKSICH	MICKEY MILAN	<input type="radio"/> Yes	<input checked="" type="radio"/> No
64681U	ZACKS	CARA MICHELLE FRANK	<input type="radio"/> Yes	<input checked="" type="radio"/> No
60338I	ZHAO	SANDRA XUE	<input type="radio"/> Yes	<input checked="" type="radio"/> No
71118M	ZHOU	DAVID HAO	<input type="radio"/> Yes	<input checked="" type="radio"/> No
51151C	SBROCCHI	SANDRA DANIELLE	<input type="radio"/> Yes	<input checked="" type="radio"/> No
63006F	ZHOU	XUE	<input type="radio"/> Yes	<input checked="" type="radio"/> No

Number of lawyers practising in the firm: 154

N - New LAWYER

New LAWYERS have been added to your Firm listing for 2018. Please carefully review the Real Estate Practice Coverage Option selected for the new LAWYERS.

Save and Continue